

PMR Public Report

| | | | |
|-----------------------------|----------------------------------|---|----------|
| Operation Number | GU-X1005 | Chief of Operations Validation Date | 09/29/21 |
| Year- PMR Cycle | First period Jan-Jun 2021 | Division Chief Validation Date | |
| Last Update | 09/29/21 | Country Representative Validation Date | |
| PMR Validation Stage | Validated by Chief of Operations | | |

Basic Data

Operation Profile

| | | | |
|---------------------------|--|---|---|
| Operation Name | Water and Sanitation Program for Human Development | Loan Number | GRT/WS-11905-GU |
| Executing Agency | SECRETARIA GENERAL DE LA PRESIDENCIA, INSTITUTO DE FOMENTO MUNICIPAL | Sector/Subsector | WATER AND SANITATION |
| Team Leader | GOBITZ, HUMBERTO A. | Overall Stage | Disbursing (From eligibility until all the Operations are closed) |
| Operation Type | Investment Grants | Country | Guatemala |
| Lending Instrument | | Convergence related Operation(s) | GU-L1039 |
| Borrower | | | |

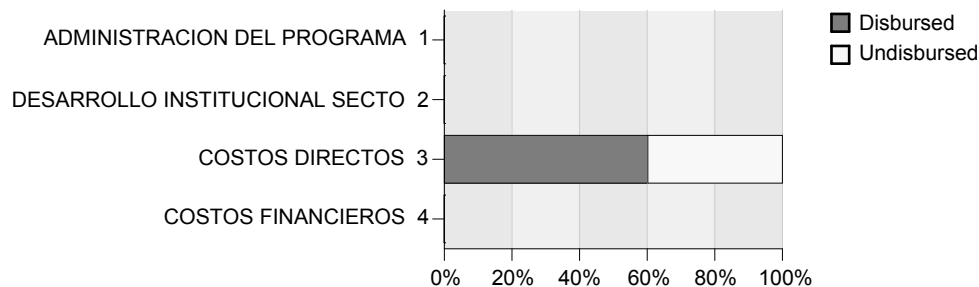
Environmental and Social Safeguards

| | | | |
|---|---|--|----|
| Impacts Category | B13 | Was/Were the objective(s) of this operation reformulated? | NO |
| Safeguard Performance Rating | Partially Satisfactory | Date of approval | |
| Safeguard Performance Rating - Rationale | Se ha mejorado la gestión socioambiental, sin embargo, se mantiene el desempeño en PS debido a que no se ha completado la Gestión de derechos de paso, asunto que se mantiene desde la misión anterior y que por contrato debía estar finiquitado antes de iniciar las obras. Además, existen conflictos con la comunidad de San Pedro Sacatepéquez debido a quejas por la pavimentación de las calles después de finalizadas las obras. Está pendiente igualmente la entrega un informe de corta de árboles y compensación forestal, en especial clarificando el tipo de ecosistema natural que se está interviniendo en San Pedro Sacatepequez. | | |

Financial Data

| Item | Total Cost and Source | | | | | Available Funds (US\$) | | | |
|-------------------|-----------------------|--------------------|-------------------|------------------------|---------------------|------------------------|----------------------|---------------|--------------------|
| | Original IDB | Current IDB | Local Counterpart | Co-Financing / Country | Total Original Cost | Current IDB | Disb. Amount to Date | % Disb | Undisbursed Amount |
| GU-L1039 | 50,000,000 | 50,000,000 | 0 | 0 | 50,000,000 | 50,000,000 | 39,600,000 | 79.20% | 10,400,000 |
| GU-X1005 | 0 | 50,000,000 | 0 | 0 | 50,000,000 | 50,000,000 | 35,000,000 | 70.00% | 15,000,000 |
| Aggregated | 50,000,000 | 100,000,000 | 0 | 0 | 100,000,000 | 100,000,000 | 74,600,000 | 74.60% | 25,400,000 |

Expense Categories by Loan Contract (cumulative values)



Please note that inactive indicators and outputs are not displayed; totals in the actual cost table may not match the sum of the cost of the outputs displayed, due to the cost of inactive outputs.

