

PMR Operational Report

| | | | |
|-----------------------------|----------------------------------|-----------------------------------------------|----------|
| Operation Number | PE-X1004 | Chief of Operations Validation Date | 09/27/17 |
| Year- PMR Cycle | First period Jan-Jun 2017 | Division Chief Validation Date | |
| Last Update | 09/26/17 | Country Representative Validation Date | |
| PMR Validation Stage | Validated by Chief of Operations | | |

Basic Data

Operation Profile

| | | | |
|---------------------------|---------------------------------------------------|-----------------------------------------|-------------------------------------------------------------------|
| Operation Name | Water and Sanitation Improvement Program | Loan Number | GRT/WS-12127-PE |
| Executing Agency | MINISTERIO DE VIVIENDA CONSTRUCCION Y SANEAMIENTO | Sector/Subsector | AS - WATER AND SANITATION |
| Team Leader | ORELLANA AREVALO,EDGAR R. | Overall Stage | Disbursing (From eligibility until all the Operations are closed) |
| Operation Type | Investment Grants | Country | PERU |
| Lending Instrument | | Convergence related Operation(s) | |
| Borrower | | | |

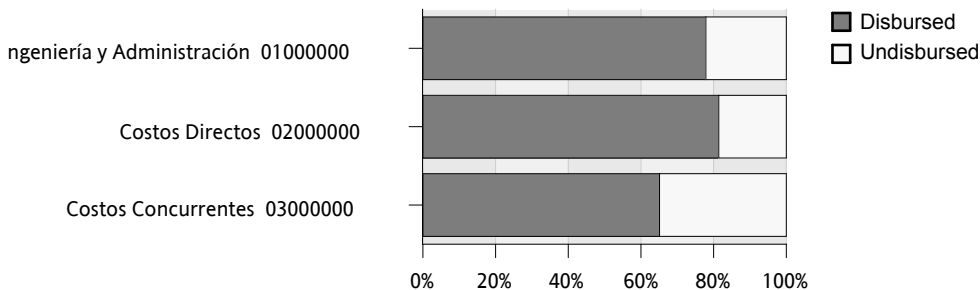
Environmental and Social Safeguards

| | | | |
|-------------------------------------------------|---|------------------------------------------------------------------|----|
| Impacts Category | C | Was/Were the objective(s) of this operation reformulated? | NO |
| Safeguard Performance Rating | | Date of approval | |
| Safeguard Performance Rating - Rationale | | | |

Financial Data

| Item | Total Cost and Source | | | | | Available Funds (US\$) | | | |
|-------------------|-----------------------|-------------------|-------------------|------------------------|---------------------|------------------------|----------------------|---------------|---------------------|
| | Original IDB | Current IDB | Local Counterpart | Co-Financing / Country | Total Original Cost | Current IDB | Disb. Amount to Date | % Disb | Undisbursed Amount |
| PE-X1004 | 72,000,000 | 72,000,000 | 18,000,000 | 0 | 90,000,000 | 72,000,000 | 70,545,230.27 | 97.98% | 1,454,769.73 |
| Aggregated | 72,000,000 | 72,000,000 | 18,000,000 | 0 | 90,000,000 | 72,000,000 | 70,545,230.27 | 97.98% | 1,454,769.73 |

Expense Categories by Loan Contract (cumulative values)



Please note that the Overall Stage represents the stage of the operation at the time of this report's publication, which might not necessarily match the stage of the operation during the PMR Cycle to which the report pertains. Please also note that inactive indicators and outputs are not displayed; totals in the actual cost table may not match the sum of the cost of the outputs displayed, due to the cost of inactive outputs.

