

## SAFEGUARD POLICY FILTER REPORT

PROJECT DETAILS	
IDB Sector	TRANSPORT-URBAN TRANSPORT INFRASTRUCTURE
Type of Operation	Investment Loan
Additional Operation Details	
Investment Checklist	Generic Checklist
Team Leader	Alves, Dalve Alexandre Soria (DALVES@iadb.org)
Project Title	Santo Andre Urban Sustainable Mobility Program
Project Number	BR-L1402
Safeguard Screening Assessor(s)	Vicentini, Vera Lucia (VERALUCIAV@iadb.org)
Assessment Date	2014-09-18

SAFEGUARD POLICY FILTER RESULTS		
Type of Operation	Loan Operation	
Safeguard Policy Items Identified (Yes)	Potential disruption to people's livelihoods living in the project's area of influence (not limited to involuntary displacement, also see Resettlement Policy.)	(B.01) Resettlement Policy– OP-710
	The Bank will make available to the public the relevant Project documents.	(B.01) Access to Information Policy– OP-102
	The operation is in compliance with environmental, specific women's rights, gender, and indigenous laws and regulations of the country where the operation is being implemented (including national obligations established under ratified Multilateral Environmental Agreements).	(B.02)
	The operation (including associated facilities) is screened and classified according to their potential environmental impacts.	(B.03)
	An Environmental Assessment is required.	(B.05)
	Consultations with affected parties will be performed equitably and inclusively with the views of all stakeholders taken into account, including in particular: (a) equal participation of women and men, (b) socio-culturally appropriate participation of indigenous peoples and (c) mechanisms for equitable participation by vulnerable groups.	(B.06)
	The Bank will monitor the executing agency/borrower's compliance with all safeguard requirements stipulated in the loan	(B.07)

	agreement and project operating or credit regulations.	
	The operation has the potential to pollute the environment (e.g. air, soil, water, greenhouse gases...).	(B.11)
	Suitable safeguard provisions for procurement of goods and services in Bank financed projects may be incorporated into project-specific loan agreements, operating regulations and bidding documents, as appropriate, to ensure environmentally responsible procurement.	(B.17)
<b>Potential Safeguard Policy Items(?)</b>	No potential issues identified	
<b>Recommended Action:</b>	Operation has triggered 1 or more Policy Directives; please refer to appropriate Directive(s). Complete Project Classification Tool. Submit Safeguard Policy Filter Report, PP (or equivalent) and Safeguard Screening Form to ESR.	
<b>Additional Comments:</b>		

## ASSESSOR DETAILS

<b>Name of person who completed screening:</b>	Vicentini, Vera Lucia (VERA.LUCIA.V@iadb.org) Transport Specialist (TSP/CAR)
<b>Title:</b>	
<b>Date:</b>	2014-09-18

## COMMENTS

No Comments
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**SAFEGUARD SCREENING FORM**

<b>PROJECT DETAILS</b>	
<b>IDB Sector</b>	TRANSPORT-URBAN TRANSPORT INFRASTRUCTURE
<b>Type of Operation</b>	Investment Loan
<b>Additional Operation Details</b>	
<b>Country</b>	BRAZIL
<b>Project Status</b>	
<b>Investment Checklist</b>	Generic Checklist
<b>Team Leader</b>	Alves, Dalve Alexandre Soria (DALVES@iadb.org)
<b>Project Title</b>	Santo Andre Urban Sustainable Mobility Program
<b>Project Number</b>	BR-L1402
<b>Safeguard Screening Assessor(s)</b>	Vicentini, Vera Lucia (VERALUCIAV@iadb.org)
<b>Assessment Date</b>	2014-09-18

<b>PROJECT CLASSIFICATION SUMMARY</b>		
<b>Project Category:</b> B	<b>Override Rating:</b>	<b>Override Justification:</b>
		<b>Comments:</b>
<b>Conditions/ Recommendations</b>	<ul style="list-style-type: none"> <li>Category B operations require an environmental analysis (see Environment Policy Guideline Directive B. for Environmental Analysis requirements).</li> <li>The Project Team must send to ESR the PP (or equivalent) containing the Environmental and Social Strategy (the requirements for an ESS are described in the Environment Policy Guideline Directive B.) as well as the Safeguard Policy Filter and Safeguard Screening Form Reports.</li> <li>These operations will normally require an environmental and/or social impact analysis, according to, and focusing on, the specific issues identified in the screening process, and an environmental and social management plan (ESMP). However, these operations should also establish safeguard, or monitoring requirements to address environmental and other risks (social, disaster, cultural, health and safety etc.) where necessary.</li> </ul>	

<b>SUMMARY OF IMPACTS/RISKS AND POTENTIAL SOLUTIONS</b>	
<b>Identified Impacts/Risks</b>	<b>Potential Solutions</b>

<p>The project will or may require involuntary resettlement and/or economic displacement of a minor to moderate nature (i.e. it is a direct impact of the project) and does not affect indigenous peoples or other vulnerable land based groups.</p>	<p><b>Develop Resettlement Plan (RP):</b> The borrower should be required to develop a simple RP that could be part of the ESIP and demonstrates the following attributes (a) successful engagement with affected parties via a process of Community Participation; (b) mechanisms for delivery of compensation in a timely and efficient fashion; (c) budgeting and internal capacity (within borrower's organization) to monitor and manage resettlement activities as necessary over the course of the project; and (d) if needed, a grievance mechanism for resettled people. Depending on the financial product, the RP should be referenced in legal documentation (covenants, conditions of disbursement, project completion tests etc.), require regular (bi-annual or annual) reporting and independent review of implementation.</p>
<p>Generation of solid waste is moderate in volume, does not include hazardous materials and follows standards recognized by multilateral development banks.</p>	<p><b>Solid Waste Management:</b> The borrower should monitor and report on waste reduction, management and disposal and may also need to develop a Waste Management Plan (which could be included in the ESIP). Effort should be placed on reducing and re-cycling solid wastes. Specifically (if applicable) in the case that national legislations have no provisions for the disposal and destruction of hazardous materials, the applicable procedures established within the Rotterdam Convention, the Stockholm Convention, the Basel Convention, the POP List on Banned Pesticides, and the Pollution Prevention and Abatement Handbook (PPAH), should be taken into consideration.</p>

## DISASTER RISK SUMMARY

**Disaster Risk Category:** Low

**Disaster/  
Recommendations**

- No specific disaster risk management measures are required.

## ASSESSOR DETAILS

<b>Name of person who completed screening:</b>	Vicentini, Vera Lucia (VERALUCIAV@iadb.org)
<b>Title:</b>	Transport Specialist (TSP/CAR)
<b>Date:</b>	2014-09-18

## COMMENTS

No Comments