



Knowledge and Capacity Building Products (KCP) Proposal

Policy and Capacity Development

I. General Information

KCP Title:

Pilot Program for Climate Resilience Regional Phase I

OPUS Number:

RG-T2022

Date of Proposal:

4/21/2011

Linked to project:**Team Leader / Unit:**

ALLENG, GERARD P. - INE/ECC

Peer Reviewer 1:

BOULET, EMMANUEL ANDRE

Peer Reviewer 2:

NETTO DE A. C. SCHNEIDER, MARIA E.

Joint Proposal:

Proposed amount, without counterpart in USD equivalent (enter whole number only):

USD\$239,772

Proposed amount including counterpart (if applicable) in USD equivalent: **USD\$239,772**

Proposed Fund:

Strategic Climate Fund (SCX)

Unit of Technical Responsibility:

INE/ECC

Unit of Disbursement Responsibility:

CBL

Execution:

Recipient

Letters of Request available (or equivalent)

No

Doc# (IDBdocs):

Non-objection available:

No

Doc# (IDBdocs):

Execution period:

8 months

Disbursement period:

12 months

Required Start Date:

6/2/2011

Executing Agency:

The Caribbean Community Climate Change Centre (CCCCC).

Executing Agency description and capacity:

The Caribbean Community Climate Change Centre (CCCCC) will have the overall execution responsibility for the KCP.

The CCCCC was established by the CARICOM Heads of Government to manage the Caribbean Community's climate change program.

Resources from the KCP will fund a project coordinator to ensure that the KCP is executed on time and in line with the results framework for Phase 1 activities.

Resources from the KCP will also support the project's administrative and fiduciary activities.

Country of Origin of Executing Agency:

Belize

Contact in the Executing Agency:

Dr. Kenrick R. Leslie

E-mail address:

k.leslie@sbcglobal.net

Beneficiary Countries:

Barbados, Bahamas, Belize, Guyana, Haiti, Jamaica, Suriname and Trinidad and Tobago.

Beneficiary entity: Caribbean Community Climate Change Centre

Sector: Environment and Natural Disasters

II. KCP Type**Origination**

Originated in Bank: The KCP is the result of the Bank's collaboration with countries and organizations in the Climate Investment Fund (CIF) program.

Scope

Short term purpose:

Demand for this KCP has an immediate, short-term scope possibly drawing from knowledge and experience that is readily available.

III. Alignment of the KCP proposal with IDB's Institutional Priorities (GCI9).

5. Protecting the Environment and Responding to Climate Change

Environment

3.1 Explain how the knowledge produced with this KCP will be used (policy advice, institutional strengthening, input for programming and strategy, pipeline development, input for other KCPs):

The Bank recently approved the Strategic Climate Fund (SCF) (Document GN- 2604-2) which is one of the two Climate Investment Fund dedicated towards integrating climate change concerns into development. The SCF serves as an overarching fund to support targeted programs with dedicated funding to pilot new approaches with potential for scaled-up, transformational action aimed at a specific climate change challenge or sectoral response. The scope of the SCF is to provide experience and lessons through learning-by-doing, channel new and additional financing for climate change mitigation and adaptation, provide incentives for scaled-up and transformation action in the context of poverty reduction, provide incentives to maintain, restore and enhance carbon-rich natural ecosystems, and maximize the co-benefits of sustainable development. Within the SCF there are 3 programs one of them the Pilot Program for Climate Resilience (PPCR), and is designed to address capacity and resources constraints and climate uncertainty. The PPCR pilots and demonstrates ways to integrate climate risks and resilience with low-income countries' core development planning. The PPCR operates in 2 phases: phase one supports countries to develop a strategic program for climate resilience (SPCR), including technical assistance to prepare an underlying investment program. Phase two provides financing for implementing the strategic program."

Phase I of the regional pilot is to develop the SPCR and Phase II is its implementation at the regional level interacting with the national programs.