PMR Public Report

RESULTS MATRIX

General Development Objectives

No information available for this section

PMR Public Report

RESULTS MATRIX

Specific Development Objectives

Specific Development Objectives Nbr. 1: Plan de Consolidación Institucional del Ministerio de Salud Publica y asistencia Social -MSPAS-, en temas de rectoría, regulación y planificación de prestación de servicios de agua potable y saneamiento implementada

Observation:

| Indicator | | Unit of Measure | Baseline | Baseline Year | | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 | 2022 | EOP 2022 |
|---|--|-----------------|----------|---------------|---------------|------|------|------|------|------|------|------|------|------|------|----------|
| 1.1 | Rectoria sectorial fortalecida y funcionando | Entidades | 0.00 | 2012 | P | | | | | 1.00 | | | | | | 1.00 |
| | | | | | A | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | 0.00 | |
| Details | | | | | | | | | | | | | | | | |
| Means of verification: Documentos Legales del Ministerio de Salud creando la direccion de Direccion de agua potable y saneamiento, para ejercer la rectoria del sector. | | | | | | | | | | | | | | | | |
| Pro-Gender | | No | | | Pro-Ethnicity | | | No | | | | | | | | |

Specific Development Objectives Nbr. 2: Unidad especializada en el desarrollo de Programas de agua potable y saneamiento instituida en el Gobierno Central

Observation:

| Indicator | | Unit of Measure | Baseline | Baseline Year | | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 | 2022 | EOP 2022 |
|--|---|-----------------|----------|---------------|---------------|------|------|------|------|------|------|------|------|------|------|----------|
| 2.1 | Entidad ejecutora de Programas sectoriales autonoma funcionando | Entidad | 0.00 | 2012 | P | | | | | 1.00 | | | | | | 1.00 |
| | | | | | A | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | 0.00 |
| Details | | | | | | | | | | | | | | | | |
| Means of verification: Informe de desempeño del Programa a los 5 años de la firma del contrato del contrato de préstamo o cuando se hayan alcanzado el 75 % de avance. | | | | | | | | | | | | | | | | |
| Pro-Gender | | No | | | Pro-Ethnicity | | | No | | | | | | | | |

Specific Development Objectives Nbr. 3: Cobertura servicios de agua potable y saneamiento autosostenibles ,incrementada la República de Guatemala

Observation:

| Indicator | | Unit of Measure | Baseline | Baseline Year | | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 | 2022 | EOP 2022 |
|---|--|-----------------|----------|---------------|---------------|--------|----------|----------|----------|----------|----------|----------|------|------|------|-----------|
| 3.1 | Hogares rurales con sistema de agua potable contruídos o rehabilitados | # of households | 0.00 | 2012 | P | 200.00 | 4,600.00 | 4,600.00 | 4,700.00 | 4,160.00 | | | | | | 18,260.00 |
| | | | | | A | 42.00 | 745.00 | 789.00 | 0.00 | 1,311.00 | 3,206.00 | 1,595.00 | 0.00 | 0.00 | | 7,688.00 |
| Details | | | | | | | | | | | | | | | | |
| Means of verification: Informes de SEGEPLAN, y de los entes rector y ejecutor del sector | | | | | | | | | | | | | | | | |
| Observations: En la matriz de resultado original, se habían expresado los resultados en forma de porcentaje y número de beneficiarios, por lo que se ha utilizado la ponderación de hogares en función de la tasa promedio definida por la Encuesta Nacional de Vivienda 2011 | | | | | | | | | | | | | | | | |
| Pro-Gender | | No | | | Pro-Ethnicity | | | No | | | | | | | | |

| Indicator | | Unit of Measure | Baseline | Baseline Year | | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 | 2022 | EOP 2022 |
|-----------|---|-----------------|----------|---------------|---|------|------|----------|-----------|----------|------|--------|------|------|------|-----------|
| 3.1 | Hogares periurbanos y urbanos con solución de agua potable contruídos o rehabilitados | # of households | 0.00 | 2012 | P | | 0.00 | 6,000.00 | 15,000.00 | 5,000.00 | | | | | | 26,000.00 |
| | | | | | A | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 982.00 | 0.00 | 0.00 | | 982.00 |

