**SAFEGUARD POLICY FILTER REPORT**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **PROJECT DETAILS** | | **IDB Sector** | | | | WATER AND SANITATION-URBAN DRAINAGE | |
| **Type of Operation** | | | | Investment Loan | |
| **Additional Operation Details** | | | |  | |
| **Investment Checklist** | | | | Infrastructure Water and Sanitation | |
| **Team Leader** | | | | Cayetano, Evan Stephen (EVANC@iadb.org) | |
| **Project Title** | | | | Flood Alleviation and Drainage Program for the City of Port of Spain | |
| **Project Number** | | | | TT-L1036 | |
| **Safeguard Screening Assessor(s)** | | | | Muñoz Castillo, Raúl (raulmu@IADB.ORG) | |
| **Assessment Date** | | | | 2013-09-27 | |
| **Additional Comments** | | | |  | |
| **SAFEGUARD POLICY FILTER RESULTS** | | | **Type of Operation** | Loan Operation | | | |
| **Safeguard Policy Items Identified (Yes)** | Activities to be financed in the project area are located within a geographical area or sector exposed to natural hazards\* (Type 1 Disaster Risk Scenario). | | | (B.01) Disaster Risk Management Policy– OP-704 |
| The Bank will make available to the public the relevant Project documents. | | | (B.01) Access to Information Policy– OP-102 |
| The operation is in compliance with environmental, specific women’s rights, gender, and indigenous laws and regulations of the country where the operation is being implemented (including national obligations established under ratified Multilateral Environmental Agreements). | | | (B.02) |
| The operation (including associated facilities) is screened and classified according to their potential environmental impacts. | | | (B.03) |
| The operation is sensitive to slow onset changes in climatic variables, weather patterns and the consequences incl. sea level rise, glacier run off. (Type 1 Gradual Climate Change Risk Scenario). | | | (B.04) |
| The project is specifically designed to increase the capacity of human and natural systems to adapt to a changing climate. | | | (B.04) |
| The project includes activities to close current “adaptation deficits” or to increase the capacity of human and natural systems to adapt to a changing climate. | | | (B.04) |
| An Environmental Assessment is required. | | | (B.05) |
| Consultations with affected parties will be performed equitably and inclusively with the views of all stakeholders taken into account, including in particular: (a) equal participation of women and men, (b) socio-culturally appropriate participation of indigenous peoples and (c) mechanisms for equitable participation by vulnerable groups. | | | (B.06) |
| The Bank will monitor the executing agency/borrower’s compliance with all safeguard requirements stipulated in the loan agreement and project operating or credit regulations. | | | (B.07) |
| The operation has the potential to pollute the environment (e.g. air, soil, water, greenhouse gases...). | | | (B.11) |
| Suitable safeguard provisions for procurement of goods and services in Bank financed projects may be incorporated into project-specific loan agreements, operating regulations and bidding documents, as appropriate, to ensure environmentally responsible procurement. | | | (B.17) |
| **Potential Safeguard Policy Items(?)** | Potential disruption to people’s livelihoods living in the project's area of influence (not limited to involuntary displacement, also see Resettlement Policy.) | | | (B.01) Resettlement Policy– OP-710 |
| The Borrower/Executing Agency exhibits weak institutional capacity for managing environmental and social issues. | | | (B.04) |
| **Recommended Action:** | Operation has triggered 1 or more Policy Directives; please refer to appropriate Directive(s). Complete Project Classification Tool. Submit Safeguard Policy Filter Report, PP (or equivalent) and Safeguard Screening Form to ESR.  The project triggered the Disaster Risk Management policy (OP-704).  A more limited and specific Disaster Risk Assessment (DRA) may be required (see Directive A-2 of the DRM Policy OP-704). Please contact a Natural Disaster Specialist in VPS/ESG or INE/RND for guidance.  Also: if the project needs to be modified to increase resilience to climate change, consider the (i) possibility of classification as adaptation project and (ii) additional financing options. Please contact a INE/CCS adaptation specialist for guidance.  The project triggered the Other Risks policy (B.04): climate risk.Please include sections on how climate risk will be dealt with in the ESS as well as client documents (EIA, EA, etc);Recommend addressing risks from gradual changes in climate for the project in cost/benefit and credit risk analyses as well as TORs for engineering studies. | | | |
| **Additional Comments:** |  | | | |
| **ASSESSOR DETAILS** | **Name of person who completed screening:** | | | | Muñoz Castillo, Raúl (raulmu@IADB.ORG) | | |
| **Title:** | | | |  | | |
| **Date:** | | | | 2013-09-27 | | |