IV. OBJECTIVES, EXPECTED RESULTS AND ACTIVITIES (Maximum 4 pages)

4.1 Describe the challenge that this KCP addresses (e.g. policy, institutional, technical):

The project seeks to address in the context of climate change at the regional level: (i) the need for more sustained access and application by national programs of tools, facilities and support for climate resilience provided by regional organizations; (ii) constraints on data management and information sharing; (iii) the inadequacy of available data for priority issues and for impact analysis; and (iv) key elements required for an enabling environment for climate resilient development are not in place. The focus of the regional track of the Caribbean PPCR is to help address these issues by developing and implementing a program, the Strategic Program for Climate Resilience (SPCR).

4.2 Describe lessons learned from previous similar KCP:

N/A

4.3 State the KCP objectives:

The primary objective of the KCP is to help participating countries and regional organizations develop Phase I of regional track of the Caribbean Pilot Program for Climate Resilience (PPCR) under the Strategic Climate Fund- (SCX).

4.4 State the KCP expected results:

The project will result in a strategic program for climate resilience (SPCR), which is strategic framework for the implementation of the regional track of the Caribbean Pilot Program for Climate Resilience (PPCR). THE SPCR will be centered on the following cross cutting themes:

- i. Monitoring and climate modeling;
- ii. An Enabling environment (policy and institutional framework);
- iii. Raising the political profile of the importance of factoring climate risks into sustainable land-use management and spatial planning;
- iv. Capacity building and awareness raising aimed at different levels, including sectors and policy makers;
- v. Integration of climate change into development and budget planning.

The SPCR will be implemented during the first quarter of 2012.

4.5 Provide a description of the main outputs and related activities expected to be carried out:

The main outputs of this KCP are:

1. Recommendations for improving and standardizing data management practices.
2. Articulated measures to support the improvement and standardization of data management protocols integrated into the SPCR for implementation in Phase II of the PPCR.
3. Options for additional functionality to Information Clearing House Facility to accommodate active collaboration and information sharing on approaches and best practices in climate resilience initiatives and the hosting of English and French language executive summaries of key climate change adaptation and climate resilience related documents.
4. Articulated measures to support private sector involvement and execution of climate change adaptation activities in the SPCR for implementation in Phase II of the PPCR.
5. Climate risk screening toolkit
6. Articulated measures to advance climate proofing within the SPCR for implementation in Phase II of the PPCR.
7. Assessment of objectives and related measures of the national PPCR Phase II initiatives.
8. A framework to facilitate high PPCR impact and effective monitoring and evaluation.

9. Documentation of policies and legal frameworks, institutional and resource capacity building measures, climate impact studies and incentive for private sector investment in climate resilience undertaken or intended through climate change adaptation initiatives along with identified needs in terms of countries and areas.

10. Strategic Plan for Climate Resilience along with timeline and financial proposal for implementation during Phase II of the SPCR.

The main activities expected to be carried out are:

Activity 1: Evaluation of data collection and management of systems and processes.

Activity 2: Workshop on climate modeling and monitoring systems.

Activity 3: Assessment of clearing house facility.

Activity 4: Develop and pilot of climate risk screening toolkit to support better mainstreaming of climate change adaptation.

Activity 5: Regional policy dialogue to facilitate infusion of climate change issues into policy.

Activity 6: Stakeholder consultations aimed at raising awareness on climate change issues and identifying needs and measures to address these in the Strategic Plan for Climate Resilience.

4.6 Identify the main audience or expected users of knowledge generated or disseminated by this KCP

The main users of the knowledge generated will be both participating and non-participating countries within the regional PPCR, the private sector both across the region, regional organizations, donor agencies and multilateral development banks, and civil society groups.