PMR Public Report

RESULTS MATRIX

Specific Development Objectives

| Details | | | | | | | | | | | | | | | | |
|--|--|-----------------|----------|---------------|---|--------|----------|---------------|-----------|----------|----------|----------|------|------|------|-----------|
| Means of verification: Informes de SEGEPLAN, y de los entes rector y ejecutor del sector | | | | | | | | | | | | | | | | |
| Pro-Gender | | | | No | | | | Pro-Ethnicity | | | | No | | | | |
| Indicator | | Unit of Measure | Baseline | Baseline Year | | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 | 2022 | EOP 2022 |
| 3.2 | Hogares rurales con solución de saneamiento básico construidos o rehabilitados | # of households | 0.00 | 2012 | P | 300.00 | 5,800.00 | 5,800.00 | 6,000.00 | 5,340.00 | | | | | | 23,240.00 |
| | | | | | A | 209.00 | 546.00 | 789.00 | 0.00 | 1,250.00 | 5,142.00 | 3,766.00 | 0.00 | 0.00 | | 11,702.00 |
| Details | | | | | | | | | | | | | | | | |
| Means of verification: Informes de SEGEPLAN, y de los entes rector y ejecutor del sector | | | | | | | | | | | | | | | | |
| Pro-Gender | | | | No | | | | Pro-Ethnicity | | | | No | | | | |
| Indicator | | Unit of Measure | Baseline | Baseline Year | | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 | 2022 | EOP 2022 |
| 3.4 | Hogares periurbanos y urbanos con solución de alcantarillado construidos o rehabilitados | # of households | 0.00 | 2012 | P | 0.00 | 0.00 | 9,000.00 | 18,000.00 | 7,000.00 | | | | | | 34,000.00 |
| | | | | | A | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 982.00 | 0.00 | 0.00 | | 982.00 |
| Details | | | | | | | | | | | | | | | | |
| Means of verification: Informes de SEGEPLAN, y de los entes rector y ejecutor del sector | | | | | | | | | | | | | | | | |
| Pro-Gender | | | | No | | | | Pro-Ethnicity | | | | No | | | | |
| Indicator | | Unit of Measure | Baseline | Baseline Year | | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 | 2022 | EOP 2022 |
| 3.4 | Hogares cuyas aguas residuales son tratadas | # of households | 0.00 | 2014 | P | | | | | | | | | | | |
| | | | | | A | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 982.00 | 0.00 | 0.00 | | 982.00 |
| Details | | | | | | | | | | | | | | | | |
| Pro-Gender | | | | No | | | | Pro-Ethnicity | | | | No | | | | |

RESULTS MATRIX

OUTPUTS: ANNUAL PHYSICAL AND FINANCIAL PROGRESS

Component Nbr. 1 Componente 1. Desarrollo Institucional del Sector

| | Output | Unit of Measure | | PHYSICAL PROGRESS | | FINANCIAL PROGRESS | |
|-----|--|-----------------|------|-------------------|----------|--------------------|-----------|
| | | | | 2021 | EOP 2022 | 2021 | EOP 2022 |
| 1.1 | Entidad Rectora Sectorial de agua y saneamiento funcionando | Entidad | P | | 1 | | 1,500,000 |
| | | | P(a) | 0 | 0 | 43,688 | 1,500,000 |
| | | | A | 0 | 0 | 0 | 0 |
| 1.2 | Entidad ejecutora especializada del sector de agua y saneamiento funcionando | Entidad | P | | 1 | | 1,500,000 |
| | | | P(a) | 0 | 0 | 0 | 1,127,664 |
| | | | A | 0 | 0 | 0 | 1,127,664 |
| 1.3 | Entidad rectora de la calidad de agua para consumo humano fortalecida | Entidad | P | | 1 | | 700,000 |
| | | | P(a) | 0 | 1 | 0 | 700,000 |
| | | | A | 0 | 0 | 0 | 0 |

Component Nbr. 2 Componente 2. Inversiones en Infraestructura

| | Output | Unit of Measure | | PHYSICAL PROGRESS | | FINANCIAL PROGRESS | |
|-----|---|-----------------|------|-------------------|----------|--------------------|---------------|
| | | | | 2021 | EOP 2022 | 2021 | EOP 2022 |
| 2.1 | Sistemas de agua potable y/o saneamiento rurales diseñados | sistemas | P | | 335 | | 3,400,000 |
| | | | P(a) | 0 | 46 | 0 | 9,604 |
| | | | A | 0 | 46 | 0 | 9,604 |
| 2.2 | Sistemas de agua potable y/o saneamiento diseñados en comunidades urbanas | sistemas | P | | 32 | | 3,000,000 |
| | | | P(a) | 0 | 17 | 0 | 886,085.03 |
| | | | A | 0 | 17 | 0 | 886,085.03 |
| 2.3 | Sistemas rurales de agua potable contruidos o rehabilitados | sistemas | P | | 220 | | 34,908,000 |
| | | | P(a) | 3 | 56 | 1,445,326 | 21,853,621.92 |
| | | | A | 2 | 55 | 634,194 | 21,023,476.92 |
| 2.4 | Sistemas rurales con solución de saneamiento básico construidos o rehabilitados | sistemas | P | | 280 | | 23,272,000 |
| | | | P(a) | 2 | 76 | 99,532 | 8,990,933.24 |
| | | | A | 2 | 76 | 84,944 | 8,961,656.24 |
| 2.5 | Sistemas periurbanos y urbanos con solución de agua potable construidos o rehabilitados | sistemas | P | | 32 | | 13,272,000 |
| | | | P(a) | 3 | 8 | 8,967,018 | 22,494,598.16 |
| | | | A | 0 | 2 | 4,238,186 | 15,449,924.16 |
| 2.6 | Sistemas periurbanos y urbanos con solución de alcantarillado construidos o rehabilitados | sistemas | P | | 32 | | 8,848,000 |
| | | | P(a) | 3 | 9 | 14,210,890 | 35,571,383.85 |
| | | | A | 0 | 3 | 8,848,599 | 25,349,812.85 |

