



Knowledge and Capacity Building Products (KCP) Proposal

Outreach & Dissemination

I. General Information

KCP Title:

Promoting Knowledge and Capacity Building through the Regional Policy Dialogue

OPUS Number:

RG-T2017

Date of Proposal:

4/18/2011

Linked to project:

Team Leader / Unit:

MATEO, MARIA MERCEDES - VPS/VPS

Peer Reviewer 1:

NOPO AGUILAR, HUGO ROLANDO

Peer Reviewer 2:

CRESPI, GUSTAVO ATILIO

Joint Proposal:

Proposed amount, without counterpart in USD equivalent (enter whole number only): USD 1,498,000
Must match total of budget table on section VI, and of OPUS

Proposed amount including counterpart (if applicable) in USD equivalent: USD 1,498,000

Proposed Fund:

Institutional Capacity Strengthening Thematic Fund

Unit of Technical Responsibility:

VPS

Unit of Disbursement Responsibility:

HEQ

Execution:

Bank

Letters of Request available (or equivalent)

No¹

Doc# (IDBdocs):

Non-objection available:

No

Doc# (IDBdocs):

Execution period: 10 months **Disbursement period:** 12 months **Required Start Date:** 4/29/2011

Please provide justification why the Bank is the Executing Agency:

In 2000 the Board of Executive Directors authorized the creation of the Regional Policy Dialogue (GN-2096-2) and the Bank as the executing agency to ensure the active participation of the borrowing member countries to dialogue with the Bank through the set up of networks (see paragraphs 1.3 and 1.4 of same document).

Beneficiary Countries:

All countries

Beneficiary entity: The ministries (or comparable entities) in-charge of public policy formulation in the thirteen areas of work of the networks.

Sector: Banking; Education, Energy, Environment & Natural Disaster; Health; Labor; Macroeconomic Policy & Finance; Public Policy Management; Science & Technology; Trade; Transportation; and Water & Sanitation.

¹ Letters of request do not precede the RPD because since its creation by the Board of Executive Directors the intervention of the beneficiaries was committed through the active participation of the governments from the borrowing countries consisting of senior officials responsible for public policy formulation in areas accorded the highest priority for their development. And agreed the Bank would set up the networks, operate them, provide all logistics support and commissioned the studies.

II. KCP Type

Origination

Originated in Bank: KCP is the result of initiatives generated within the Bank.

These initiatives may include deepening the Bank's knowledge in new subjects, interpreting new economic and social phenomena, generating new databases and diagnostic tools, or organizing a seminar, workshop, a regional policy dialogue, training, a publication.

Scope

Short term purpose:

Demand for this KCP has an immediate, short-term scope possibly drawing from knowledge and experience that is readily available.

III. Alignment of the KCP proposal with IDB's Institutional Priorities (GCI9).

Cross-cutting

Learning and Capacity Building²

3.1 Explain how the knowledge disseminated with this KCP will be used (policy advice, operations, as input for programming and strategy documents, input for other KCPs):

The knowledge and information produced with this KCP will be used to: i) promote a high level policy dialogue and foster knowledge sharing among senior policymakers from borrowing member countries and experts on key development issues; ii) expose policymakers to experts that will provide policy-oriented knowledge, analytical work and tools for the design, reformulation and adoption of sound policies; and iii) contribute to the Bank's substantive engagement with the region, by providing direct feedback on countries' policy priorities that will inform the Bank's future operational program.

IV. OBJECTIVES, EXPECTED RESULTS AND ACTIVITIES (Maximum 4 pages)

4.1 Describe the dissemination gap that this KCP intends to close:

The RPD responds to the need of having a forum in which the countries of Latin America and the Caribbean can share their experiences, learn about practices outside the region, and explore opportunities for regional cooperation. The Bank's Board of Executive Directors approved in 2000 the Regional Policy Dialogue (RPD) as a program aimed at establishing networks of dialogue among LAC senior government officials working in the same sector to meet regularly with the purpose of exchanging experiences, sharing lessons learned, identifying common solutions to their most pressing development issues, and increasing their intellectual and institutional capital. Thirteen policy dialogue networks aligned with Bank Institutional priorities have been created in the areas of: 1) banking regulation 2) climate change and disaster risk management; 3) citizen security; 4) education; 5) energy; 6) innovation, science and technology; 7) labor market and social security; 8) macroeconomic policy and finance; 9) results-based budget management; 10) social protection and health; 11) trade and integration; 12) transportation; and 13) water and sanitation. Banking regulation, energy and transportation have started operating in 2011. Citizen security will start operating in the second half of 2011. These networks are headed by Bank staff as technical coordinators and formed by high level policymakers from borrowing member countries. (For more detail, see Annex III - The Networks and their respective Work Plans for 2011-2012)

