



## Safeguard Policy Filter Report

### Operation Information

Operation		
UR-L1136 Montevideo Sanitation Program (PSU V)		
Environmental and Social Impact Category	High Risk Rating	
B	{Not Set}	
Country	Executing Agency	
URUGUAY	{Not Set}	
Organizational Unit	IDB Sector/Subsector	
Water & Sanitation	SANITATION URBAN	
Team Leader	ESG Lead Specialist	
TANIA PAEZ RUBIO	{Not Set}	
Type of Operation	Original IDB Amount	% Disbursed
Loan Operation	\$0	0.000 %
Assessment Date	Author	
29 Aug 2016	oscarluisc	
Operation Cycle Stage	Completion Date	
ERM (Estimated)	{Not Set}	
QRR (Estimated)	6 Sep 2016	
Board Approval (Estimated)	{Not Set}	
Safeguard Performance Rating		
{Not Set}		
Rationale		
{Not Set}		



# Safeguard Policy Filter Report

## Safeguard Policy Items Identified

### [B.1 Bank Policies \(Access to Information Policy– OP-102\)](#)

The Bank will make the relevant project documents available to the public.

### [B.1 Bank Policies \(Resettlement Policy– OP-710\)](#)

The operation has the potential to disrupt the livelihoods of people living in the project area of influence (not limited to involuntary displacement, see also Resettlement Policy)

### [B.11. Pollution Prevention and Abatement](#)

The operation has the potential to pollute the environment (e.g. air, soil, water, greenhouse gases).

### [B.2 Country Laws and Regulations](#)

The operation is expected to be in compliance with laws and regulations of the country regarding specific women's rights, the environment, gender and indigenous peoples (including national obligations established under ratified multilateral environmental agreements).

### [B.3 Screening and Classification](#)

The operation (including associated facilities) is screened and classified according to its potential environmental impacts.

### [B.5 Environmental Assessment Requirements](#)

An environmental assessment is required.

### [B.6 Consultations](#)

Consultations with affected parties will be performed equitably and inclusively with the views of all stakeholders taken into account, including in particular: (a) equal participation by women and men, (b) socio-culturally appropriate participation of indigenous peoples and (c) mechanisms for equitable participation by vulnerable groups.

### [B.7 Supervision and Compliance](#)

The Bank is expected to monitor the executing agency/borrower's compliance with all safeguard requirements stipulated in the loan agreement and project operating or credit regulations.

## Potential Safeguard Policy Items

[No potential issues identified]

## Recommended Actions

Operation has triggered 1 or more Policy Directives; please refer to appropriate Directive(s). Complete Project Classification Tool. Submit Safeguard Policy Filter Report, PP (or equivalent) and Safeguard Screening Form to ESR.



## Safeguard Policy Filter Report

### Additional Comments

[No additional comments]



## Safeguard Screening Form

### Operation Information

Operation		
<b>UR-L1136</b> Montevideo Sanitation Program (PSU V)		
Environmental and Social Impact Category	High Risk Rating	
<b>B</b>	{Not Set}	
Country	Executing Agency	
<b>URUGUAY</b>	{Not Set}	
Organizational Unit	IDB Sector/Subsector	
<b>Water &amp; Sanitation</b>	<b>SANITATION URBAN</b>	
Team Leader	ESG Lead Specialist	
<b>TANIA PAEZ RUBIO</b>	{Not Set}	
Type of Operation	Original IDB Amount	% Disbursed
<b>Loan Operation</b>	<b>\$0</b>	<b>0.000 %</b>
Assessment Date	Author	
<b>29 Aug 2016</b>	<b>oscarluisc</b>	
Operation Cycle Stage	Completion Date	
<b>ERM (Estimated)</b>	{Not Set}	
<b>QRR (Estimated)</b>	<b>6 Sep 2016</b>	
<b>Board Approval (Estimated)</b>	{Not Set}	
Safeguard Performance Rating		
{Not Set}		
Rationale		
{Not Set}		

### Operation Classification Summary

Overriden Rating	Overriden Justification
Comments	



## Safeguard Screening Form

### Conditions / Recommendations

Category "B" operations require an environmental analysis (see Environment Policy Guideline: Directive B.5 for Environmental Analysis requirements)

The Project Team must send to ESR the PP (or equivalent) containing the Environmental and Social Strategy (the requirements for an ESS are described in the Environment Policy Guideline: Directive B.3) as well as the Safeguard Policy Filter and Safeguard Screening Form Reports. These operations will normally require an environmental and/or social impact analysis, according to, and focusing on, the specific issues identified in the screening process, and an environmental and social management plan (ESMP). However, these operations should also establish safeguard, or monitoring requirements to address environmental and other risks (social, disaster, cultural, health and safety etc.) where necessary.

### Summary of Impacts / Risks and Potential Solutions

Likely to have [minor](#) to [moderate](#) emission or discharges that would negatively affect [ambient environmental conditions](#).

**Management of Ambient Environmental Conditions:** The borrower should be required to prepare an action plan (and include it in the ESMP) that indicates how risks and impacts to ambient environmental conditions can be managed and mitigated consistent with relevant national and/or international standards. The borrower should (a) consider a number of factors, including the finite assimilative capacity of the environment, existing and future land use, existing ambient conditions, the project's proximity to ecologically sensitive or protected areas, and the potential for cumulative impacts with uncertain and irreversible consequences; and (b) promote strategies that avoid or, where avoidance is not feasible, minimize or reduce the release of pollutants, including strategies that contribute to the improvement of ambient conditions when the project has the potential to constitute a significant source of emissions in an already degraded area. The plan should be subject to review by qualified independent experts. Depending on the financial product, this information should be referenced in appropriate legal documentation (covenants, conditions of disbursement, etc.).

The project will or may require [involuntary resettlement](#) and/or economic displacement of a [minor](#) to [moderate](#) nature (i.e. it is a [direct](#) impact of the project) and does not affect [indigenous peoples](#) or other vulnerable land based groups.



## Safeguard Screening Form

**Develop Resettlement Plan (RP):** The borrower should be required to develop a simple RP that could be part of the ESMP and demonstrates the following attributes: (a) successful engagement with affected parties via a process of Community Participation; (b) mechanisms for delivery of compensation in a timely and efficient fashion; (c) budgeting and internal capacity (within borrower's organization) to monitor and manage resettlement activities as necessary over the course of the project; and (d) if needed, a grievance mechanism for resettled people. Depending on the financial product, the RP should be referenced in legal documentation (covenants, conditions of disbursement, project completion tests etc.), require regular (bi-annual or annual) reporting and independent review of implementation.

### Disaster Risk Summary

Disaster Risk Level

**Low**

Disaster / Recommendations

No specific disaster risk management measures are required.

### Disaster Summary

Details

The project is classified as low disaster risk because the occurrence of the hazard event does not impact in the achievement of project outcomes.

Actions

Operation has triggered 1 or more Policy Directives; please refer to appropriate Directive(s). Complete Project Classification Tool. Submit Safeguard Policy Filter Report, PP (or equivalent) and Safeguard Screening Form to ESR.