

## SAFEGUARD POLICY FILTER REPORT

This Report provides guidance for project teams on safeguard policy triggers and should be attached as an annex to the PP (or equivalent) together with the Safeguard Screening Form, and sent to ESR.

1. Save as a Word document. 2. Enter additional information in the spaces provided, where applicable. 3. Save new changes.

<b>PROJECT DETAILS</b>	<b>IDB Sector</b>	TRADE-EXPORT AND INVESTMENT PROMOTION
	<b>Type of Operation</b>	Technical Cooperation
	<b>Additional Operation Details</b>	
	<b>Investment Checklist</b>	Generic Checklist
	<b>Team Leader</b>	Garcia, Pablo Marcelo (PGARCIA@iadb.org)
	<b>Project Title</b>	Local Economic Development through enhancing SME and FDI linkage
	<b>Project Number</b>	RG-T2347
	<b>Safeguard Screening Assessor(s)</b>	Esteves, Yasmin (YASMINE@iadb.org)
	<b>Assessment Date</b>	2013-05-16
	<b>Additional Comments</b>	

<b>SAFEGUARD POLICY FILTER RESULTS</b>	<b>Type of Operation</b>	Technical Cooperation	
	<b>Safeguard Policy Items Identified (Yes)</b>	Activities to be financed in the project area are located within a geographical area or sector exposed to natural hazards* (Type 1 Disaster Risk Scenario).	(B.01) Disaster Risk Management Policy– OP-704
		The Bank will make available to the public the relevant Project documents.	(B.01) Access to Information Policy– OP-102
		The operation is in compliance with environmental, specific women's rights, gender, and indigenous laws and regulations of the country where the operation is being implemented (including national obligations established under ratified	(B.02)

		Multilateral Environmental Agreements).	
		The operation (including associated facilities) is screened and classified according to their potential environmental impacts.	(B.03)
		Suitable safeguard provisions for procurement of goods and services in Bank financed projects may be incorporated into project-specific loan agreements, operating regulations and bidding documents, as appropriate, to ensure environmentally responsible procurement.	(B.17)
	<b>Potential Safeguard Policy Items(?)</b>	No potential issues identified	
	<b>Recommended Action:</b>	<p>Operation has triggered 1 or more Policy Directives; please refer to appropriate Directive(s). Complete Project Classification Tool. Submit Safeguard Policy Filter Report, PP (or equivalent) and Safeguard Screening Form to ESR.</p> <p>The project triggered the Disaster Risk Management policy (OP-704).</p> <p>A more limited and specific Disaster Risk Assessment (DRA) may be required (see Directive A-2 of the DRM Policy OP-704). Please contact a Natural Disaster Specialist in VPS/ESG or INE/RND for guidance.</p> <p>Also: if the project needs to be modified to increase resilience to climate change, consider the (i) possibility of classification as adaptation project and (ii) additional financing options. Please contact a INE/CCS adaptation specialist for guidance.</p>	
	<b>Additional Comments:</b>		

<b>ASSESSOR DETAILS</b>	<b>Name of person who completed screening:</b>	Esteves, Yasmin (YASMINE@iadb.org)
	<b>Title:</b>	
	<b>Date:</b>	2013-05-16

# SAFEGUARD SCREENING FORM

This Report provides a summary of the project classification process and is consistent with Safeguard Screening Form requirements. The printed Report should be attached as an annex to the PP (or equivalent) and sent to ESR.

1. Save as a Word document. 2. Enter additional information in the spaces provided, where applicable. 3. Save new changes.

<b>PROJECT DETAILS</b>	<b>IDB Sector</b>	TRADE-EXPORT AND INVESTMENT PROMOTION
	<b>Type of Operation</b>	Technical Cooperation
	<b>Additional Operation Details</b>	
	<b>Country</b>	REGIONAL
	<b>Project Status</b>	
	<b>Investment Checklist</b>	Generic Checklist
	<b>Team Leader</b>	Garcia, Pablo Marcelo (PGARCIA@iadb.org)
	<b>Project Title</b>	Local Economic Development through enhancing SME and FDI linkage
	<b>Project Number</b>	RG-T2347
	<b>Safeguard Screening Assessor(s)</b>	Esteves, Yasmin (YASMINE@iadb.org)
	<b>Assessment Date</b>	2013-05-16
	<b>Additional Comments</b>	

<b>PROJECT CLASSIFICATION SUMMARY</b>	<b>Project Category:</b> C	<b>Override Rating:</b>	<b>Override Justification:</b>
			<b>Comments:</b>
	<b>Conditions/ Recommendations</b>	<ul style="list-style-type: none"> <li>• No environmental assessment studies or consultations are required for Category "C" operations.</li> <li>• Some Category "C" operations may require specific safeguard or monitoring requirements (Policy Directive B.3).Where relevant, these operations will establish safeguard, or monitoring requirements to address environmental and other risks (social, disaster, cultural, health and safety etc.).</li> <li>• The Project Team must send the PP (or equivalent) containing the Environmental and Social Strategy (the requirements for an ESS are described in the Environment</li> </ul>	

		Policy Guideline: Directive B.3) as well as the Safeguard Policy Filter and Safeguard Screening Form Reports.
--	--	---

SUMMARY OF IMPACTS/RISKS AND POTENTIAL SOLUTIONS	Identified Impacts/Risks	Potential Solutions
--	--------------------------	---------------------

DISASTER SUMMARY	Details	Actions
	<p>The Project should include the necessary measures to reduce disaster risk to acceptable levels as determined by the Bank on the basis of generally accepted standards and practices. Alternative prevention and mitigation measures that decrease vulnerability must be analyzed and included in project design and implementation as applicable. These measures should include safety and contingency planning to protect human health and economic assets. Expert opinion and adherence to international standards should be sought, where reasonably necessary.</p>	<p>A more limited and specific Disaster Risk Assessment (DRA) may be required (see Directive A-2 of the DRM Policy OP-704). Please contact a Natural Disaster Specialist in VPS/ESG or INE/RND for guidance.</p> <p>Also: if the project needs to be modified to increase resilience to climate change, consider the (i) possibility of classification as adaptation project and (ii) additional financing options. Please contact a INE/CCS adaptation specialist for guidance.</p>

ASSESSOR DETAILS	Name of person who completed screening:	Esteves, Yasmin (YASMINE@iadb.org)
	Title:	
	Date:	2013-05-16