4.2 Describe lessons learned from previous similar KCP:

As a result of the Bank's Realignment and its increased emphasis on being a knowledge Bank, the RPD was enhanced as a knowledge and capacity building instrument. This revamping sought to capitalize on the ample knowledge accumulated. The RPD was placed directly under the Vice Presidency for Sectors and Knowledge (VPS) and its internal operating mechanism was redefined to enhance the quality of the Bank's products and the knowledge sharing that it fosters. Since then, there has been a progressive strengthening of the corporate image of the RPD, and further homogenizing the production function of the different networks for effectively measuring their impact and results. Particular emphasis was placed on establishing common criteria regarding the levels of attendance and qualification of the participants, the quality of the studies commissioned and presented at the meetings, the involvement of Bank

² The thirteen areas of work of the networks are aligned with the five institutional priorities of GCI-9, particularly with Labor Markets; Education; Health; Basic Services: Access to Water; Energy; Transportation; Financial Services; Fiscal Efficiency and Sustainability; Innovation; Trade & Integration; and Environment.

staff in the meetings, and RPD's ability to learn about client needs and to identify new business opportunities that could emerge as a result of these meetings.

Main lessons learned are that: (i) the quality of public policies depends on institutional capacity, and this capacity can be fostered through dialogue and knowledge sharing; (ii) engaging key policy makers in processes of dialogue contributes to improve the design of reform strategies; (iii) working with other sector networks is a valuable instrument to support an emerging multi-faceted integration agenda; (iv) the Bank would benefit from a dedicated policy dialogue instrument focused on reaching out to the expanding constituency of stakeholders relevant for today's integration agenda; v) for the RPD to be effective, it is important to retain leading academics to enhance the quality of the studies and to expose Bank staff as experts at the meetings to share knowledge accumulated in their field of expertise; vi) dialogue meetings and studies should be aligned with the division's yearly business plans; and finally, vii) in operational terms, financing travel and per-diem expenses for RPD representatives is sometimes important to ensure participation of certain countries.

4.3 State the KCP objectives:

The general objective of the KCP is to promote a high level policy dialogue between borrowing member countries and Bank officials to inform decision making, identify innovative practices, strengthen institutional capacity, and improve the quality of reform strategies. This dialogue in turn affords the opportunity for the Bank to receive direct feedback regarding countries' policy priorities, which subsequently informs Bank's future operational program. The specific objectives are: i) facilitate and support targeted forums for policymakers to share concerns and priorities; and ii) contribute to the Bank's substantive engagement with the region through technical assistance, loans and grants.

4.4 State the KCP expected results:

The outputs of the KCP will contribute to the following results:

1. Contribute to country dialogue around key development issues.
2. Improve government's ability to assess reform needs and identify medium to long-term reform strategies.
3. Enhance the capacity and technical knowledge of stakeholders involved in decision-making processes.
4. Strengthen Bank's capacity to identify countries' policy priorities and, in doing so, contribute to inform the Bank's operational program.

4.5 Provide a description of the main outputs and related activities expected to be carried out:

Output 1: Planning, preparation and execution of 16 hemispheric and sub-regional meetings in HQ and the region on the thirteen areas of work of the networks.

Output 2: Commissioning of high-quality analytical work and studies (e.g., working papers, policy briefs, technical notes, discussions papers and/or presentations) to enhance the discussions and share relevant expertise and innovative practices for the design and implementation of policies.

Output 3. Re-design and maintenance of the RPD webpage. The key main element of this product includes the re-design of the RPD website and intranet pages with all the information relevant to the network's meetings, products and list of members.

This KCP will for the most part finance Meetings and Studies. This will consist of the following: 1) plan, organize and hold hemispheric and sub-regional meetings with the governments to discuss issues identified as priorities to the borrowing member countries. Depending on the agenda items and desired inputs, members are asked to make presentations regarding their country's experience in the subject area. The meetings will be held in HQ or in a particular LAC country, and convened by the dialogue's network coordinators in coordination with VPS; 2) prepare background studies to be presented and discussed during the dialogue meetings. The meeting agenda and supporting documents will be posted in the RDP web page and Infolinks for Bank information/participation, and invitations will be extended to experts from international organizations, think tanks, professional organizations, universities and non-borrowing member countries to enrich the dialogue by contributing knowledge, innovative practices or relevant experiences. The publications will be published in accordance to the Bank's publication protocol

guidelines and will be widely disseminated in the RPD webpage and Sector's intranet pages.

