



Safeguard Policy Filter & Safeguard Screening Form Report

Operation Information

Operation Name	
Northeast Agricultural Development Support Program - AGRONORDESTE	
Operation Number	BR-L1562

Operation Details

Environmental and Social Impact Categorization	Disaster Risk Rating
B	Moderate
Country Department	Country
CSC	BR
Executing Agency	Borrower
BR-MA	REPUBLICA FEDERATIVA DO BRASIL
Organizational Unit	IDB Sector/Subsector
CSD/RND	SUSTAINABLE AGRICULTURAL DEVELOPMENT
Type of Operation	Original IDB Amount
LON	\$230,000,000.00
ESG Primary Team Member	Team Leader
ROBERT PETER LANGSTROTH	OCTAVIO JORGE DAMIANI MARTI
Toolkit Completion Date	Author
2021-09-20	Langstroth, Robert Peter
Applicable Policies / Directives	
<p>OP-102: Access to Information Policy</p> <ul style="list-style-type: none"> - Disclosure of relevant Environmental and Social Assessments [5] Prior to Analysis Mission, QRR, OPC and submission of the operation for Board consideration [6] - Provisions for Disclosure of Environmental and Social Documents during Project Implementation <p>OP-703: Environment and Safeguards Compliance Policy</p> <ul style="list-style-type: none"> - B.1 Bank Policies - B.2 Country Laws and Regulations - B.3 Screening and Classification - B.4 Other Risk Factors - B.4 Other Risk Factors (Institutional Capacity) - B.5 Environmental Assessment and Plans Requirements - B.5 Social Assessment and Plans Requirements (including Livelihood Restoration Plan [1]) - B.6 Consultation (including consultation with affected women, indigenous persons, and/or minority groups) - B.9 Natural Habitats - B.9 Invasive Species - B.9 Cultural Sites - B.10 Hazardous Materials - B.11 Pollution Prevention & Abatement <p>OP-704: Natural Disaster Risk Management Policy</p>	

- A.2 Analysis and, if necessary, management of Type 2 risk [2] scenario
- A.2 Contingency planning in case of emergencies (Emergency response plan, Community health and safety plan, Occupational health and safety plan)
- OP-761: Operational Policy on Gender Equality in Development
 - Consultation and effective participation of women and men
 - Gender equality risk [4] analysis
- OP-765: Operational Policy on Indigenous Peoples
 - Sociocultural Evaluation Requirement
 - Good-faith Negotiations and proper documentation / agreements with Affected Indigenous Peoples
 - Indigenous Peoples Compensation, and Development Plan or Framework requirement
 - Discrimination and/or Exclusion Issues
 - Transborder Impacts
 - Impacts on Isolated Indigenous Peoples

Operation Classification Summary

Overriden E&S Category	Overriden E&S Category Justification
B	Reduce: re-configuration of project to reduce impacts intended
Comments	
Potential category A impacts will be managed by application of exclusion criteria in ROP-	

Overriden Disaster Risk	Overriden Disaster Risk Justification
Comments	

Summary of Impacts / Risks and Potential Solutions

Assessment and Management of Environmental and Social Risks and Impacts

The operation has environmental and/or social impacts and the borrower will conduct a process of environmental and social assessment and establish and maintain an environmental and social management system appropriate to the nature and scale of the operation and commensurate with the level of its environmental and social risks and impacts.

The operation has environmental and/or social impacts and the borrower will need to prepare environmental and social assessments and establish and maintain an environmental and social management system appropriate to the nature and scale of the project and commensurate with the level of its environmental and social risks and impacts

The operation may be of high risk due to controversial environmental and associated social issues, context, or liabilities.

The operation may be of high risk due to controversial environmental and associated social issues, context or liabilities. The client will need to have a plan to manage those issues



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The operation will implement a grievance mechanism accessible to all stakeholders.

The operation will provide a grievance mechanism for all stakeholders

Labor and Working Conditions

The operation has the potential to have child labor or forced labor in the workforce.

The operation has the minor potential to have child labor or forced labor in the workforce. The client will need to prepare a workforce plan to ensure no child or force labor occurs

The operation will provide a grievance mechanism for workers (and their organizations, where they exist) to raise workplace concerns.

The operation will provide a grievance mechanism for workers (and organizations, where they exist).

Pollution Prevention and Resource Efficiency

The operation will have negative impacts to the environment and human health and safety due to the production, procurement, use, and disposal of hazardous material, including organic and inorganic toxic substances, pesticides, and Persistent Organic Pollutants (POPs).

The operation will have minor to moderate negative impacts to the environment and human health and safety due to the production, procurement, use, and disposal of hazardous material. The client will need to prepare a hazardous materials management plan

The operation will generate solid waste.

The operation will generate minor to moderate quantities of solid waste. The client will need to prepare a waste management plan

The operation will have emissions or discharges (i.e. air contaminants, noise, effluents) that would negatively affect ambient environmental conditions.

The operation will have minor to moderate emissions or discharges that would negatively affect ambient environmental conditions. The client will need to prepare an emissions management plan

Community Health, Safety, and Security

There are risks associated with structural elements of the operation (e.g. dams, public buildings), and/or road transport activities (heavy vehicle movement, transport of hazardous materials, etc.) which could result in health and safety impacts to local community.