PMR Operational Report

RESULTS MATRIX

IMPACTS

No information available for this section

PMR Operational Report

RESULTS MATRIX

OUTCOMES

Outcome Nbr. 1: Cobertura de los servicios de agua potable y saneamiento a la población de áreas rurales y pequeñas ciudades del Perú incrementado

Observation:

| Indicator | | Unit of Measure | Baseline | Baseline Year | | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | EOP 2018 |
|-----------|---------------------------------------------------------|-----------------|----------|---------------|------|------|------|------|-----------|-----------|-----------|-----------|------------|
| 1.1 | Habitantes con servicios agua funcionando adecuadamente | Habitante | 0.0 | 2011 | P | | | | | | | | 201,000.00 |
| | | | | | P(a) | 0.00 | 0.00 | 0.00 | 21,502.00 | 19,898.00 | 42,171.00 | 69,429.00 | 153,000.00 |
| | | | | | A | | | 0.00 | 20,480.00 | 14,305.00 | 19,399.00 | | |

Details

Means of verification: Evaluación ex post

| | | | |
|-------------------|----|----------------------|----|
| Pro-Gender | No | Pro-Ethnicity | No |
|-------------------|----|----------------------|----|

| Indicator | | Unit of Measure | Baseline | Baseline Year | | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | EOP 2018 |
|-----------|--------------------------------------------------------|-----------------|----------|---------------|------|------|------|------|----------|----------|-----------|-----------|-----------|
| 1.2 | Hogares con Unidades Básicas de Saneamiento operativas | Households (#) | 5448.0 | 2011 | P | | | | | | | | 34,872.00 |
| | | | | | P(a) | 0.00 | 0.00 | 0.00 | 3,479.00 | 4,331.00 | 12,845.00 | 12,595.00 | 33,250.00 |
| | | | | | A | | | 0.00 | 5,314.00 | 5,856.00 | 6,208.00 | | |

Details

Means of verification: Evaluación ex post

Observations: 5448 equivale al 10% consignado en la línea de base y 34872 al 64% de los hogares beneficiados de la matriz de resultados inicial

| | | | |
|-------------------|----|----------------------|----|
| Pro-Gender | No | Pro-Ethnicity | No |
|-------------------|----|----------------------|----|

| | | | | | | | | | | | | | |
|--|-----------------|--|--|--|------|--|--|--|--|--|--|--|--|
| | Afro-descendant | | | | P | | | | | | | | |
| | | | | | P(a) | | | | | | | | |
| | | | | | A | | | | | | | | |
| | Indigenous | | | | P | | | | | | | | |
| | | | | | P(a) | | | | | | | | |
| | | | | | A | | | | | | | | |

| Indicator | | Unit of Measure | Baseline | Baseline Year | | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | EOP 2018 |
|-----------|--------------------------------------------------|-----------------|----------|---------------|------|------|------|------|----------|----------|-----------|-----------|-----------|
| 1.3 | Hogares con servicios de agua potable operativos | Households (#) | 6483.0 | 2011 | P | | | | | | | | 32,872.00 |
| | | | | | P(a) | 0.00 | 0.00 | 0.00 | 3,347.00 | 4,071.00 | 11,158.00 | 12,584.00 | 31,160.00 |
| | | | | | A | | | 0.00 | 5,120.00 | 6,175.00 | 5,065.00 | | |

Details

Means of verification: Evaluación ex post

PMR Operational Report

RESULTS MATRIX

OUTCOMES

Observations: 6483 equivale al 12.2% consignado en la línea de base y 34872 al 64% de los hogares beneficiados en la matriz de resultados inicial

| Pro-Gender | | No | Pro-Ethnicity | | No | | | | | | | | |
|------------|-----------------|----|---------------|--|------|--|--|--|--|--|--|--|--|
| | Afro-descendant | | | | P | | | | | | | | |
| | | | | | P(a) | | | | | | | | |
| | | | | | A | | | | | | | | |
| | Indigenous | | | | P | | | | | | | | |
| | | | | | P(a) | | | | | | | | |
| | | | | | A | | | | | | | | |

| Indicator | | Unit of Measure | Baseline | Baseline Year | | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | EOP 2018 |
|-----------|-------------------------------------------------------------------|-----------------|----------|---------------|------|------|------|------|-----------|-----------|-----------|-----------|------------|
| 1.4 | Habitantes con servicios de saneamiento funcionando adecuadamente | Habitante | 0.0 | 2011 | P | | | | | | | | 201,000.00 |
| | | | | | P(a) | 0.00 | 0.00 | 0.00 | 21,502.00 | 19,928.00 | 42,171.00 | 69,399.00 | 153,000.00 |
| | | | | | A | | | 0.00 | 21,256.00 | 13,529.00 | 19,398.00 | | |

