

# PROJECT STATUS REPORT

JANUARY 2011 - JUNE 2011

## SECTION 1: PROJECT SUMMARY

**PROJECT NAME:** Program to Promote Public Private Partnerships for Infrastructure in T & T

Project Number: TT-M1019 - Operation Number: ATN/ME-12261-TT

**Purpose:** To strengthen capacity of the Government of Trinidad and Tobago to structure and oversee the implementation of 2 pilot PPP projects in order to create a demonstration effect

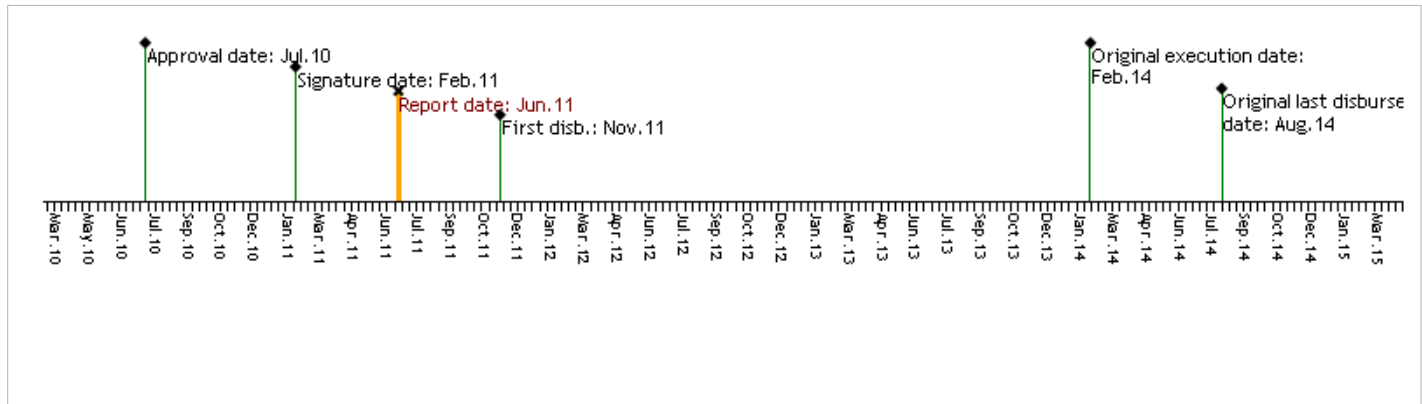
<b>Country Administrator</b> TRINIDAD AND TOBAGO	<b>Beneficiary Country</b> TRINIDAD AND TOBAGO	<b>Group</b> INF - Infrastructure and Public Services	<b>Subgroup</b> PPPA - Public-Private Partnerships
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**Executing Agency:** MINISTRY OF FINANCE AND THE ECONOMY

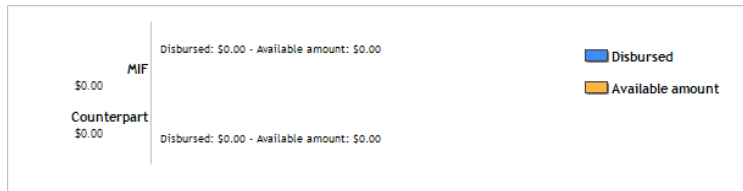
**Design Team Leader:** Bloomgarden, David