See Annex II for the Execution Mechanism of this KCP.

4.6 Describe the dissemination plan of expected outputs / results with a timeline for key activities, person/institution responsible for implementation:

Announcement and Dissemination of Meetings Information. Internally, the announcement and dissemination of meetings information will be done through Infolinks and the RPD's intranet. Given that the RPD has a very targeted audience, and participation is only open to its members, the dates of the meetings will be announced in the internet but no further dissemination efforts will be made.

Dissemination of Studies. All presentations and studies commissioned for the networks' meetings will be distributed to the networks' members. Those that can be disclosed will be published in the RPD's web page (internet), following the publications protocol requirements. All documents will be available for Bank's internal use in the RPD's intranet.

Technical coordinators of the Networks will be responsible for ensuring that arrangements are made to maintain the RPD's internet and intranet up to date with all relevant information pertaining to the meetings and studies.

4.7 Identify the main audience or expected users of knowledge generated or disseminated by this KCP

High level policy makers and stakeholders from the borrowing member countries, involved in the process of formulating policies in the thirteen areas of work of the networks, interested Bank officials will also benefit from the generated knowledge, as well as leading academics and officials from other international and regional organizations.

V. KCPs RESULTS FRAMEWORK. Main Outcomes and Outputs.

5.1 Results Matrix:

Please, in the first column fill in each outcome indicator with the relevant outputs. You can use the table of indicators here attached.

Results Matrix

| | Unit | Baseline | | Year 1 | | Year 2 | | Expected Completion Date | Data Source |
|---|------|----------|------|---------|--------|---------|--------|--------------------------|-----------------------|
| | | Value | Year | Planned | Actual | Planned | Actual | | |
| % or participants to the meetings satisfied | % | 85 | 2010 | 85 | | | | | Evaluations |
| # of representatives (Under Secretary, Director General or comparable position) participating to the meetings (average number if more than one meeting) | # | 15 | 2010 | 15 | | | | | Minutes |
| # of LAC countries represented at the meetings (average number if more than one meeting) | # | 17 | 2010 | 17 | | | | | Minutes |
| # of Hemispheric meetings | # | 6 | 2010 | 8 | | | | | Minutes |
| # of Subregional meetings | # | 8 | 2010 | 8 | | | | | Minutes |
| # of documents distributed prior to the meetings | # | 0 | 2010 | 50 | | | | | E-, mails, minutes |
| # of presentations | # | | 2010 | 16 | | | | | IDBdocs |
| % of recommendations agreed during the meetings and reflected in minutes that have been followed up | # | 0 | 2010 | 25 | | | | | Minutes |
| # of times knowledge produced has been used as an input for programming | # | 0 | 2010 | 5 | | | | | Programming documents |

| | | | | | | | | |
|--|---|----|------|-----|--|--|--|---------------------------|
| and strategy documents | | | | | | | | |
| # of times knowledge produced has been used for operations | # | 0 | 2010 | 5 | | | | Loans & TC proposals |
| % of participants saying activities and products of the RPD are very relevant for policy design | % | 0 | 2010 | 50 | | | | Evaluations |
| # of times governments used knowledge produced for policy design | # | 0 | 2010 | 3 | | | | Official documents |
| # of studies | # | 28 | 2010 | 30 | | | | |
| # of publications | # | 0 | 2010 | 10 | | | | |
| # of web-based communication channels developed and used (communities of practice, blogs, social networks) | # | 0 | 2010 | 2 | | | | Web |
| # of minutes uploaded in RPD webpage (intranet) | # | 0 | 2010 | 16 | | | | RPD intranet |
| # of webpage views (internet) | # | 0 | 2010 | 100 | | | | EXR report |
| # of multimedia communication materials developed | # | 0 | 2010 | 4 | | | | RPD intranet and internet |
| # of downloads (internet, internal & external) | # | 0 | 2010 | 50 | | | | EXR report |
| | | | | | | | | |

Note: Please note that this outcome and output indicators will be the input for the PMR and will be monitored in the Quarterly Business Review.

