

PMR Public Report

Operation Number	PE-L1130	Chief of Operations Validation Date	10/20/21
Year- PMR Cycle	First period Jan-Jun 2021	Division Chief Validation Date	
Last Update	10/01/21	Country Representative Validation Date	
PMR Validation Stage	Validated by Chief of Operations		

Basic Data

Operation Profile

Operation Name	Projects for Tax and Customs management Consolidation	Loan Number	3214/OC-PE
Executing Agency	SUPERINTENDENCIA NACIONAL ADMINISTRACION TRIBUTARIA	Sector/Subsector	REFORM / MODERNIZATION OF THE STATE-FISCAL POLICY FOR SUSTAINABILITY AND GROWTH
Team Leader	PEREZ RINCON, BELINDA	Overall Stage	Disbursing (From eligibility until all the Operations are closed)
Operation Type	Loan Operation	Country	Peru
Lending Instrument	Investment Loan	Convergence related Operation(s)	
Borrower	REPUBLICA DEL PERU		

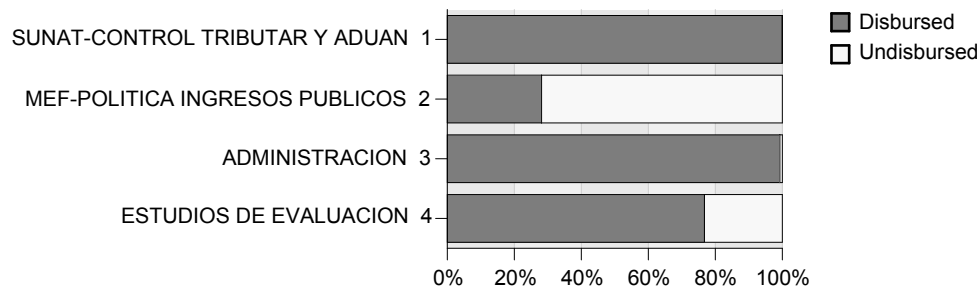
Environmental and Social Safeguards

Impacts Category	C	Was/Were the objective(s) of this operation reformulated?	NO
Safeguard Performance Rating		Date of approval	
Safeguard Performance Rating - Rationale			

Financial Data

Item	Total Cost and Source					Available Funds (US\$)			
	Original IDB	Current IDB	Local Counterpart	Co-Financing / Country	Total Original Cost	Current IDB	Disb. Amount to Date	% Disb	Undisbursed Amount
PE-L1130	15,000,000	15,000,000	58,030,000	0	73,030,000	15,000,000	13,078,049	87.19%	1,921,951
Aggregated	15,000,000	15,000,000	58,030,000	0	73,030,000	15,000,000	13,078,049	87.19%	1,921,951

Expense Categories by Loan Contract (cumulative values)



Please note that inactive indicators and outputs are not displayed; totals in the actual cost table may not match the sum of the cost of the outputs displayed, due to the cost of inactive outputs.

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RESULTS MATRIX

General Development Objectives

General Development Objectives Nbr. 0: Incrementar los ingresos estructurales del gobierno general, medido como porcentaje del PIB

Observation:

	Indicator	Unit of Measure	Baseline	Baseline Year	Expected Year of Achievement		Target
0.0	Ingresos estructurales del gobierno general / PIB	%	21.20	2013	2022	P	21.90
						A	

Details

Means of verification: Marco macroeconómico multianual (MMM) - MEF

Observations: La meta final se actualizará en función del MMM del año 2017

Pro-Gender	No	Pro-Ethnicity	No
The General Development objective indicator target is expected to be observed by the operation's "Fully Justified" date in Convergence (CO)			

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RESULTS MATRIX

Specific Development Objectives

Specific Development Objectives Nbr. 0: Mejorar la efectividad para controlar el cumplimiento del universo de administrados a nivel nacional y lograr una adecuada gestión de política de ingresos públicos, con énfasis en la recaudación tributaria municipal

Observation:

