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| **SAFEGUARD POLICY FILTER REPORT**   |  |  | | --- | --- | | **PROJECT DETAILS** | | | **IDB Sector** | ENERGY-ENERGY SECTOR REHABILITATION AND EFFICIENCY | | **Type of Operation** | Other Lending or Financing Instrument | | **Additional Operation Details** |  | | **Investment Checklist** | Generic Checklist | | **Team Leader** | Baldivieso, Hector (HBALDIVIESO@iadb.org) | | **Project Title** | Extension and Strengthening of the SIN Transmission System | | **Project Number** | NI-L1091 | | **Safeguard Screening Assessor(s)** | Suber, Stephanie Anne (ssuber@IADB.ORG); Corrales, Denis (denisc@iadb.org | | **Assessment Date** | 2015-10-14 |  |  |  |  | | --- | --- | --- | | **SAFEGUARD POLICY FILTER RESULTS** | | | | **Type of Operation** | Loan Operation | | | **Safeguard Policy Items Identified (Yes)** | Potential disruption to people’s livelihoods living in the project's area of influence (not limited to involuntary displacement, also see Resettlement Policy.) | (B.01) Resettlement Policy– OP-710 | | Does this project offer opportunities for indigenous peoples through its project components? | (B.01) Indigenous People Policy– OP-765 | | Activities to be financed by the project are in a geographical area and sector exposed to natural hazards\* (Type 1 Disaster Risk Scenario). | (B.01) Disaster Risk Management Policy– OP-704 | | The Bank will make available to the public the relevant Project documents. | (B.01) Access to Information Policy– OP-102 | | The operation is in compliance with environmental, specific women’s rights, gender, and indigenous laws and regulations of the country where the operation is being implemented (including national obligations established under ratified Multilateral Environmental Agreements). | (B.02) | | The operation (including associated facilities) is screened and classified according to their potential environmental impacts. | (B.03) | | There are Associated Facilities (see Policy definition) relating to the investments being financed by the Bank. | (B.04) | | The operation may be of higher risk due to controversial environmental and associated social issues or liabilities. | (B.04) | | An Environmental Assessment is required. | (B.05) | | The Bank will monitor the executing agency/borrower’s compliance with all safeguard requirements stipulated in the loan agreement and project operating or credit regulations. | (B.07) | | Environmental or culturally sensitive areas, defined in the Policy as critical natural habitats or critical cultural sites in project area of influence. | (B.09) | | Conversion of Natural Habitats in project area of influence. | (B.09) | | The operation has the potential to impact the environment and human health and safety from the production, procurement, use, and disposal of hazardous material, including organic and inorganic toxic substances, pesticides and Persistent Organic Pollutants (POPs). | (B.10) | | The operation has the potential to pollute the environment (e.g. air, soil, water, greenhouse gases...). | (B.11) | | Suitable safeguard provisions for procurement of goods and services in Bank financed projects may be incorporated into project-specific loan agreements, operating regulations and bidding documents, as appropriate, to ensure environmentally responsible procurement. | (B.17) | | **Potential Safeguard Policy Items** | No potential issues identified |  | | **Recommended Action:** | Operation has triggered 1 or more Policy Directives; please refer to appropriate Directive(s). Complete Project Classification Tool. Submit Safeguard Policy Filter Report, PP (or equivalent) and Safeguard Screening Form to ESR.  The project triggered the Disaster Risk Management policy (OP-704). A Disaster Risk Assessment (DRA) may be required (see Directive A-2 of the DRM Policy OP-704) in case of high risk, a limited DRA in case of moderate risk. Next, please complete a Disaster Risk Classification along with Impact Classification. | | | **Additional Comments:** |  | |  |  |  | | --- | --- | | **ASSESSOR DETAILS** | | | **Name of person who completed screening:** | Suber, Stephanie Anne (ssuber@IADB.ORG); Corrales, Denis (denisc@iadb.org | | **Title:** |  | | **Date:** | 2015-10-14 |      |  | | --- | | **COMMENTS** | | **No Comments** |      |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | **SAFEGUARD SCREENING FORM**   |  |  | | --- | --- | | **PROJECT DETAILS** | | | **IDB Sector** | ENERGY-ENERGY SECTOR REHABILITATION AND EFFICIENCY | | **Type of Operation** | Other Lending or Financing Instrument | | **Additional Operation Details** |  | | **Country** | NICARAGUA | | **Project Status** |  | | **Investment Checklist** | Generic Checklist | | **Team Leader** | Baldivieso, Hector (HBALDIVIESO@iadb.org) | | **Project Title** | Extension and Strengthening of the SIN Transmission System | | **Project Number** | NI-L1091 | | **Safeguard Screening Assessor(s)** | Suber, Stephanie Anne (ssuber@IADB.ORG); Corrales, Denis (denisc@iadb.org | | **Assessment Date** | 2015-10-14 |  |  |  |  | | --- | --- | --- | | **PROJECT CLASSIFICATION SUMMARY** | | | | **Project Category:** B | **Override Rating:** B | **Override Justification:** | | **Comments:** | | **Conditions/ Recommendations** |  Category "B" operations require an environmental analysis (see Environment Policy Guideline: Directive B.5 for Environmental Analysis requirements).   The Project Team must send to ESR the PP (or equivalent) containing the Environmental and Social Strategy (the requirements for an ESS are described in the Environment Policy Guideline: Directive B.3) as well as the Safeguard Policy Filter and Safeguard Screening Form Reports.   