



Safeguard Policy Filter Report

Operation Information

Operation		
CR-T1180 Support to the Instituto Costarricense de Acueductos y Alcantarillados (AyA) in the implementation of the Potable Water and Sanitation Program (CR-L1024; CR-X1009) and of other Priority Initiatives.		
Environmental and Social Impact Category	High Risk Rating	
B		
Country	Executing Agency	
COSTA RICA		
Organizational Unit	IDB Sector/Subsector	
Country Office Costa Rica	WATER SUPPLY RURAL AND PERI-URBAN	
Team Leader	ESG Primary Team Member	
SILVIA ORTIZ STRADTMANN		
Type of Operation	Original IDB Amount	% Disbursed
Technical Cooperation	\$300,000	0.000 %
Assessment Date	Author	
18 Jun 2018	stefanb	
Operation Cycle Stage	Completion Date	
ERM (Estimated)		
QRR (Estimated)		
Board Approval (Estimated)		
Safeguard Performance Rating		
Rationale		



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Potential Safeguard Policy Items

[No potential issues identified]

Safeguard Policy Items Identified

B.1 Bank Policies (Access to Information Policy– OP-102)

The Bank will make the relevant project documents available to the public.

B.1 Bank Policies (Disaster Risk Management Policy– OP-704)

The operation is in a geographical area exposed to [natural hazards](#) ([Type 1 Disaster Risk Scenario](#)). Climate change may increase the frequency and/or intensity of some hazards.

B.1 Bank Policies (Disaster Risk Management Policy– OP-704)

The sector of the operation is vulnerable to natural hazards. Climate change may increase the frequency and/or intensity of some hazards.

B.2 Country Laws and Regulations

The operation is expected to be in compliance with laws and regulations of the country regarding specific women's rights, the environment, gender and indigenous peoples (including national obligations established under ratified multilateral environmental agreements).

B.3 Screening and Classification

The operation (including [associated facilities](#)) is screened and classified according to its potential environmental impacts.

B.4 Other Risk Factors

The operation is associated with the design and/or implementation of a major investment loan in infrastructure (technical cooperations only).

B.6 Consultations

Consultations with affected parties will be performed equitably and inclusively with the views of all stakeholders taken into account, including in particular: (a) equal participation by women and men, (b) socio-culturally appropriate participation of indigenous peoples and (c) mechanisms for equitable participation by vulnerable groups.

B.7 Supervision and Compliance

The Bank is expected to monitor the executing agency/borrower's compliance with all safeguard requirements stipulated in the loan agreement and project operating or credit regulations.

B.17. Procurement

Suitable safeguard provisions for the procurement of goods and services in Bank financed operations may be incorporated into project-specific loan agreements, operating regulations and bidding documents, as appropriate, to ensure environmentally responsible procurement.



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Recommended Actions

Operation has triggered 1 or more Policy Directives; please refer to appropriate Directive(s). Complete Project Classification Tool. Submit Safeguard Policy Filter Report, PP (or equivalent) and Safeguard Screening Form to ESR. The project triggered the Disaster Risk Management policy (OP-704) and this should be reflected in the Project Environmental and Social Strategy. A Disaster Risk Assessment (DRA) may be required (see Directive A-2 of the DRM Policy OP-704). Next, please complete a Disaster Risk Classification along with Impact Classification. Also: if the project needs to be modified to increase resilience to climate change, consider the (i) possibility of classification as adaptation project and (ii) additional financing options. Please consult with INE/CCS adaptation group for guidance. The project triggered the Other Risks policy (B.04): climate risk.

- Please include sections on how climate risk will be dealt with in the ESS as well as client documents (EIA, EA, etc);
- Recommend addressing risks from gradual changes in climate for the project in cost/benefit and credit risk analyses as well as TORs for engineering studies.

Additional Comments

[No additional comments]



Safeguard Screening Form

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QRR (Estimated)		
Board Approval (Estimated)		
Safeguard Performance Rating		
Rationale		



Safeguard Screening Form

Operation Classification Summary

Overriden Rating	Overriden Justification
C	Elevate: other (enter details in comments)
Comments	
TC-OS operations that prepare or are related to an investment loan/grant should carry the same impact categorization as the loan/grant operation. The related operation CR-L1024 is classified as a category B. According to common ESG-practice, this TC should be equally classified.	

Conditions / Recommendations

Category "B" operations require an environmental analysis (see Environment Policy Guideline: Directive B.5 for Environmental Analysis requirements)

The Project Team must send to ESR the PP (or equivalent) containing the Environmental and Social Strategy (the requirements for an ESS are described in the Environment Policy Guideline: Directive B.3) as well as the Safeguard Policy Filter and Safeguard Screening Form Reports. These operations will normally require an environmental and/or social impact analysis, according to, and focusing on, the specific issues identified in the screening process, and an environmental and social management plan (ESMP). However, these operations should also establish safeguard, or monitoring requirements to address environmental and other risks (social, disaster, cultural, health and safety etc.) where necessary.

Summary of Impacts / Risks and Potential Solutions

Disaster Risk Summary

Disaster Risk Level

C

Disaster / Recommendations

Disaster Summary



Safeguard Screening Form

Details

Actions

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