Indicator		Unit of Measure	Baseline	Baseline Year		2020	2021	2022	EOP 2022
0.0	Porcentaje de contribuyentes sobre los cuales se realiza el control de la deuda tributaria	%	30.00	2012	P				100.00
					A				

Details

Means of verification: Reportes de Intendencia Nacional de Cumplimiento Tributario (INCT)

Observations: El indicador se refiere a contribuyentes de actividad empresarial

Pro-Gender	No	Pro-Ethnicity	No
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Indicator		Unit of Measure	Baseline	Baseline Year		2020	2021	2022	EOP 2022
0.1	Ratio de acciones de fiscalización no conformes (auditorías más verificaciones)	%	69.50	2012	P				74.00
					A				

Details

Means of verification: INCT

Observations: Se espera mejorar este indicador a través de gestión integral de riesgos

Pro-Gender	No	Pro-Ethnicity	No
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Indicator		Unit of Measure	Baseline	Baseline Year		2020	2021	2022	EOP 2022
0.2	Crecimiento porcentual anual del número de intervenciones con incidencia como resultado del control aduanero	%	3.90	2013	P				8.00
					A				

Details

Means of verification: Estadísticas de la Intendencia Nacional de Prevención del Contrabando y Fiscalización Aduanera

Observations: Se espera mejorar este indicador a través del equipamiento aduanero

Pro-Gender	No	Pro-Ethnicity	No
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Indicator		Unit of Measure	Baseline	Baseline Year		2020	2021	2022	EOP 2022
0.3	Reducción de las horas de caída al año del sistema virtual PDT	horas	12.21	2012	P				2.00
					A				

Details

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Specific Development Objectives

Means of verification: Reportes de la Intendencia Nacional de Sistemas de Información

Observations: Se espera mejorar este indicador a través de la mejora de la arquitectura informática de SUNAT

Pro-Gender No **Pro-Ethnicity** No

Indicator		Unit of Measure	Baseline	Baseline Year		2020	2021	2022	EOP 2022
0.4	Crecimiento porcentual anual de la recaudación del impuesto predial en ciudades principales tipo A y B	%	11.50	2012	P				12.50
					A				

Details

Means of verification: Reportes de Contaduría General de la República - MEF

Observations: Se espera mejorar este indicador a través de la implementación del nuevo SRTM

Pro-Gender No **Pro-Ethnicity** No

Indicator		Unit of Measure	Baseline	Baseline Year		2020	2021	2022	EOP 2022
0.5	Porcentaje de expedientes sin respuesta luego de 30 días de recibidos	%	74.00	2014	P				10.00
					A				

Details

Means of verification: Reportes de gestión de la DGPIP

Observations: Se espera alcanzar las metas de este indicador con las actividades de mejora de la gestión de la DGPIP

Pro-Gender No **Pro-Ethnicity** No

RESULTS MATRIX

OUTPUTS: ANNUAL PHYSICAL AND FINANCIAL PROGRESS

Component Nbr. 1 Componente 1: Mejoramiento de la efectividad del control tributario y aduanero del universo de administrados a nivel nacional

	Output	Unit of Measure		PHYSICAL PROGRESS		FINANCIAL PROGRESS	
				2021	EOP 2022	2021	EOP 2022
1.1	Sistema de cuenta única diseñado e implantado	Sistema	P		1		13,996,798
			P(a)	0.2	1	4,879,981	19,025,970
			A	0	0.6	0	9,147,856
1.2	Sistema de gestión inductiva (CRM) diseñado e implantado	Sistema	P		1		6,720,733
			P(a)	0	1	0	1,995,476
			A	0	1	0	1,995,476
1.3	Sistema de gestión de riesgo diseñado e implantado	Sistema	P		1		6,243,586
			P(a)	0	1	391,068	7,071,604
			A	0	1	194,711	6,875,247
1.4	Modelo de gestión coordinada de fronteras (GCF) diseñado	Modelo	P		1		2,063,500
			P(a)	0	1	0	0
			A	0	1	0	0
1.5	Equipamiento nuevo no intrusivo adquirido y en funcionamiento	Equipamiento no intrusivo	P		62		12,455,558
			P(a)	3	59	5,087,860	28,119,156
			A	0	47	0	12,528,268
1.6	Embarcaciones nuevas para operaciones acuáticas adquirido y en funcionamiento	Embarcaciones para operaciones acuáticas	P		5		7,419,826
			P(a)	0	0	0	72,231
			A	0	0	0	72,231
1.7	Plataformas de servidores para base de datos y soluciones continuas de alta disponibilidad adquiridos	Plataformas	P		2		10,800,000
			P(a)	0	2	256,987	13,072,643
			A	0	2	69,349	12,883,504
1.8	Centro de monitoreo predictivo implementado	Centro	P		1		100,000
			P(a)	0.1	1	1,420,923	9,931,027
			A	0	0.9	1,105,131	9,353,361