**SAFEGUARD SCREENING FORM**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **PROJECT DETAILS** | **IDB Sector** | | | WATER AND SANITATION-URBAN DRAINAGE | |
| **Type of Operation** | | | Investment Loan | |
| **Additional Operation Details** | | |  | |
| **Country** | | | TRINIDAD AND TOBAGO | |
| **Project Status** | | |  | |
| **Investment Checklist** | | | Infrastructure Water and Sanitation | |
| **Team Leader** | | | Cayetano, Evan Stephen (EVANC@iadb.org) | |
| **Project Title** | | | Flood Alleviation and Drainage Program for the City of Port of Spain | |
| **Project Number** | | | TT-L1036 | |
| **Safeguard Screening Assessor(s)** | | | Muñoz Castillo, Raúl (raulmu@IADB.ORG) | |
| **Assessment Date** | | | 2013-09-27 | |
| **Additional Comments** | | |  | |
| **PROJECT CLASSIFICATION SUMMARY** | | **Project Category:** B | **Override Rating:** | | **Override Justification:** |
| **Comments:** |
| **Conditions/ Recommendations** |  Category "B" operations require an environmental analysis (see Environment Policy Guideline: Directive B.5 for Environmental Analysis requirements).   The Project Team must send to ESR the PP (or equivalent) containing the Environmental and Social Strategy (the requirements for an ESS are described in the Environment Policy Guideline: Directive B.3) as well as the Safeguard Policy Filter and Safeguard Screening Form Reports.   These operations will normally require an environmental and/or social impact analysis, according to, and focusing on, the specific issues identified in the screening process, and an environmental and social management plan (ESMP). However, these operations should also establish safeguard, or monitoring requirements to address environmental and other risks (social, disaster, cultural, health and safety etc.) where necessary. | | |

|  |  |  |
| --- | --- | --- |
| **SUMMARY OF IMPACTS/RISKS AND POTENTIAL SOLUTIONS** | **Identified Impacts/Risks** | **Potential Solutions** |
| The project will or may require involuntary resettlement and/or economic displacement of a minor to moderate nature (e.g. in relation to pipeline rights of way) and does not affect indigenous peoples or other vulnerable land based groups. | **Develop Resettlement Plan (RP):**The borrower should be required to develop a simple RP that could be part of the ESMP and demonstrates the following attributes: (a) successful engagement with affected parties via a process of Community Participation; (b) mechanisms for delivery of compensation in a timely and efficient fashion; (c) budgeting and internal capacity (within borrower's organization) to monitor and manage resettlement activities as necessary over the course of the project; and (d) if needed, a grievance mechanism for resettled people. Depending on the financial product, the RP should be referenced in legal documentation (covenants, conditions of disbursement, project completion tests etc.), require regular (bi-annual or annual) reporting and independent review of implementation. |
| The negative impacts from production, procurement and disposal of hazardous materials (such as fuel and chlorine) are minor and will comply with relevant national legislation, IDB requirements on hazardous material and international standards and guidelines such as the IFC Water and Sanitation Guidelines (if applicable). | **Monitor hazardous materials use:** The borrower should document risks relating to use of hazardous materials and prepare a hazardous material management plan that indicates how hazardous materials will be managed (and community risks mitigated). This plan could be part of the ESMP. |
| Generation of solid waste is moderate in volume, does not include hazardous materials and follows standards recognized by multilateral development banks. | **Solid Waste Management:** The borrower should monitor and report on waste reduction, management and disposal and may also need to develop a Waste Management Plan (which could be included in the ESMP). Effort should be placed on reducing and re-cycling solid wastes. Specifically (if applicable) in the case that national legislations have no provisions for the disposal and destruction of hazardous materials, the applicable procedures established within the Rotterdam  Convention, the Stockholm Convention, the Basel Convention, the WHO List on Banned Pesticides, and the Pollution Prevention and Abatement Handbook (PPAH), should be taken into consideration. |
| Likely to have minor to moderate emission or discharges that would negatively affect ambient environmental conditions. | **Management of Ambient Environmental Conditions:** The borrower should be required to prepare an action plan (and include it in the ESMP) that indicates how risks and impacts to ambient environmental conditions can be managed and mitigated consistent with relevant national requirements and international standards and guidelines such as the IFC Water and Sanitation Guidelines (as appropriate). The borrower should (a) consider a number of factors, including the finite assimilative capacity of the environment, existing and future land use, existing ambient conditions, the project's proximity to ecologically sensitive or protected areas, and the potential for cumulative impacts with uncertain and irreversible consequences; and (b) promote strategies that avoid or, where avoidance is not feasible, minimize or reduce the release of pollutants, including strategies that contribute to the improvement of ambient conditions when the project has the potential to constitute a significant source of emissions in an already degraded area. The plan should be subject to review by qualified independent experts. Depending on the financial product, this information should be referenced in appropriate legal documentation (covenants, conditions of disbursement, etc.). |

|  |  |  |
| --- | --- | --- |
| **DISASTER SUMMARY** | **Details** The Project should include the necessary measures to reduce disaster risk to acceptable levels as determined by the Bank on the basis of generally accepted standards and practices. Alternative prevention and mitigation measures that decrease vulnerability must be analyzed and included in project design and implementation as applicable. These measures should include safety and contingency planning to protect human health and economic assets. Expert opinion and adherence to international standards should be sought, where reasonably necessary. | **Actions** A more limited and specific Disaster Risk Assessment (DRA) may be required (see Directive A-2 of the DRM Policy OP-704). Please contact a Natural Disaster Specialist in VPS/ESG or INE/RND for guidance.  Also: if the project needs to be modified to increase resilience to climate change, consider the (i) possibility of classification as adaptation project and (ii) additional financing options. Please contact a INE/CCS adaptation specialist for guidance.  The project triggered the Other Risks policy (B.04): climate risk.Please include sections on how climate risk will be dealt with in the ESS as well as client documents (EIA, EA, etc);Recommend addressing risks from gradual changes in climate for the project in cost/benefit and credit risk analyses as well as TORs for engineering studies. |

|  |  |  |
| --- | --- | --- |
| **ASSESSOR DETAILS** | **Name of person who completed screening:** | Muñoz Castillo, Raúl (raulmu@IADB.ORG) |
| **Title:** |  |
| **Date:** | 2013-09-27 |