These operations will normally require an environmental and/or social impact analysis, according to, and focusing on, the specific issues identified in the screening process, and an environmental and social management plan (ESMP). However, these operations should also establish safeguard, or monitoring requirements to address environmental and other risks (social, disaster, cultural, health and safety etc.) where necessary. | |  |  |  | | --- | --- | | **SUMMARY OF IMPACTS/RISKS AND POTENTIAL SOLUTIONS** | | | **Identified Impacts/Risks** | **Potential Solutions** | | Minor or moderate conversion or degradation impacts to natural habitats (such as forests, wetlands or grasslands). | **Ensure Proper Management and Monitoring of the Impacts of Natural Habitat Loss:** A Biodiversity Management Plan (BMP) should be prepared that defines how impacts will be mitigated (roles and responsibilities, monitoring, budget, etc.) and could be incorporated in the ESMP. Depending on the financial product, the BMP should be referenced in appropriate legal documentation (covenants, conditions of disbursement, etc.). Confirmation should be obtained from competent experts that they are confident that the plan can mitigate impacts and also that the relevant authorities have approved the BMP. | | The project is likely to negatively change the use of the land but the related negative impacts will be minor to moderate in nature. | **Land use:** A Plan should be prepared that defines how land use change will be mitigated (roles and responsibilities, monitoring, budget, etc.) and could be incorporated in the ESMP. Proper consultation should be foreseen. Confirmation should be obtained from experts that the plan can mitigate impacts and also that relevant authorities have approved the Plan. Examples of mitigation include reforestation, GHG offsetting, nutrient fixation in soils, conservation of biodiversity. | | The negative impacts from production, procurement and disposal of hazardous materials (excluding POPs unacceptable under the Stockholm Convention or toxic pesticides) are minor and will comply with relevant national legislation, IDB requirements on hazardous material and all applicable International Standards. | **Monitor hazardous materials use:** The borrower should document risks relating to use of hazardous materials and prepare a hazardous material management plan that indicates how hazardous materials will be managed (and community risks mitigated). This plan could be part of the ESMP. | | Transport of hazardous materials (e.g. fuel) with minor to moderate potential to cause impacts on community health and safety. | **Hazardous Materials Management:** The borrower should be required develop a hazardous materials management plan; details of grievances and any independent health and safety audits undertaken during the year should also be provided. Compliance with the plan should be monitored and reported. Depending on the financial product, this information should be referenced in appropriate legal documentation (covenants, conditions of disbursement etc). Consider requirements for independent audits if there are concerns about commitment of borrower or potential outstanding community concerns. | | Project construction activities are likely to lead to localized and temporary impacts (such as dust, noise, traffic etc) that will affect local communities and workers but these are minor to moderate in nature. | **Construction:** The borrower should demonstrate how the construction impacts will be mitigated. Appropriate management plans and procedures should be incorporated into the ESMP. Review of implementation as well as reporting on the plan should be part of the legal documentation (covenants, conditions of disbursement, etc). | | Borrower is not responsible for directly managing the activities that have negative impacts on indigenous peoples (i.e. it is the responsibility of government or parastatal agencies other than the executing agency) and impacts are minor to moderate in nature. | **Ensure Adequacy of Mitigation Framework:**Where the activities that have the potential to impact indigenous peoples are the responsibility of third parties (associated facilities, activities under government jurisdiction, etc.), the borrower will need to collaborate with these third parties to ascertain that they are implementing a Mitigation Framework that is consistent with the objectives of OP-765, particularly with respect to avoiding adverse effects on indigenous peoples. In circumstances where third-party capacity is limited (or commitment is unclear), the borrower will need to play an active role in supporting the implementation of a reasonable mitigation framework. Depending on the financial product, the borrower's actions to cause the mitigation framework to be adequately implemented should be referenced in appropriate legal documentation (covenants, conditions of disbursement, project completion tests, credit and operation regulations, etc.). Reporting and independent monitoring of mitigation implementation should be required. |  |  |  | | --- | --- | | **DISASTER RISK SUMMARY** | | | **Disaster Risk Category:** Low | | | **Disaster/ Recommendations** | * No specific disaster risk management measures are required. |  |  |  | | --- | --- | | **ASSESSOR DETAILS** | | | **Name of person who completed screening:** | Suber, Stephanie Anne (ssuber@IADB.ORG); Corrales, Denis (denisc@iadb.org | | **Title:** |  | | **Date:** | 2015-10-14 |      |  | | --- | | **COMMENTS** | | **No Comments** | | |