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| **SAFEGUARD POLICY FILTER REPORT**   |  |  |  | | --- | --- | --- | | **PROJECT DETAILS** | **IDB Sector** | TRANSPORT-MAJOR HIGHWAYS | | **Type of Operation** | Investment Grant | | **Additional Operation Details** |  | | **Investment Checklist** | Infrastructure Road and Rail | | **Team Leader** | Fioravanti, Reinaldo Daniel (reinaldof@iadb.org) | | **Project Title** | Support for the Transport Sector in Haiti III | | **Project Number** | HA-L1079 | | **Safeguard Screening Assessor(s)** | Kotschoubey, Nicolas (nicolask@iadb.org) | | **Assessment Date** | 2013-03-05 | | **Additional Comments** |  |  |  |  |  |  | | --- | --- | --- | --- | | **SAFEGUARD POLICY FILTER RESULTS** | **Type of Operation** | Loan Operation | | | **Safeguard Policy Items Identified (Yes)** | Potential disruption to people’s livelihoods living in the project's area of influence (not limited to involuntary displacement, also see Resettlement Policy). | (B.01) Resettlement Policy– OP-710 | | Activities to be financed in the project area are located within a geographical area or sector exposed to natural hazards\* (Type 1 Disaster Risk Scenario). | (B.01) Disaster Risk Management Policy– OP-704 | | The Bank will make available to the public the relevant Project documents. | (B.01) Access to Information Policy– OP-102 | | The operation is in compliance with environmental, specific women’s rights, gender, and indigenous laws and regulations of the country where the operation is being implemented (including national obligations established under ratified Multilateral Environmental Agreements). | (B.02) | | The operation (including associated facilities) is screened and classified according to their potential environmental impacts. | (B.03) | | The Borrower/Executing Agency exhibits weak institutional capacity for managing environmental and social issues. | (B.04) | | An Environmental Assessment is required. | (B.05) | | Consultations with affected parties will be performed equitably and inclusively with the views of all stakeholders taken into account, including in particular: (a) equal participation of women and men;  (b) socio-culturally appropriate participation of indigenous peoples; and (c) mechanisms for equitable participation by vulnerable groups. | (B.06) | | The Bank will monitor the executing agency/borrower’s compliance with all safeguard requirements stipulated in the loan agreement and project operating or credit regulations. | (B.07) | | Environmental or culturally sensitive areas, defined in the Policy as critical natural habitats or critical cultural sites in project area of influence (please refer to the [Integrated Biodiversity Assessment Tool](https://www.ibat-alliance.org/ibat-idb/index.php?bp=l0g1n) for more information). | (B.09) | | The operation has the potential to pollute the environment (e.g. air, soil, water, greenhouse gases...). | (B.11) | | Suitable safeguard provisions for procurement of goods and services in Bank financed projects may be incorporated into project-specific loan agreements, operating regulations and bidding documents, as appropriate, to ensure environmentally responsible procurement. | (B.17) | | **Potential Safeguard Policy Items(?)** | No potential issues identified |  | | **Recommended Action:** | Operation has triggered 1 or more Policy Directives; please refer to appropriate Directive(s). Complete Project Classification Tool. Submit Safeguard Policy Filter Report, PP (or equivalent) and Safeguard Screening Form to ESR. The project triggered the Disaster Risk Management policy (OP-704).  A Disaster Risk Assessment (DRA), is required, as established under Directive A-2 of the DRM Policy OP-704). Please contact a Natural Disaster Specialist in VPS/ESG or INE/RND for guidance.  