Details

Means of verification: Evaluación ex post

| Pro-Gender | | No | Pro-Ethnicity | | No | | | | | | | | |
|------------|------------------------------------------------------------------|-----------------|---------------|---------------|------|------|------|------|------|------|------|----------|----------|
| Indicator | | Unit of Measure | Baseline | Baseline Year | | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | EOP 2018 |
| 1.5 | Hogares con acceso a servicio de tratamiento de aguas residuales | Households (#) | 0.0 | 2011 | P | | | | | | | | |
| | | | | | P(a) | | | | 0.00 | 0.00 | 0.00 | 3,000.00 | 3,000.00 |
| | | | | | A | | | 0.00 | 0.00 | 0.00 | | | |

Details

Means of verification: Reportes sistema comercial, gerencia centro. Informes semestrales

| Pro-Gender | | No | Pro-Ethnicity | | No | | | | | | | | |
|------------|--|----|---------------|--|----|--|--|--|--|--|--|--|--|
|------------|--|----|---------------|--|----|--|--|--|--|--|--|--|--|

RESULTS MATRIX

OUTPUTS: ANNUAL PHYSICAL AND FINANCIAL PROGRESS

Component Nbr. 1 Inversiones en infraestructura.

| | Output | Unit of Measure | | PHYSICAL PROGRESS | | FINANCIAL PROGRESS | |
|-----|-----------------------------------------------------------------------|---------------------------------|------|-------------------|----------|--------------------|----------------|
| | | | | 2017 | EOP 2018 | 2017 | EOP 2018 |
| 1.1 | Sistemas de agua potable instalados. | Sistema | P | | 362 | | 43,160,900 |
| | | | P(a) | 134 | 344 | 64,033,948.19 | 176,561,517.51 |
| | | | A | 73 | 194 | 29,009,311.25 | 98,847,581.78 |
| 1.2 | Unidades Básicas de Saneamiento instaladas | Unidades Básicas de Saneamiento | P | | 38,444 | | 14,125,600 |
| | | | P(a) | 14,298 | 35,000 | 27,443,120.65 | 75,669,221.34 |
| | | | A | 6,208 | 17,378 | 12,432,561.96 | 42,363,248.88 |
| 1.3 | Localidades con Plantas de tratamiento de aguas residuales instaladas | Localidades | P | | 19 | | 6,800,700 |
| | | | P(a) | 0 | 7 | 7,614,628.2 | 25,326,094 |
| | | | A | 0 | 0 | 0 | 0 |

Component Nbr. 2 Preparación de proyectos, desarrollo comunitario y fortalecimiento institucional y de gestión.