**Supervision Team Leader:** Dookiesingh, Vashtie

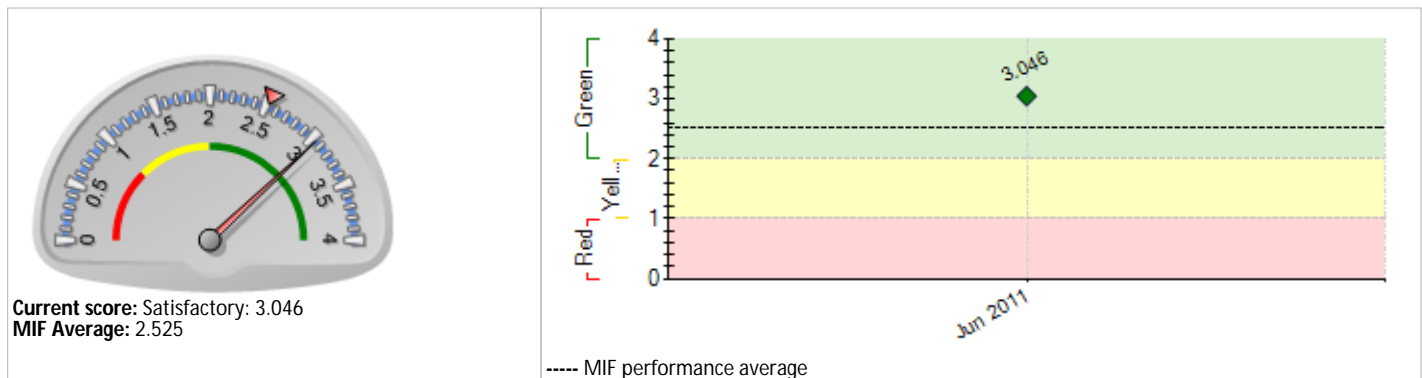
### TIMELINE



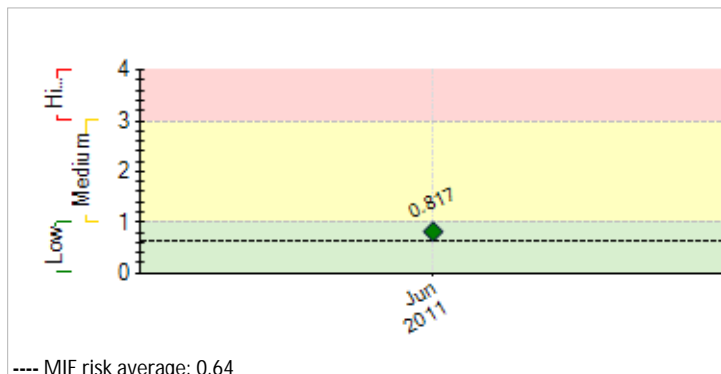
### FUNDS



### PERFORMANCE SCORE



### EXTERNAL RISKS



### INSTITUTIONAL CAPACITY

	<b>Risk</b>
<b>Financial Management:</b>	Medium
<b>Procurement:</b>	Low
<b>Technical Capacity:</b>	High

## SECTION 2: PERFORMANCE

## Summary of project performance in the last six months

The PPP Unit was not established during this reporting period.

## Comments from the Supervision Team Leader

Agree with the Executing Agency comments

The recruitment of required staff was not completed in the initial semester given a range of factors (1) scarcity of required skills (2) length of time required for government procurement and contracting procedures (3) change of government in May 2010 delayed start up as a new administration was installed in power

## SECTION 3: INDICATORS AND MILESTONES

Indicators		Baseline	Intermediate 1	Intermediate 2	Intermediate 3	Planned	Achieved	Status
<b>Purpose:</b> To strengthen capacity of the Government of Trinidad and Tobago to structure and oversee the implementation of 2 pilot PPP projects in order to create a demonstration effect	<b>P.1.1</b> Two pilot infrastructure projects using the PPP model are awarded	0				2 Jan 2015	0	
<b>Component 1:</b> Strengthening Government Capacity to Engage in PPPs <b>Weight:</b> 50% <b>Classification:</b> Unsatisfactory	<b>C1.1.1</b> Organisational and institutional guidelines for PPP investments	0				1 Jan 2013	Jun 2011	
	<b>C1.1.2</b> A specialized technical PPP unit in charge of identifying, formulating and managing PPP projects in full operation.					Aug 2012	No Jun 2011	
<b>Component 2:</b> Establishing an Enabling Regulatory Framework for PPPs <b>Weight:</b> 15% <b>Classification:</b> Unsatisfactory	<b>C2.1.1</b> Methodologies and operational guidelines for planning, designing, and managing PPPs developed.					Mar 2013	No Jun 2011	
	<b>C2.1.2</b> Proposal for any required regulatory reforms in financial, fiscal, environmental, accounting, procurement and other elements related to the regulatory framework for PPP projects developed					Mar 2014	No Jun 2011	
<b>Component 3:</b> Prepare and award 2 pilot PPP projects <b>Weight:</b> 25% <b>Classification:</b> Unsatisfactory	<b>C3.1.1</b> Pilot PPP projects identified and preliminary evaluation of the projects conducted	0				2 Jan 2014	Jun 2011	
	<b>C3.1.2</b> High level approval of 2 pilot projects for implementation	0				2 Jan 2015	Jun 2011	
<b>Component 4:</b> Stakeholder Outreach and Project Dissemination <b>Weight:</b> 10% <b>Classification:</b> Unsatisfactory	<b>C4.1.1</b> Participation in 1 local and 1 regional forums on PPPs	0				2 Jan 2015	Jun 2011	