VI. BUDGET (*):

6.1 Budget should be presented by outputs or groups of outputs (for example: three publications, three conferences, seminars or workshops; one database; one survey; two training courses), and the relevant activities. Total available funds from counterpart sources should be reported. Use whole numbers only.

| Costs | Project Cost – IDB Financing | | | | | Counter- part Resources | Other Financing |
|---|------------------------------|---------------------------|--------|---|---------------|-------------------------|-----------------|
| | Year 1 | | Year 2 | | Total request | | |
| | Consult. | Travel (consultants only) | Other | | | | |
| Output 1. Meetings | 120,000 | 568,000 | 60,000 | 0 | 748,000 | 0 | 0 |
| • Hiring consultants to organize logistics for meetings | 120,000 | | 60,000 | | 180,000 | 0 | 0 |
| • Travel & per-diem for participants | | 568,000 | | | 568,000 | 0 | 0 |
| Output 2. Studies | 606,000 | 0 | 24,000 | 0 | 630,000 | 0 | 0 |
| • Hiring experts to prepare materials & publications | 570,000 | | | | 570,000 | 0 | 0 |

| | | | | | | | |
|---|---------------------------|---------|--------|---|------------------------|---|---|
| • Editing & Printing | 36,000 | | 24,000 | | 60,000 | | |
| Output 3. RPD Webpage | 120,000 | 0 | 0 | 0 | 120,000 | 0 | 0 |
| • Hiring consultants to redesign/maintain RPD web | 120,000 | | | | 120,000 | 0 | 0 |
| Sub-total | 846,000 | 568,000 | 84,000 | 0 | 1,498,000 | 0 | 0 |
| | Monitoring and evaluation | | | | | | |
| Total | 846,000 | 568,000 | 84,000 | 0 | 1,498,000 ³ | 0 | 0 |

Approximate value of in-kind counterpart

(*) More details may be required by donors (e.g. consultant cost per day):

6.2 Describe the source and type of counterpart resources

6.3 Types of Consultants: Firms or individuals and main activities/outputs: ⁴

| Type: Individual or Firm (if available) | Nationality (if available) | Estimated Cost | Main Activities / Outputs |
|---|----------------------------|----------------|---------------------------|
| | | | |

VII. Bank costs:

For the Bank's internal purposes, please provide information in Bank costs associated with the execution of this KCP:

7.1 Bank staff participation in KCP:

| Staff Name | Bank Unit | FTEs |
|----------------------------|-----------|------|
| ROGERS, CASSANDRA T. | RND/CBA | 0.1 |
| MEIROVICH, HILEN GABRIELA | INE/ECC | 0.1 |
| DE SOUZA, ROSINA | LEG/SGO | 0.05 |
| FAJARDO, LEYDA MARINA | VPS/VPS | 0.2 |
| LLISTERRI, JUAN JOSE | SCL/SCT | 0.25 |
| GIORDANO, PAOLO | INT/INT | 0.2 |
| GARGIULO, CARLOS A. | SCL/EDU | 0.15 |
| GUERRERO, ROSA M. | CMF/CPN | 0.1 |
| FERNANDEZ-ARIAS, EDUARDO | RES/RES | 0.2 |
| SOTO-AGUILAR, MARIA TERESA | VPC/PDP | 0.05 |
| GARCIA LOPEZ, ROBERTO | ICF/ICF | 0.2 |

³ An amount of up to \$100,000 will be assigned to each network to carry-out the Work Plans 2011-2012 attached to Annex III.

⁴ For the hiring of individual consultants by the Bank, network coordinators will follow HR procedures. And for the selection and contracting process for consulting firms when the Bank contracts for project-related activities GN-2350-7 will apply. See IDBDOCS#[36224583](#) for different TORs models for the hiring of individual consultants.

| | | |
|-------------------------------|---------|------|
| NILL, ANNA C. | VPS/VPS | 0.9 |
| KAPLAN, DAVID SCOTT | SCL/LMK | 0.1 |
| MIRALLES-WILHELM, FERNANDO R. | INE/WSA | 0.25 |
| MATEO, MARIA MERCEDES | VPS/VPS | 0.2 |
| ESPINASA, RAMON JUAN | INE/ENE | 0.1 |
| SANCHEZ, MARIO ALBERTO | SPH/CAR | 0.2 |
| DEZA DE LA VEGA, CAMILO JOSE | INE/TSP | 0.1 |
| ALVARADO, NATHALIE | ICF/ICS | 0.2 |