RESULTS MATRIX

OUTPUTS: ANNUAL PHYSICAL AND FINANCIAL PROGRESS

Component Nbr. 3 Componente 3. Desarrollo Comunitario y fortalecimiento Municipal para la prestación de servicios de agua y saneamiento

| | Output | Unit of Measure | | PHYSICAL PROGRESS | | FINANCIAL PROGRESS | |
|-----|---|-------------------------|------|-------------------|----------|--------------------|--------------|
| | | | | 2021 | EOP 2022 | 2021 | EOP 2022 |
| 3.1 | Organizaciones comunitarias rurales sosteniendo los sistema de agua y saneamiento | Organización | P | | 280 | | 4,000,000 |
| | | | P(a) | 2 | 113 | 55,851 | 1,134,946.55 |
| | | | A | 1 | 112 | 49,744 | 1,112,892.55 |
| 3.2 | Personas de Comunidades Rurales capacitadas en educación sanitaria y ambiental | Personas | P | | 84,000 | | 1,000,000 |
| | | | P(a) | 405 | 60,508 | 55,850 | 982,356.72 |
| | | | A | 52 | 60,155 | 50,702 | 961,261.72 |
| 3.3 | Gobiernos municipales fortalecidos en prestación de servicios de agua potable y saneamiento | Government agencies (#) | P | | 32 | | 1,500,000 |
| | | | P(a) | 3 | 9 | 64,115 | 287,369.65 |
| | | | A | 0 | 3 | 53,974 | 238,123.65 |
| 3.4 | Personas de la municipalidad capacitadas | Personas | P | | 320 | | 500,000 |
| | | | P(a) | 30 | 90 | 64,115 | 281,497.69 |
| | | | A | 0 | 30 | 53,913 | 232,190.69 |

Component Nbr. 4 Administración del programa

| | Output | Unit of Measure | | PHYSICAL PROGRESS | FINANCIAL PROGRESS |
|----|--------|-----------------|------|-------------------|--------------------|
| | | | | EOP | EOP |
| 4. | | | P | | |
| | | | P(a) | | |
| | | | A | | |

Other Cost

| | | | | | | |
|--|-----------------------------|------|--|--|---------|--------------|
| | Administración del Programa | P | | | | 2,600,000 |
| | | P(a) | | | 424,605 | 4,179,939.19 |
| | | A | | | 449,956 | 3,887,389.49 |

Total Cost

| | | | | | | |
|--|------------|------|--|--|------------|--------------|
| | Total Cost | P | | | | 100,000,000 |
| | | P(a) | | | 25,430,990 | 100,000,000 |
| | | A | | | 14,464,212 | 79,240,081.3 |

CHANGES TO THE MATRIX

No information available for this section

RISKS AND PLANNED RESPONSES

| Risk ID | Risk Status | | Risk Taxonomy |
|---------|------------------|---------------------|---------------|
| 1 | Active | | Planning |
| | | | |
| | Response actions | | |
| | 1.1 | Management Strategy | Status |
| | | MITIGATE | COMPLETE |
| | | | |

| Risk ID | Risk Status | | Risk Taxonomy |
|---------|------------------|---------------------|---------------------|
| 2 | Active | | Natural Environment |
| | | | |
| | Response actions | | |
| | 2.1 | Management Strategy | Status |
| | | TRANSFER | ACTIVE |
| | | | |

| Risk ID | Risk Status | | Risk Taxonomy |
|---------|------------------|---------------------|---------------------------|
| 3 | Active | | Institutional Environment |
| | | | |
| | Response actions | | |
| | 3.1 | Management Strategy | Status |
| | | TRANSFER | ACTIVE |
| | | | |

| Risk ID | Risk Status | | Risk Taxonomy |
|---------|------------------|---------------------|---------------------------|
| 4 | Active | | Institutional Environment |
| | | | |
| | Response actions | | |
| | 4.1 | Management Strategy | Status |
| | | TRANSFER | ACTIVE |
| | | | |

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IMPLEMENTATION STATUS AND LEARNING

Lesson Learned - Categories

Project Management Capacity