4.7 Additional technical information

If necessary, in an appendix please provide further technical details that you consider relevant to evaluate the technical quality of this KCP. Appendix Doc# (IDBDocs): [35820319](#)

V. KCPs RESULTS FRAMEWORK. Main Outcomes and Outputs.

5.1 Results Matrix:

Please, in the first column fill in each outcome indicator with the relevant outputs. You can use the table of indicators here attached.

| Results Matrix | | | | | | | | | |
|---|------|----------|------|---------|--------|---------|--------|--------------------------|-------------------|
| | Unit | Baseline | | Year 1 | | Year 2 | | Expected Completion Date | Data Source |
| | | Value | Year | Planned | Actual | Planned | Actual | | |
| Number of programs for climate change resilience designed | # | 0 | 2011 | 1 | | | | 01/31/2012 | CIF documentation |
| # of SPCRs prepared | # | 0 | 2011 | 1 | | | | 01/31/2012 | CIF documentation |
| # of climate risk toolkits prepared | # | 0 | 2011 | 1 | | | | 01/31/2012 | CIF documentation |

Note: Please note that this outcome and output indicators will be the input for the PMR and will be monitored in the Quarterly Business Review.

VI. BUDGET (*):

6.1 Budget should be presented by outputs or groups of outputs (for example: three publications, three conferences, seminars or workshops; one database; one survey; two training courses), and the relevant activities. Total available funds from counterpart sources should be reported. Use whole numbers only.

| Costs | Project Cost – IDB Financing | | | | | Counter-part Resources | Other Financing |
|---|------------------------------|--|--------|--------|---------------|------------------------|-----------------|
| | Consult. | Year 1 Travel (consultants only) | Other | Year 2 | Total request | | |
| Capacity Development and Information Sharing | 36,344 | 10,768 | 40,000 | 0 | 87,112 | 0 | 0 |
| • Evaluation of Data Collection & Management of Systems & Processes | 36,344 | 10,768 | | | 47,112 | | |
| • Workshop on Climate Modeling & Monitoring Systems | | | 36,000 | | 36,000 | | |
| • Assessment of clearing house facility | | | 4,000 | | 4,000 | | |
| Advocacy and Policy Development | 30,500 | 10,810 | 11,350 | 0 | 52,660 | 0 | 0 |
| • Develop & Pilot of Climate Risk Screening Toolkit | 30,500 | | | | 30,500 | | |
| • Regional Policy Dialogue | | 10,810 | 1,350 | | 12,160 | | |
| • Stakeholder consultations | | | 10,000 | | 10,000 | | |
| Project Administration | 67,000 | 20,000 | 0 | 0 | 87,000 | 0 | 0 |
| • Project coordinator | 60,000 | 20,000 | 0 | 0 | 80,000 | | |
| • Administrative and Accounting Support | 7,000 | | | | 7,000 | | |
| Auditing | 8,000 | 0 | 0 | 0 | 8,000 | 0 | 0 |
| Sub-total | 141,844 | 41,578 | 51,350 | 0 | 234,772 | 0 | 0 |
| | Monitoring and evaluation | | | | 5,000 | | |
| Total | 141,844 | 41,578 | 51,350 | 0 | 239,772 | 0 | 0 |
| Approximate value of in-kind counterpart | | | | | | | |

(*) More details may be required by donors (e.g. consultant cost per day);

Financial Management: The Administrative and Accounting support will be provided by the CCCCC, who will assign personnel for this activity; the Centre will be paid an honorarium as compensation for such a service.

6.2 Describe the source and type of counterpart resources

No counterpart will be provided.

6.3 Types of Consultants: Firms or individuals and main activities/outputs:

| Type: Individual or Firm (if | Nationality (if available) | Estimated Cost | Main Activities / Outputs |
|------------------------------|----------------------------|----------------|--|
| Individual | BELIZE | 80,000 | Program Coordinator |
| Individual | TDB | 47,112 | Evaluation of data collection and management systems |
| Individual | TDB | 30,500 | Development of a National Level Climate Risk Screening Toolkit |

Complete information on the activities and the Procurement of this KCP is provided. Please see the record below: [35830574](#). Procurements will be carried out accordance with Bank Policies for the Selection and Contracting of Consultants Financed by the Inter-American Development Bank (GN-2350-9) and Policies for the procurement of works and goods financed by the IDB (GN-2349-9), of March 2011; with the provisions established in the Program Agreement and the respective procurement plan.

