

TC Document - HA-T1166
Truitier Informal Recycler Inclusion Program
Japan Special Fund Poverty Reduction Program (JPO) - Community Based Program (CBP)

I. Basic Information for TC

- Country/Region: Haiti / Port-au-Prince
- TC Name: Truitier Informal Recycler Inclusion Program
- TC Number: HA-T1166
- Associated Loan/Guarantee Name: Solid Waste Management in Port-au-Prince
- Associated Loan/Guarantee Number: HA-L1061
- Team Leader/Members: Horacio Terraza (Team Leader, INE/WSA); Carlos Faleiro (INE/WSA); Peter Cohen (Consultant); Alfredo Rihm (INE/WSA); German Sturzenegger (INE/WSA); Federico Scodelaro (Consultant); Irene Cartin (INE/WSA); and Hyun Jung Lee (LEG/SGO)
- Date of TC Abstract authorization: November 30, 2011
- Donors providing funding: Japan Special Fund Poverty Reduction Program
- Beneficiary: Republic of Haiti (*République d'Haïti*)
- Executing Agency and contact name: Inter-American Development Bank (IDB)
- IDB Funding Requested: US\$300,000
- Local counterpart funding, if any: US\$80,000, 'in kind'
- Execution period: 12 months
- Disbursement period (which includes execution period): 18 months
- Required start date: April 1st, 2012
- Types of consultants: Firms and individual consultants
- Prepared by Unit: INE/WSA
- Unit of Disbursement Responsibility : COF Haiti
- TC Included in Country Strategy (yes); TC included in CPD (no)
- GCI-9 Sector Priority: Social policy for equity and productivity / Safety nets for the poor

II. Description of the Associated Loan/Guarantee

The Solid Waste Management in Port-au-Prince Project (HA-L1061) will finance the closure of the existing open dump at Truitier and the construction of a sanitary landfill. Additionally, it will finance improvements in the collection, separation and transport system, as well as strengthening of related institutional capacity.

III. Objectives and Justification of the TC

The Truitier municipal dumpsite is the only official final disposal site for Port-au-Prince's municipal household waste. Located in one of the city's poorest areas, Cite Soleil, Truitier is also home to approximately one thousand people who survive by scavenging recyclable materials there. Many of them live with their families at or around the site, in extremely unsanitary and unsafe conditions, and many of their children also work at the dump. This population is one of the poorest and most at risk in the city. It is also one defined by its means of obtaining livelihoods: the collection of recyclables for sale.

The Government of Haiti (GOH) is currently preparing a project, with support from the IDB, to rehabilitate the Truitier site (Solid Waste Management in Port-au-Prince - HA-L1061). This operation, although urgently needed to improve the efficiency and sanitary conditions of the site, is also likely to impact the access to recyclable materials of these informal recyclers. In recent consultations with the site management, the informal recyclers have expressed their eagerness to have an intervention aimed at improving their livelihoods, safety, and sanitary conditions.

The key problem motivating this TC request is how to respond to these people's needs by complementing a technical intervention at Truitier with a social intervention to improve their livelihoods and living conditions in a way that includes them as integral actors in the process.

JPO has a history of supporting exactly this sort of participatory social intervention aimed at the poorest of the poor. The GOH is further in the process of creating a new solid waste policy and institutional structure at both the municipal and federal level, and this program presents an opportunity to engage in that process so as to ensure that social inclusion, recycling, and waste reduction may be incorporated into it as fundamental elements.

The goal of the proposed TC is to develop and implement, with the active participation of the informal recyclers at the Truitier dumpsite, a sustainable strategy to incorporate them in the new waste management and recycling arrangements at the landfill.

More specifically, the objectives are:

- 1) to support the informal waste scavengers' inclusion into the city's new waste management and recycling system;
- 2) to develop income generation alternatives where this is either not possible or desirable; and
- 3) to improve their health and safety conditions.

Key desired results include:

- 1) establishment of a sustainable business model, via a cooperative or SME model, for community-based recycling and waste management-related activities at the site;
- 2) improvement of the safety and health conditions of these informal workers;
- 3) improvement of the efficiency and profitability of their operations;
- 4) increase in their incomes; and
- 5) providing of alternative income generation options where necessary or desirable.

