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| **SAFEGUARD POLICY FILTER REPORT**   |  |  | | --- | --- | | **PROJECT DETAILS** | | | **IDB Sector** | Urban Sanitation | | **Type of Operation** | Technical Cooperation | | **Additional Operation Details** |  | | **Investment Checklist** | Generic Checklist | | **Team Leader** | Oyamada Kroug, Jorge Ruben (JORGEOYA@iadb.org) | | **Project Title** | Support the review and resizing of the wastewater treatment plant of Bella Vista | | **Project Number** | PR-T1208 | | **Safeguard Screening Assessor(s)** | Oyamada Kroug, Jorge Ruben (JORGEOYA@iadb.org) | | **Assessment Date** | 2016-02-18 |  |  |  |  | | --- | --- | --- | | **SAFEGUARD POLICY FILTER RESULTS** | | | | **Type of Operation** | Technical Cooperation | | | **Safeguard Policy Items Identified (Yes)** | The operation has the potential to disrupt the livelihoods of people living in the project area of influence (not limited to involuntary displacement, see also [Resettlement Policy](http://vps/esg/LinkClick.aspx?fileticket=tTU9xH_ni_0%3d&tabid=748&mid=1765)). | B.01 (Resettlement Policy – OP-710) | | The operation is in a geographical area exposed to natural hazards (Type 1 Disaster Risk Scenario). Climate change may increase the frequency and/or intensity of some hazards. | B.01 (Disaster Risk Management Policy – OP-704) | | The Bank will make the relevant project documents available to the public. | B.01 (Access to Information Policy– OP-102) | | The operation is in compliance with laws and regulations of the country regarding specific women’s rights, the environment, gender and indigenous peoples (including national obligations established under ratified multilateral environmental agreements). | B.02 | | The operation (including associated facilities) is screened and classified according to its potential environmental impacts. | B.03 | | There are associated facilities (see policy definition) related to the operation. | B.04 | | The borrower/executing agency exhibits weak institutional capacity for managing environmental and social issues. | B.04 | | The operation is associated with the design and/or implementation of a major investment loan in infrastructure (technical cooperations only). | B.04 | | An environmental assessment is required. | B.05 | | Consultations with affected parties will be performed equitably and inclusively with the views of all stakeholders taken into account, including in particular: (a) equal participation by women and men, (b) socio-culturally appropriate participation of indigenous peoples and (c) mechanisms for equitable participation by vulnerable groups. | B.06 | | The Bank will monitor the executing agency/borrower’s compliance with all safeguard requirements stipulated in the loan agreement and project operating or credit regulations. | B.07 | | The operation will result in the degradation or conversion of Natural Habitat or Critical Natural Habitat in the project area of influence. | B.09 | | The operation has the potential to pollute the environment (e.g. air, soil, water, greenhouse gases). | B.11 | | The operation is already under construction by the executing agency or borrower. | B.12 | | Suitable safeguard provisions for the procurement of goods and services in Bank financed operation will be incorporated into project-specific loan agreements, operating regulations and bidding documents, as appropriate, to ensure environmentally responsible procurement. | B.17 | | **Potential Safeguard Policy Items(?)** | No potential issues identified |  | | **Recommended Action:** | Operation has triggered 1 or more Policy Directives; please refer to appropriate Directive(s). Complete Project Classification Tool. Submit Safeguard Policy Filter Report, PP (or equivalent) and Safeguard Screening Form to ESR.  The project triggered the Disaster Risk Management policy (OP-704) and this should be reflected in the Project Environmental and Social Strategy. A Disaster Risk Assessment (DRA) may be required (see Directive A-2 of the DRM Policy OP-704). Next, please complete a Disaster Risk Classification along with Impact Classification.    The project triggered the Other Risks policy (B.04): climate risk.Please include sections on how climate risk will be dealt with in the ESS as well as client documents (EIA, EA, etc);Recommend addressing risks from gradual changes in climate for the project in cost/benefit and credit risk analyses as well as TORs for engineering studies. | | | **Additional Comments:** |  | |  |  |  | | --- | --- | | **ASSESSOR DETAILS** | | | **Name of person who completed screening:** | Oyamada Kroug, Jorge Ruben (JORGEOYA@iadb.org) | | **Title:** |  | | **Date:** | 2016-02-18 |      |  | | --- | | **COMMENTS** | | **No Comments** |      |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | **SAFEGUARD SCREENING FORM**   |  |  | | --- | --- | | **PROJECT DETAILS** | | | **IDB Sector** | Urban Sanitation | | **Type of Operation** | Technical Cooperation | | **Additional Operation Details** |  | | **Country** | Paraguay | | **Project Status** |  | | **Investment Checklist** | Generic Checklist | | **Team Leader** | Oyamada Kroug, Jorge Ruben (JORGEOYA@iadb.org) | | **Project Title** | Support the review and resizing of the wastewater treatment plant of Bella Vista | | **Project Number** | PR-T1208 | | **Safeguard Screening Assessor(s)** | Oyamada Kroug, Jorge Ruben (JORGEOYA@iadb.org) | | **Assessment Date** | 2016-02-18 |  |  |  |  | | --- | --- | --- | | **PROJECT CLASSIFICATION SUMMARY** | | | | **Project Category:** | **Override Rating:** | **Override Justification:** | | **Comments:** | | **Conditions/ Recommendations** |  Category "B" operations require an environmental analysis (see Environment Policy Guideline: Directive B.5 for Environmental Analysis requirements).   