Terms of Reference for consultants are provided in Doc#(IDBDocs): [35820752](#)

VII. Bank costs:

For the Bank's internal purposes, please provide information in Bank costs associated with the execution of this KCP:

7.1 Bank staff participation in KCP:

| Staff Name | Bank Unit | FTEs |
|-----------------------------|-----------|------|
| ALLENG, GERARD P. | INE/ECC | 0.1 |
| JIMENEZ MOSQUERA, JAVIER I. | LEG/SGO | 0.05 |
| LYNCH, VANESSA N. | CID/CBL | 0.05 |
| GRUNWALDT, ALFRED HANS | INE/ECC | 0.02 |
| ROGERS, CASSANDRA T. | RND/CBA | 0.02 |
| VISCONTI, GLORIA | INE/ECC | 0.01 |
| WILLY BENDRIX | PDP/CCR | 0.01 |
| LUIS CESAR ACOSTA SALAZAR | PDP/CDR | 0.01 |

VIII. Risks:

Fill-out the KCP's Environmental Screening and Classification using this link to the [Environmental Screening and Classification Toolkit](#). Then save it in IDBDOCS and record its number in the box below (*):

[35830858](#)

8.1 Implementation Risks:

A major risk will be the lack of capacity at the EA to implement and coordinator the program. This will be mitigated by the hiring of a project coordinator to manage the program.

8.2 Please identify key environmental and social risks and impacts, and the strategy to address them:

None

IX. Coordination with other MDBs

9.1 Summarize collaboration or coordination with other MDBs, donors and other strategic partners (if any):

The PPCR is a joint MDBs initiative (IDB, World Bank and IFC) that ensures alignment with development strategies, coordination, programmatic approach, and leveraging regional synergies. The development of the PPCR program is strategically aligned with other donor funded activities to provide financing for projects scaled-up adaptation measures, and provide a common platform for development partners to cooperate and harmonize approaches.

X. Monitoring and evaluation plan.

Fill-out the KCP's Development Effectiveness Matrix (DEM) using this link to the PCD [DEM template](#). Then save it in IDBDOCS and record its number (*): [35824441](#)

10.1 Summarize the basic elements of the Monitoring and Evaluation plan, including key activities and associated budget:

The drafting of the SPCR will be completed by September 2011 and will be submitted to the PPCR Sub-Committee of the Climate Investment Funds (CIF) in October 2011. A final evaluation report will be prepared at the end of program in December 2011. The cost of the evaluation is US\$10,000 and is included in the budget.

CCCCC will have overall responsibility for monitoring and evaluation of the project. A results framework for Phase 1 activities will be developed with performance indicators, measurable target/outputs and outcomes. Final Audited Financial Statements required 90 days after the final disbursement date.

The regional track PPCR activities will be spearheaded by a regional coordinator who will be guided by the PPCR steering committee and have oversight from the tasking managers at the IDB and the WB. Given its overall coordinating role, the coordinator will interact regularly with the Caribbean Community Climate Change Centre. For effective execution of activities, the coordinator will liaise, inter-alia, with the coordinators of the PPCR national track programs, and with PPCR affiliated capacities at the World Bank (WB), the International Finance Corporation (IFC) and the Inter-American Development Bank (IDB) and its private sector and the Caribbean Development Bank (CDB), and with sustainable development/environment/climate change specialists and officers from other key regional organizations. It is envisaged that the flow of funds for Phase I will be channeled through the IDB and executed by relevant regional organizations. The Program Coordinator will submit a first draft SPCR with indicative budget to the PPCR Focal Point by the end of May 2011. The reviewed second draft version of the SPCR will have to be submitted by the end of June 2011 and, by the end of July 2011, the final draft SPCR will have to be submitted to the Banks for review.

10.2 Exceptions to Bank policies:

None

10.3 Contractual Clauses:

None

(*) All documents saved in IDBDOCS must have read permissions granted to the group DOCS Users