Also: if the project needs to be modified to increase resilience to climate change, consider the: (i) possibility of classification as adaptation project: and (ii) additional financing options. Please contact a INE/CCS adaptation specialist for guidance. | | | **Additional Comments:** |  | |  |  |  |  | | --- | --- | --- | | **ASSESSOR DETAILS** | **Name of person who completed screening:** | Kotschoubey, Nicolas (nicolask@iadb.org) | | **Title:** | Environment Specialist | | **Date:** | 2013-03-05 |   **SAFEGUARD SCREENING FORM**   |  |  |  | | --- | --- | --- | | **PROJECT DETAILS** | **IDB Sector** | TRANSPORT-MAJOR HIGHWAYS | | **Type of Operation** | Investment Grant | | **Additional Operation Details** |  | | **Country** | HAITI | | **Project Status** |  | | **Investment Checklist** | Infrastructure Road and Rail | | **Team Leader** | Fioravanti, Reinaldo Daniel (reinaldof@iadb.org) | | **Project Title** | Support for the Transport Sector in Haiti III | | **Project Number** | HA-L1079 | | **Safeguard Screening Assessor(s)** | Kotschoubey, Nicolas. (VPS/ESG) (nicolask@iadb.org) | | **Assessment Date** | 2013-03-05 | | **Additional Comments** |  |  |  |  |  |  | | --- | --- | --- | --- | | **PROJECT CLASSIFICATION SUMMARY** | **Project Category:**  B | **Override Rating:** | **Override Justification:** | | **Comments:** | | **Conditions/ Recommendations** |  Category "B" operations require an environmental analysis (see Environment Policy Guideline: Directive B.5 for Environmental Analysis requirements).   The Project Team must send to ESR the PP (or equivalent) containing the Environmental and Social Strategy (the requirements for an ESS are described in the Environment Policy Guideline: Directive B.3) as well as the Safeguard Policy Filter and Safeguard Screening Form Reports.   These operations will normally require an environmental and/or social impact analysis, according to, and focusing on, the specific issues identified in the screening process, and an environmental and social management plan (ESMP). However, these operations should also establish safeguard, or monitoring requirements to address environmental and other risks (social, disaster, cultural, health and safety etc.) where necessary. | |  |  |  |  | | --- | --- | --- | | **SUMMARY OF IMPACTS/RISKS AND POTENTIAL SOLUTIONS** | **Identified Impacts/Risks** | **Potential Solutions** | | The project will or may require involuntary resettlement and/or economic displacement of a minor to moderate nature (i.e. it is a direct impact of the project) and does not affect indigenous peoples or other vulnerable land based groups. | **Develop Resettlement Plan (RP):** The borrower should be required to develop a simple RP that could be part of the ESMP and demonstrates the following attributes:  (a) successful engagement with affected parties via a process of Community Participation; (b) mechanisms for delivery of compensation in a timely and efficient fashion; (c) budgeting and internal capacity (within borrower's organization) to monitor and manage resettlement activities as necessary over the course of the project; and (d) if needed, a grievance mechanism for resettled people. Depending on the financial product, the RP should be referenced in legal documentation (covenants, conditions of disbursement, project completion tests etc.), require regular (bi-annual or annual) reporting and independent review of implementation. |  |  |  |  | | --- | --- | --- | | **DISASTER SUMMARY** | **Details**  The Project should include the necessary measures to reduce disaster risk to acceptable levels as determined by the Bank on the basis of generally accepted standards and practices. Alternative prevention and mitigation measures that decrease vulnerability must be analyzed and included in project design and implementation as applicable. These measures should include safety and contingency planning to protect human health and economic assets. Expert opinion and adherence to international standards should be sought, where reasonably necessary. | **Actions**  A Disaster Risk Assessment (DRA), is required, as established under Directive A-2 of the DRM Policy OP-704). Please contact a Natural Disaster Specialist in VPS/ESG or INE/RND for guidance.  Also: if the project needs to be modified to increase resilience to climate change, consider the:  (i) possibility of classification as adaptation project; and (ii) additional financing options. Please contact a INE/CCS adaptation specialist for guidance. |  |  |  |  | | --- | --- | --- | | **ASSESSOR DETAILS** | **Name of person who completed screening:** | Kotschoubey, Nicolas (VPS/ESG) (nicolask@iadb.org) | | **Title:** | Environment Specialist | | **Date:** | 2013-03-05 | |