

## SAFEGUARD POLICY FILTER REPORT

This Report provides guidance for project teams on safeguard policy triggers and should be attached as an annex to the PP (or equivalent) together with the Safeguard Screening Form, and sent to ESR.

1. Save as a Word document.
2. Enter additional information in the spaces provided, where applicable.
3. Save new changes.

<b>PROJECT DETAILS</b>	<b>IDB Sector</b>	SOCIAL INVESTMENT-POVERTY ALLEVIATION
	<b>Type of Operation</b>	Investment Loan
	<b>Additional Operation Details</b>	
	<b>Investment Checklist</b>	Generic Checklist
	<b>Team Leader</b>	Ochoa, Francisco Jose (FOCHOA@iadb.org)
	<b>Project Title</b>	Integrated Prog for Social Inclusion and Urban Requalification: Fam Paranaense
	<b>Project Number</b>	BR-L1372
	<b>Safeguard Screening Assessor(s)</b>	Guerra, Martha M. (MARTHAG@iadb.org)
	<b>Assessment Date</b>	2012-11-19
	<b>Additional Comments</b>	

<b>SAFEGUARD POLICY FILTER RESULTS</b>	<b>Type of Operation</b>	Loan Operation	
	<b>Safeguard Policy Items Identified (Yes)</b>	Potential disruption to people's livelihoods living in the project's area of influence (not limited to involuntary displacement, also see Resettlement Policy.)	(B.01) Resettlement Policy– OP-710
		The Bank will make available to the public the relevant Project documents.	(B.01) Access to Information Policy– OP-102
		The operation is in compliance with environmental, specific women's rights, gender, and indigenous laws and regulations of the country where the operation is being implemented (including national obligations established under ratified Multilateral Environmental Agreements).	(B.02)
		The operation (including associated facilities) is screened and classified according to their potential environmental impacts.	(B.03)

		An Environmental Assessment is required.	(B.05)
		Consultations with affected parties will be performed equitably and inclusively with the views of all stakeholders taken into account, including in particular: (a) equal participation of women and men, (b) socio-culturally appropriate participation of indigenous peoples and (c) mechanisms for equitable participation by vulnerable groups.	(B.06)
		The Bank will monitor the executing agency/borrower's compliance with all safeguard requirements stipulated in the loan agreement and project operating or credit regulations.	(B.07)
		Any part of the investment or component(s) is being co-financed.	(B.15)
		Suitable safeguard provisions for procurement of goods and services in Bank financed projects may be incorporated into project-specific loan agreements, operating regulations and bidding documents, as appropriate, to ensure environmentally responsible procurement.	(B.17)
	<b>Potential Safeguard Policy Items(?)</b>	No potential issues identified	
	<b>Recommended Action:</b>	Operation has triggered 1 or more Policy Directives; please refer to appropriate Directive(s). Complete Project Classification Tool. Submit Safeguard Policy Filter Report, PP (or equivalent) and Safeguard Screening Form to ESR.	
	<b>Additional Comments:</b>		

<b>ASSESSOR DETAILS</b>	<b>Name of person who completed screening:</b>	Guerra, Martha M. (MARTHAG@iadb.org)
	<b>Title:</b>	
	<b>Date:</b>	2012-11-19

## SAFEGUARD SCREENING FORM

This Report provides a summary of the project classification process and is consistent with Safeguard Screening Form requirements. The printed Report should be attached as an annex to the PP (or equivalent) and sent to ESR.

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<b>PROJECT DETAILS</b>	<b>IDB Sector</b>	SOCIAL INVESTMENT-POVERTY ALLEVIATION
	<b>Type of Operation</b>	Investment Loan
	<b>Additional Operation Details</b>	
	<b>Country</b>	BRAZIL
	<b>Project Status</b>	
	<b>Investment Checklist</b>	Generic Checklist
	<b>Team Leader</b>	Ochoa, Francisco Jose (FOCHOA@iadb.org)
	<b>Project Title</b>	Integrated Prog for Social Inclusion and Urban Requalification: Fam Paranaense
	<b>Project Number</b>	BR-L1372
	<b>Safeguard Screening Assessor(s)</b>	Guerra, Martha M. (MARTHAG@iadb.org)
	<b>Assessment Date</b>	2012-11-19
	<b>Additional Comments</b>	

<b>PROJECT CLASSIFICATION SUMMARY</b>	<b>Project Category:</b> B	<b>Override Rating:</b>	<b>Override Justification:</b>
			<b>Comments:</b>
	<b>Conditions/ Recommendations</b>	<ul style="list-style-type: none"> <li>Category "B" operations require an environmental analysis (see Environment Policy Guideline: Directive B.5 for Environmental Analysis requirements).</li> <li>The Project Team must send to ESR the PP (or equivalent) containing the Environmental and Social Strategy (the requirements for an ESS are described in the Environment Policy Guideline: Directive B.3) as well as the Safeguard Policy Filter and Safeguard Screening Form Reports.</li> <li>These operations will normally require an environmental and/or social impact analysis, according to, and focusing on, the specific issues identified in the screening process, and an environmental and social management plan (ESMP). However, these operations should also establish safeguard, or monitoring requirements to address environmental and other risks (social, disaster, cultural, health and safety etc.) where necessary.</li> </ul>	

<b>SUMMARY OF IMPACTS/RISKS AND POTENTIAL SOLUTIONS</b>	<b>Identified Impacts/Risks</b>	<b>Potential Solutions</b>
	One of the main objectives of the project is to move people from unhealthy and/or high risk areas. Project does not affect Indigenous Peoples or other traditional land based groups and the executing agency has experience of this type of project	<b>Develop Resettlement Plan (RP):</b> Where large numbers of people are affected the project is required to develop a RP or Resettlement Framework (as part of the ESMP) that demonstrates the following attributes: (a) detailed socio-economic survey and baseline of the affected households and groups or procedures to prepare such surveys; (b) successful engagement with affected parties via a process of Community Participation; (c) mechanisms for delivery of compensation in a timely and efficient fashion; (d) a livelihoods restoration program; (e) budgeting and internal capacity (within borrower's organization) to monitor and manage resettlement activities as necessary over the course of the project; and (f) a grievance mechanism for resettled people. Depending on the financial product, the RP should be referenced in legal documentation (covenants, conditions of disbursement, credit and operating regulations, project completion tests, etc.), require regular (quarterly, bi-annual or annual) reporting and independent review of implementation, including participatory monitoring.

<b>ASSESSOR DETAILS</b>	<b>Name of person who completed screening:</b>	Guerra, Martha M. (MARTHAG@iadb.org)
	<b>Title:</b>	
	<b>Date:</b>	2012-11-19