VIII. Risks:

Fill-out the KCP's Environmental Screening and Classification using this link to the [Environmental Screening and Classification Toolkit](#). Then save it in IDBDOCS and record its number in the box below (*):

[35777437](#)

8.1 Implementation Risks:

A key risk is the possibility that high-level officials do not attend the meetings. Following OVE's recommendation (RE-316-1) to cover travel expenses of a subset of government officials, as of 2010 Management has mitigated this risk by paying travel and per-diem expenses (combine with effective communication encouragement from the network coordinators) for participants from C & D countries and will expand it to A and B countries when the governments express a need. This has proven effective in that attendance increased. This risk also applies to participation from the Caribbean countries. To mitigate this risk, network coordinators have programmed meetings in the Caribbean with the CDB, CARICOM that have increased attendance and improved grading in the evaluation questionnaires that follow the meetings. Others, like the Latin American Chief Economists and Finance Ministries network piggy back with another major meeting taking place to take advantages of economies of scale.

8.2 Please identify key environmental and social risks and impacts, and the strategy to address them:

There are no environmental and social risks and impacts associated with this KCP.

IX. Coordination with other MDBs

9.1 Summarize collaboration or coordination with other MDBs, donors and other strategic partners (if any):

Coordination of network meetings will take place with the World Bank, IMF, OECD, ECLAC, OAS, CDB, CARICOM and academia, in accordance with meeting agendas.

X. Monitoring and evaluation plan.

Fill-out the KCP's Development Effectiveness Matrix (DEM) using this link to the OD [DEM template](#). Then save it in IDBDOCS and record its number (*): [35777155](#)

10.1 Summarize the basic elements of the Monitoring and Evaluation plan, including key activities and associated budget:

Monitoring and evaluation will be done in close collaboration with the network technical coordinators. The establishment of a calendar of meetings and publications well in advance will serve as the basis for monitoring and tracking the timely execution of the KCP. The questionnaires that will be given to the network members at the end of each meeting include indicators to measure attendance levels; overall quality of the meetings, studies, speakers and presentations; time devoted to dialogue; and policy and academic relevance. Recommendations for improvements will also be requested in the questionnaires and acted upon. Minutes of the meetings will be prepared for the record

and used in the preparation of reports requested by the Board of Executive Directors or Management. The minutes will address challenges faced, lessons learned, deliverables and recommendations.

10.2 Exceptions to Bank policies:

None.

10.3 Contractual Clauses:

None.

None.

With regards to the Budget, we request: Retroactive financing of up to \$300,000 to reimburse expenditures incurred by the Energy, Transport and Banking Regulation Networks (INE and ICF), on or after March 2, 2011 and up to the date of approval of this operation. The fulfillment of procurement policies will be verified through the list of costs (contracts, invoices, payments) incurred by INE and ICF with respect to the project during the referred time-frame. No resources of the KCP will be used to finance the Bank's administrative budget.

(*) All documents saved in IDBDocs must have read permissions granted to the group DOCS Users

**PROMOTING KNOWLEDGE AND CAPACITY BUILDING
THROUGH THE REGIONAL POLICY DIALOGUE**

RG-T2017

CERTIFICATION

I hereby certify that this operation was approved for financing under the Institutional Capacity Strengthening Thematic Fund (ICS) through a communication dated on June 6, 2011 and signed by Kai Hertz (VPC/GCM). Also, I certify that resources from the Institutional Capacity Strengthening Thematic Fund (ICS) are available for up to US\$1,498,000 in order to finance the activities described and budgeted in this document. This certification reserves resources for the referenced project for a period of twelve (12) calendar months counted from the date of signature below. If the project is not approved by the IDB within that period, the reserve of resources will be cancelled, except in the case a new certification is granted. The commitment and disbursement of these resources shall be made only by the Bank in US Dollars. The same currency shall be used to stipulate the remuneration and payments to consultants, except in the case of local consultants working in their own borrowing member country who shall have their remuneration defined and paid in the currency of such country. No resources of the Fund shall be made available to cover amounts greater than the amount certified herein above for the implementation of this KCP. Amounts greater than the certified amount may arise from commitments on contracts denominated in a currency other than the Fund currency, resulting in currency exchange rate differences, for which the Fund is not at risk.



Marguerite S. Berger
Chief
Grants and Cofinancing Management Unit
VPC/GCM



Date