The proposed TC is aligned with the Bank's GCI-9 sector priorities given that it is designed to address the social aspects derived from the decreased access to waste scavenging that the project will likely cause (Social policy for equity and productivity / Safety nets for the poor).

The TC is also aligned with the IDB current Country Strategy with Haiti 2011-2015 (GN-2646), considering the IDB Strategic Objective to “improve solid waste management practices”.

IV. Description of activities/components and budget

This program will be executed by the IDB, in close coordination with the MTPTEC (Ministère des Travaux Publics, Transport, Énergie et Communication), and the SMCRS (Service Métropolitain de Collecte des Résidus Solides).

The proposed program components are:

Component 1: Development of Community Action Plan. The preparation of a comprehensive Community Action Plan, consisting of: (i) a Resettlement Action Plan, to ensure that any persons currently living at the dumpsite or otherwise faced with physical resettlement impacts are properly resettled or compensated in compliance with IDB policy OP-710; and (ii) a Livelihoods Restoration Plan, to ensure that any persons earning their livelihoods at the site (and especially, informal recyclers) are adequately assisted in achieving equal or superior incomes in improved working conditions within a reasonable time. This Plan will be developed via a process consisting of: (i) a census (to determine the number of eligible beneficiaries) and baseline socio-economic survey (to identify key characteristics and define monitoring benchmarks); (ii) a detailed market analysis for the economic inclusion of waste scavengers in the municipal solid waste management system to be implemented and the identification of viable alternatives for those who cannot be incorporated into the system; and (iii) an active process of engagement with the affected persons and other key stakeholders, via the formation of an elected Community Council and implementation of a sustained community participation process.

Component 2: Implementation of Community Action Plan. Execution at a pilot level of those aspects of the Plan involving goods and services, including: (i) small works (e.g., collection points, sorting facilities, sanitary facilities, etc.), through the maximal use of local labor; (ii) providing materials (e.g., separation bins, collection carts, uniforms and protective gear, computers, etc.); and (iii) any other infrastructure-related actions defined as local priorities to improve local health and safety conditions.

Component 3: Capacity Building, Institutional Strengthening and Business Development. Technical assistance to the target group, as defined in the Plan, including: (i) training in professional and other relevant skills; (ii) assistance to local groups in developing community enterprises in waste management, recycling, and any agreed viable alternatives; (iii) development and creation of local jobs; (iv) the conducting of a public awareness campaign for source separation and recycling; and (v) the proper insertion of children in school, with adequate accompaniment, follow up, and support to their families.

Component 4: Technical support for TC implementation and grant preparation. The consultant in charge of this component will supervise and ensure the adequate coverage of the social dimension in the Technical Cooperation and its close coordination with the project (HA-L1061). This component will also finance assistance in technical, administrative and fiduciary aspects; contract supervision; documentation and analysis of all processes and results (both to generate lessons learned and to promote new partnerships for sustainability in the post-JPO phase) for the implementation of the TC.

Detailed budget [36659647](#).

Indicative Results Matrix

	Key Product Indicators	Baseline Value	Target Value
1	Studies elaborated	0	(1 Community Action Plan complete)
2	Workshops to recyclers delivered.	0	At least 5 workshops delivered to the recycling community (on skill building, health and safety, etc.)
	Key Outcome Indicators	Baseline Value	Target Value
1	Number of recyclers employed in the formal system	0	25% of participating recyclers
2	Increase in average incomes of recyclers from program-related sources	50-300 Gdes/day	25% increase for the 18-month program period (measured as the increase in incomes from program activities relative to baseline incomes, and accounting for market fluctuations)
3	Use of health and safety equipment by recyclers	To be confirmed by baseline study (but essentially 0)	100% compliance within 18 months
4	Number of recyclers successfully trained, placed and working in viable alternative jobs	T.b.d. by baseline study	10% of participating recyclers
5	Number of children removed from the site and successfully reintegrated in school	Total number t.b.d. by baseline study	100% (i.e. no child working at the dump in 18 months)