Milestones		Planned	Due Date	Achieved	Date achieved	Status
<b>M0</b>	Appointment of PPP technical advisor	1	Aug 2011	0	Jun 2011	
<b>M1</b>	Establishment of PPP unit	1	Aug 2011	0	Jun 2011	
<b>M2</b>	[*] Conditions Prior	6	Dec 2011	0	Jun 2011	
<b>M3</b>	Operational model / guidelines for PPP unit completed	1	Feb 2012	0	Jun 2011	
<b>M4</b>	Technical staff in PPP unit have received PPP training	1	Feb 2012	0	Jun 2011	
<b>M5</b>	Selection of 1st PPP pilot project by the PPP unit	1	Feb 2012	0	Jun 2011	
<b>M6</b>	Convening of 2 general stakeholder awareness forums	2	Feb 2012	0	Jun 2011	
<b>M7</b>	Operating manual for planning designing and managing PPPs developed and approved by the PPP Unit	1	Aug 2012	0	Jun 2011	
<b>M8</b>	Selection of 2nd PPP pilot project	1	Aug 2012	0	Jun 2011	
<b>M9</b>	Convening of 2 general stakeholder forums on PPPs	2	Feb 2013	0	Jun 2011	
<b>M10</b>	Proposal for procurement and relevant regulatory reforms submitted to Cabinet	1	Feb 2013	0	Jun 2011	
<b>M11</b>	Contract for 1st PPP pilot project awarded	1	Feb 2014	0	Jun 2011	
<b>M12</b>	1 local and 1 regional PPP forum completed	1	Feb 2014	0	Jun 2011	

[\*] Indicate that the milestone has been reformulated

## CRITICAL ISSUES THAT HAVE AFFECTED PERFORMANCE

[X] Executing agency institutional capacity

[X] Purchase difficulties

[X] National political changes

## SECTION 4: RISKS

## MOST IMPORTANT RISKS AFFECTING FUTURE PERFORMANCE

	Level	Mitigation action	Responsible
1. Government is not committed to resourcing the PPP unit over the long term	Medium	Focus of the PPP unit is to demonstrate financial and technical benefits and high value added of the unit to line ministries in the structuring negotiation and management of PPPs	Project Coordinator
2. Government is not committed to resourcing the PPP unit over the long term	Medium	Focus of the PPP unit is to demonstrate financial and technical benefits and high value added of the unit to line ministries in the structuring negotiation and management of PPPs	Project Coordinator
3. Partners selected to engage in PPPs do not possess requisite technical, financial and governance capacity	Medium	PPP Unit must engage in intelligence gathering, networking and due diligence on potential PPP providers to ensure quality, integrity and capacity of firms short listed for PPP pilots.	Project Coordinator
4. Lack of support for regulatory changes required to facilitate engagement in and leverage of PPPs	Medium	Focus regulatory changes on the critical areas and engage stakeholders at all stages in development of regulatory frameworks to secure required buy in and support	Project Coordinator
5. Local and regional stakeholders are not interested in replicating and scaling up PPPs	Low	Pilot projects are structured and managed to demonstrate the benefits and associated risks of PPPs and are used to sensitize and inform stakeholders	Project Coordinator
<b>PROJECT RISK LEVEL:</b> Medium <b>TOTAL NUMBER OF RISKS:</b> 7 <b>IN EFFECT RISKS:</b> 7 <b>NOT IN EFFECT RISKS:</b> 0 <b>MITIGATED RISKS:</b> 0			

## SECTION 5: SUSTAINABILITY

**Likelihood of project sustainability after project completion:**

### CRITICAL ISSUES THAT MAY AFFECT PROJECT SUSTAINABILITY

*[None reported in this period]*

**Actions related to sustainability which will be or have been implemented:**

## SECTION 6: PRACTICAL LESSONS

*[No lessons learned added yet.]*