There are risks associated with structural elements of the operation and/or road transport activities which could result in minor to moderate health and safety impacts to local community. The client will need to prepare a community health and safety plan to ensure those risks are adequately managed

Construction activities are likely to lead to localized and temporary impacts (such as dust, noise, traffic etc) that will affect local communities and workers.

Construction activities are likely to lead to minor or moderate impacts that will affect local communities and workers. The client will need to prepare a community health and safety plan to ensure those impacts are adequately managed

Biodiversity

The operation has the potential to degrade critical natural habitat leading to impacts on threatened species, migratory species, protected areas or non-protected areas of high conservation value (see <https://iadb-esg.maps.arcgis.com/> to help screen for potential biodiversity risks).

The operation has the potential to degrade critical natural habitat leading to impacts on threatened species, migratory species, protected areas or non-protected areas of high conservation value. The client will need to prepare a biodiversity action plan to ensure such impacts are adequately managed/mitigated

The operation has the potential to convert or degrade non-critical natural habitat leading to impacts on species composition, ecological function or ecosystem services value (see <https://iadb-esg.maps.arcgis.com/> to help screen for potential biodiversity risks).

The operation has the potential to convert or degrade minorly or moderately non-critical natural habitat leading to impacts on species composition, ecological function or ecosystem services value. The client will need to prepare a biodiversity action plan to ensure such impacts are adequately managed/mitigated

The operation has the potential to introduce invasive species (accidentally or not).

The operation has the minor to moderate potential to introduce invasive species. The client will need to find alternative solutions to ensure that no invasive species are introduced

Indigenous Peoples

The three criteria for the application of the OP-765 are met¹: (i) they are descendants from populations inhabiting Latin America and the Caribbean at the time of the conquest or colonization; (ii) irrespective of their legal status or current residence, they retain some or all of their own social, economic, political, linguistic and cultural institutions and practices; and (iii) they recognize themselves as belonging to Indigenous or pre-colonial cultures or peoples. (see <https://iadb-esg.maps.arcgis.com/> to help screen for potential risks and impacts on indigenous peoples).

Indigenous peoples can be affected or benefit from the operation

The operation has the potential to directly or indirectly cause adverse impact on indigenous peoples or their individual or collective rights or assets (i.e. territories, lands and use of natural resources). Please note that in the case of significant impacts to Indigenous Peoples Free Prior and Informed Consent is required.

The operation has the potential to minorly or moderately directly or indirectly cause adverse impact on indigenous peoples or their individual or collective rights or assets. The client will need to prepare a sociocultural assessment that include measures to ensure such impacts are adequately mitigated. The operation should carry out a socio-culturally appropriate consultation process during preparation and implementation. The client will need to prepare a socio-culturally appropriate engagement plan to ensure adequate consultations are done

The operation has the potential to negatively affect Indigenous Peoples through discrimination and/or exclusion from its benefits. Please note that in the case of significant impacts to Indigenous Peoples Free Prior and Informed Consent is required.

¹“Irrespective of their legal status and current residence” is interpreted in the context of OP-765 as referring to IPs that still have a strong collective attachment to a traditional territory, even if they have for any reason been displaced from it or do not hold the legal rights to it as recognized by the state. Whenever other of the criteria are met, but not this, sociocultural appropriateness still applies, but in the context of OP-703 B.5 and B.6

The operation has the potential to minorly or moderately negatively affect Indigenous Peoples through discrimination and/or exclusion from its benefits. The client will need to prepare a sociocultural assessment that include measures to ensure such impacts are adequately mitigated. The operation should carry out a socio-culturally appropriate consultation process during preparation and implementation. The client will need to prepare a socio-culturally appropriate engagement plan to ensure adequate consultations are done

The operation has the potential to directly or indirectly adversely impact the physical, territorial or cultural integrity of Indigenous Peoples. Please note that in the case of significant impacts to Indigenous Peoples Free Prior and Informed Consent is required.

The operation has the potential to directly or indirectly adversely impact the physical, territorial or cultural integrity of Indigenous Peoples (in a minor or moderate manner). The client will need to prepare a sociocultural assessment that include measures to ensure such impacts are adequately mitigated. The operation should carry out a socio-culturally appropriate consultation process during preparation and implementation. The client will need to prepare a socio-culturally appropriate engagement plan to ensure adequate consultations are done

Cultural Heritage

The operation has the potential to damage or negatively impact critical cultural sites.

The operation has the potential to damage or negatively impact critical cultural sites in a minor manner. The client will need to prepare a cultural heritage management plan to ensure such impacts are adequately mitigated

The operation has the potential to damage or negatively impact cultural sites.

The operation has the potential to damage or negatively impact cultural sites in a minor to moderate manner. The client will need to prepare a cultural heritage management plan to ensure such impacts are adequately mitigated

Gender Equality

The operation has the potential to have unequal requirements and/or access to project opportunities and derived benefits.