Indicative Budget

Activity/Component	Description	IDB/Fund Funding (*)	Counterpart Funding (**)	Total Funding
Component 1	Development of Community Action Plan	160,000	20,000	180,000
Component 2	Implementation of Community Action Plan	43,700	20,000	63,700
Component 3	Capacity Building, Institutional Strengthening and Business Development	47,000	35,000	82,000
Component 4	Technical support for TC implementation and grant preparation	49,300	5,000	54,300
Total		300,000	80,000	380,000

(*) Japan Special Fund Poverty Reduction Program (JPO)

(**)in-kind counterpart resources to be provided by the Beneficiary, represented by human resources (including staff time from MTPTEC, CGDS (Cellule de Gestion Des Déchets Solides), DINEPA (Direction Nationale de l'Eau Potable et de l'Assainissement), Truitier supervisor and manager, and support staff –census), office space and transportation.

Procurement Plan [36659654](#).

V. Executing agency and execution structure

Due to the fact that this operation could not be included in Haitian budget 2012, and considering that the counterpart institutions are undergoing a process of consolidation of their technical staff, upon request by the Beneficiary, the IDB will be the Executing Agency for this Technical Cooperation. The TC must be carried out in 2012, in order to obtain the necessary technical information to feed the preparation of project HA-L1061.

The IDB will implement this project in close coordination with the responsible local government institutions, specifically MTPTEC and its related agency the SMCRS. The SMCRS is an autonomous public entity within the MTPTEC, specifically in charge of the operation of Truitier disposal site and the waste collection operations within Port au Prince. The SMCRS will be the main partner during implementation of all activities to be carried out at the Truitier site. Additionally, the SMCRS will provide support in data gathering activities, access to local authorities and other organizations including the waste pickers, and will be the technical counterpart in the discussion and validation of the reports and results of this program.

This program will play an important role in the establishment of the new sectorial institutional and legal framework, with recycling and social inclusion at its core.

VI. Major issues

The challenges of working in Haiti, with its post-disaster context and weakened institutions, and the risks of working in such a poor and crime-ridden area as Cite Soleil, are clear. Nevertheless, recent operations at Truitier provide encouraging precedents that it is possible to work effectively on this site and with this population.

The single most important issue in the success of this program will be gaining and maintaining the trust of the recyclers. Yet this intervention is itself a response to the locally expressed need to have a project aimed at supporting this population – and particularly, to strengthen their means of livelihood, and its very existence should thus demonstrate that the IDB is listening to and attempting to respond to their needs, concerns and aspirations.

VII. Exceptions to Bank policy

No exceptions to Bank policy were identified.

VIII. Environmental and Social Strategy

This Technical Cooperation has been classified as Category C. No environmental assessment studies or consultations are required for Category "C" operations. ([36663032](#))

Required Annexes:

- Request from the client (e.g. Letter of Request, Programming/Portfolio Review Mission Aide Memoire or Report requesting the TC)
- Terms of Reference for activities/components to be procured ([36659659](#)).

TRUITIER INFORMAL RECYCLER INCLUSION PROGRAM


HA-T1166

CERTIFICATION

I hereby certify that this operation was approved for financing under the Japan Special Fund Poverty Reduction Program (JPO) through a communication dated December 2, 2011, signed by Takehiko Nakao, Director-General, International Bureau, Ministry of Finance of Japan. Also, I certify that resources from the Japan Special Fund Poverty Reduction Program (JPO) are available for up to US\$300,000 in order to finance the activities described and budgeted in this document. This certification reserves resources for the referenced project for a period of two (2) calendar months counted from the date of signature below. If the project is not approved by the IDB within that period, the reserve of resources will be cancelled, except in the case a new certification is granted. The commitment and disbursement of these resources shall be made only by the Bank in US dollars. The same currency shall be used to stipulate the remuneration and payments to consultants, except in the case of local consultants working in their own borrowing member country who shall have their remuneration defined and paid in the currency of such country. No resources of the Fund shall be made available to cover amounts greater than the amount certified herein above for the implementation of this operation...


H. Luzon
4/13/2012

Amounts greater than the certified amount may arise from commitments on contracts denominated in a currency other than the Fund currency, resulting in currency exchange rate differences, for which the Fund is not at risk.


Sonia M. Rivera
Chief a.i.

Grants and Co-Financing Management Unit

Approval


Federico Basaños
Chief

Water and Sanitation Division
INE/WSA

04/13/2012
Date

04/17/2012
Date