| | Output | Unit of Measure | | PHYSICAL PROGRESS | | FINANCIAL PROGRESS | |
|-----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|------|-------------------|----------|--------------------|---------------|
| | | | | 2017 | EOP 2018 | 2017 | EOP 2018 |
| 2.1 | Estudios de Pre Inversion viables | Estudio | P | | 362 | | 4,161,500 |
| | | | P(a) | | 348 | | 9,845,726.85 |
| | | | A | 0 | 348 | 0 | 9,296,763.35 |
| 2.2 | Estudios de Inversión viables | Estudio | P | | 362 | | 8,323,000 |
| | | | P(a) | 23 | 342 | 261,987.25 | 4,632,049.65 |
| | | | A | 16 | 335 | 58,984.74 | 4,429,047.14 |
| 2.3 | Municipalidades de pequeñas ciudades, con Unidades de Gestión (UG) u Operadores Especializados (OE) creadas. | Municipalidades | P | | 18 | | 225,200 |
| | | | P(a) | 0 | 7 | | 161,607.64 |
| | | | A | 0 | 0 | 0 | 0 |
| 2.4 | Usuarios capacitados en el valor social y económico de los servicios de agua y saneamiento pequeñas localidades | Usuarios | P | | 9,301 | | 36,000 |
| | | | P(a) | 0 | 7,000 | | 242,411.46 |
| | | | A | 0 | 0 | 0 | 0 |
| 2.5 | Organizaciones comunales (Juntas Administradoras de Servicios de Saneamiento-JASS u otro), capacitadas para Administrar, Operar y Mantener (AOM) los servicios de saneamiento | Organizaciones | P | | 343 | | 3,090,600 |
| | | | P(a) | 170 | 335 | 2,745,815.01 | 7,446,314.6 |
| | | | A | 32 | 83 | 798,126.8 | 3,668,083.05 |
| 2.6 | Usuarios capacitados en el valor social y económico de los servicios de agua y saneamiento rural | Usuarios | P | | 17,000 | | 2,376,300 |
| | | | P(a) | 7,091 | 25,077 | 4,118,722.51 | 11,321,442.24 |
| | | | A | 8,632 | 21,890 | 1,197,190.21 | 5,654,094.93 |

RESULTS MATRIX

OUTPUTS: ANNUAL PHYSICAL AND FINANCIAL PROGRESS

Component Nbr. 3 Fortalecimiento del sector y desarrollo de capacidades.

| | Output | Unit of Measure | | PHYSICAL PROGRESS | | FINANCIAL PROGRESS | |
|-----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|------|-------------------|----------|--------------------|--------------|
| | | | | 2017 | EOP 2018 | 2017 | EOP 2018 |
| 3.1 | Planes regionales de saneamiento aprobados por la Dirección Nacional de Saneamiento. | Planes Regionales | P | | 3 | | 465,700 |
| | | | P(a) | | 2 | | 650,075.82 |
| | | | A | 0 | 2 | 0 | 407,647.18 |
| 3.2 | Propuesta de Normas Sectoriales para el ámbito rural y pequeñas Ciudades aprobados por la Dirección Nacional de Saneamiento. | Propuesta de Normas | P | | 4 | | 640,100 |
| | | | P(a) | | 4 | 16,363.64 | 1,209,808.48 |
| | | | A | 0 | 4 | 0 | 1,193,444.84 |
| 3.3 | Funcionarios de las Oficinas de Proyectos de Inversión-OPIS de Gobiernos Regionales, competentes para realizar "Evaluación de Proyectos de Inversión Pública - PIP en Agua y Saneamiento". | Funcionario | P | | 5 | | 133,100 |
| | | | P(a) | | 5 | | 197,000 |
| | | | A | 0 | 5 | 0 | 108,743.04 |
| 3.4 | Funcionarios de las Direcciones Regionales del Viceministerio de Construcción y Saneamiento (DRVCS) competentes en formulación y evaluación de proyectos en agua y saneamiento | Funcionario | P | | 5 | | 65,000 |
| | | | P(a) | | 5 | | 65,000 |
| | | | A | 0 | 5 | 0 | 29,996.62 |

Component Nbr. 4 Admnsitración del Programa

| | Output | Unit of Measure | | PHYSICAL PROGRESS | | FINANCIAL PROGRESS | |
|-----|------------------------------------------------|-----------------|------|-------------------|----------|--------------------|---------------|
| | | | | 2017 | EOP 2018 | 2017 | EOP 2018 |
| 4.1 | Informes de Gestión, Administración y Finanzas | Informes | P | | 10 | | 5,332,729 |
| | | | P(a) | 2 | 18 | 2,523,316.65 | 10,706,562.73 |
| | | | A | 1 | 14 | 931,329.14 | 9,114,575.22 |

Total Cost

| | | | | | | | |
|--|------------|------|--|--|--|---------------|----------------|
| | Total Cost | P | | | | | 89,368,529 |
| | | P(a) | | | | 108,757,902.1 | 324,034,832.32 |
| | | A | | | | 44,427,504.1 | 175,113,226.03 |

CHANGES TO THE MATRIX

No information available for this section