The Project Team must send to ESR the PP (or equivalent) containing the Environmental and Social Strategy (the requirements for an ESS are described in the Environment Policy Guideline: Directive B.3) as well as the Safeguard Policy Filter and Safeguard Screening Form Reports.   These operations will normally require an environmental and/or social impact analysis, according to, and focusing on, the specific issues identified in the screening process, and an environmental and social management plan (ESMP). However, these operations should also establish safeguard, or monitoring requirements to address environmental and other risks (social, disaster, cultural, health and safety etc.) where necessary. | |  |  |  | | --- | --- | | **SUMMARY OF IMPACTS/RISKS AND POTENTIAL SOLUTIONS** | | | **Identified Impacts/Risks** | **Potential Solutions** | | The project will or may require involuntary resettlement and/or economic displacement of a minor to moderate nature (i.e. it is a direct impact of the project) and does not affect indigenous peoples or other vulnerable land based groups. | **Develop Resettlement Plan (RP):**The borrower should be required to develop a simple RP that could be part of the ESMP and demonstrates the following attributes: (a) successful engagement with affected parties via a process of Community Participation; (b) mechanisms for delivery of compensation in a timely and efficient fashion; (c) budgeting and internal capacity (within borrower's organization) to monitor and manage resettlement activities as necessary over the course of the project; and (d) if needed, a grievance mechanism for resettled people. Depending on the financial product, the RP should be referenced in legal documentation (covenants, conditions of disbursement, project completion tests etc.), require regular (bi-annual or annual) reporting and independent review of implementation. | | Conversion or degradation of natural habitat causing minor to moderate impact on ecological function. | Mitigation measures presented in the Biodiversity Management Plan must be acceptable: The mitigation measures should be presented in the Biodiversity Management Plan (included in the ESMP) and should follow the mitigation hierarchy: impacts to biodiversity should be avoided in the first instance (i.e. proposed activities relocated or reconfigured); if avoidance of all impacts is not possible, those remaining should be minimized, mitigated by restoration, or compensated for. The BMP should also explain what consultation activities are planned. The BMP must define how these measures will be implemented (roles and responsibilities, monitoring, budget, etc.). Confirmation should be obtained from competent experts that they are confident that the BMP can mitigate impacts and that approval has been granted by relevant authorities. Regular (bi-annual or annual) reporting is required, in addition to independent audits of BMP. Depending on the financial product, the BMP should also be referenced in appropriate legal documentation (covenants, conditions of disbursement, project completion tests, etc.). |  |  |  | | --- | --- | | **DISASTER RISK SUMMARY** | | | **Disaster Risk Category:** Low | | | **Disaster/ Recommendations** | * No specific disaster risk management measures are required. |  |  |  | | --- | --- | | **SUMMARY OF DISASTER IMPACTS/RISKS AND POTENTIAL SOLUTIONS** | | | **Identified Impacts/Risks** | **Potential Solutions** |  |  | | --- | | **DISASTER SUMMARY** | | **Details** The Project should include the necessary measures to reduce disaster risk to acceptable levels as determined by the Bank on the basis of generally accepted standards and practices. Alternative prevention and mitigation measures that decrease vulnerability must be analyzed and included in project design and implementation as applicable. These measures should include safety and contingency planning to protect human health and economic assets. Expert opinion and adherence to international standards should be sought, where reasonably necessary. | **Actions**   The project triggered the Other Risks policy (B.04): climate risk.Please include sections on how climate risk will be dealt with in the ESS as well as client documents (EIA, EA, etc);Recommend addressing risks from gradual changes in climate for the project in cost/benefit and credit risk analyses as well as TORs for engineering studies. |  |  |  | | --- | --- | | **ASSESSOR DETAILS** | | | **Name of person who completed screening:** | Oyamada Kroug, Jorge Ruben (JORGEOYA@iadb.org) | | **Title:** |  | | **Date:** | 2016-02-18 |      |  | | --- | | **COMMENTS** | | **No Comments** | | |