The operation has moderate to minor potential to have unequal requirements and/or access to project opportunities and derived benefits. The client will need to prepare a gender action plan to ensure such impacts/risks are adequately mitigated

Men or women are at risk of being disproportionately affected due to the operations.

Men or women have minor to moderate risk of being disproportionately affected due to the operations. The client will need to prepare a gender action plan to ensure such impacts/risks are adequately mitigated

Access to information

Environmental and/or social assessment is required, and the Bank will need to ensure that those are made available to the public in time and substance.

Environmental and/or social assessment(s) is(are) required. The Bank will ensure that those are made available to the public in time and substance

Disaster Risk (Type 1)

A natural hazard²(including climate change influence) is likely to occur in the operation area with impacts to the operation, communities and/or the environment (see <https://iadb-esg.maps.arcgis.com/> and https://idbg.sharepoint.com/sites/ESGCompassUAT/Shared%20Documents/criticality_charts_ENG.pdf to help screen for potential disaster risks).

A natural hazard is likely to occur in the operation area with moderate impacts to the operation. The project is located in an area prone to Drought. A DRA/DRMP may need to be prepared, depending on the complexity of the project. For details see the DRM policy guidelines

Gender and Diversity (Mainstreaming)

The operation will offer opportunities for women.

The operation will offer opportunities for women. Please ensure GDI is involved in the preparation and execution of the operation

The operation will offer opportunities for indigenous people.

The operation will offer opportunities for indigenous people. Please ensure GDI is involved in the preparation and execution of the operation

²Natural hazards include volcanic activity, coastal flooding from storm surge, droughts, hurricanes, inland flooding, landslides, sea-level rise, earthquakes, glacier retreats.

Operation Information

Operation Name	
Sustainable Agriculture and Rural Development Program	
Operation Number	BR-O0008

Operation Details

Environmental and Social Impact Categorization	Disaster Risk Rating
B13	N/A
Country Department	Country
CSC	BR
Executing Agency	Borrower
BR-BR-GEP, BR-MA, BR-GEP	
Organizational Unit	IDB Sector/Subsector
CSD/RND	MULTIPLE SUBSECTORS
Type of Operation	Original IDB Amount
CON	\$1,200,000,000.00
ESG Primary Team Member	Team Leader
ROBERT PETER LANGSTROTH	OCTAVIO JORGE DAMIANI MARTI
Toolkit Completion Date	Author
2021-09-20	Langstroth, Robert Peter
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OP-704: Natural Disaster Risk Management Policy

- A.2 Analysis and, if necessary, management of Type 2 risk [2] scenario
- A.2 Contingency planning in case of emergencies (Emergency response plan, Community health and safety plan, Occupational health and safety plan)

OP-765: Operational Policy on Indigenous Peoples

- Sociocultural Evaluation Requirement
- Good-faith Negotiations and proper documentation / agreements with Affected Indigenous Peoples
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- Discrimination and/or Exclusion Issues
- Transborder Impacts
- Impacts on Isolated Indigenous Peoples

Operation Classification Summary

Overridden E&S Category	Overridden E&S Category Justification
Comments	

Overridden Disaster Risk	Overridden Disaster Risk Justification
Comments	

Summary of Impacts / Risks and Potential Solutions

Assessment and Management of Environmental and Social Risks and Impacts

The operation will implement a grievance mechanism accessible to all stakeholders.

The operation will provide a grievance mechanism for all stakeholders

Labor and Working Conditions

The operation will provide a grievance mechanism for workers (and their organizations, where they exist) to raise workplace concerns.

The operation will provide a grievance mechanism for workers (and organizations, where they exist).

Pollution Prevention and Resource Efficiency

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The operation will have minor to moderate negative impacts to the environment and human health and safety due to the production, procurement, use, and disposal of hazardous material. The client will need

to prepare a hazardous materials management plan

The operation will generate solid waste.

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Indigenous peoples can be affected or benefit from the operation

Cultural Heritage

The operation has the potential to damage or negatively impact cultural sites.

The operation has the potential to damage or negatively impact cultural sites in a minor to moderate manner. The client will need to prepare a cultural heritage management plan to ensure such impacts are adequately mitigated

Access to information

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A natural hazard is likely to occur in the operation area with moderate impacts to the operation. The project is located in an area prone to Drought. A DRA/DRMP may need to be prepared, depending on the complexity of the project. For details see the DRM policy guidelines

Noninvestment lending and flexible lending instruments

Ex-ante impact classification is not be feasible for the operation (this includes policy-based loans, Financial Intermediaries (FIs) or loans that are based on performance criteria, sector-based approaches, and conditional credit lines for investment operations).

Ex-ante impact classification is not be feasible for the operation

Gender and Diversity (Mainstreaming)

The operation will offer opportunities for women.

The operation will offer opportunities for women. Please ensure GDI is involved in the preparation and execution of the operation

not hold the legal rights to it as recognized by the state. Whenever other of the criteria are met, but not this, sociocultural appropriateness still applies, but in the context of OP-703 B.5 and B.6

²Natural hazards include volcanic activity, coastal flooding from storm surge, droughts, hurricanes, inland flooding, landslides, sea-level rise, earthquakes, glacier retreats.



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