RESULTS MATRIX

OUTPUTS: ANNUAL PHYSICAL AND FINANCIAL PROGRESS

Component Nbr. 2 Componente 2: Mejoramiento de la gestión de la política de ingresos públicos con énfasis en la recaudación tributaria municipal

	Output	Unit of Measure		PHYSICAL PROGRESS		FINANCIAL PROGRESS	
				2021	EOP 2022	2021	EOP 2022
2.1	Nuevo Sistema de Recaudación Tributaria Municipal diseñado e implementado en 50 GLL	# of agencies	P		50		6,866,560
			P(a)	0	20	2,027,234	7,094,156.45
			A	0	0	220,733	950,583.45
2.2	Aplicativo web implementado en ciudades principales tipo A y B	# of agencies	P		249		1,268,570
			P(a)	0	0	0	0
			A	0	0	0	0
2.3	Propuesta organizacional de la DGPIP implementada	Propuesta organizacional	P		1		134,000
			P(a)	0	0	0	107,441.41
			A	0	0	0	107,441.41
2.4	Sistema de gestión documentaria de la DGPIP implementado	Sistema de gestión documentaria	P		1		453,650
			P(a)	0	1	256,361	491,586.41
			A	0	0	43,522	192,983.41
2.5	Funcionarios de la DGPIP capacitados en temas de tributación sectorial	Funcionarios capacitados	P		280		396,100
			P(a)	40	80	169,722	432,250.62
			A	0	0	0	48,921.62
2.6	Equipos informáticos adquiridos	Equipos informáticos	P		35		62,500
			P(a)	31	131	148,381	358,978
			A	0	56	0	107,947
2.7	Aplicativo tributario adquirido	Aplicativo tributario	P		1		251,874
			P(a)	1	3	133,098	255,829
			A	0	1	13,729	56,460
2.8	Bases de datos adquiridas	Bases de datos	P		6		156,915
			P(a)	2	4	117,685	179,253
			A	0	0	0	0

RESULTS MATRIX

OUTPUTS: ANNUAL PHYSICAL AND FINANCIAL PROGRESS

Component Nbr. 3 Seguimiento y administración de los Proyectos

	Output	Unit of Measure		PHYSICAL PROGRESS		FINANCIAL PROGRESS	
				2021	EOP 2022	2021	EOP 2022
3.1	Unidad Ejecutora SUNAT conformada	Informe	P		1		85,720
			P(a)	0	1	0	198,088
			A	0	1	0	198,088
3.2	Unidad Ejecutora MEF conformada	Informe	P		1		78,020
			P(a)	0	1	0	85,587
			A	0	1	0	85,587
3.3	Evaluación intermedia y final SUNAT realizadas	Informe	P		2		483,000
			P(a)	0	0.5	0	51,370.21
			A	0	0.5	0	20,370.21
3.4	Evaluación intermedia y final MEF realizadas	Informe	P		0		0
			P(a)	0	0.5	0	110,136
			A	0	0.5	0	11,858
3.5	Línea de Base SUNAT	Informe	P		0		0
			P(a)	0	1	0	10,679
			A	0	1	0	10,679
3.6	Línea de Base MEF	Informe	P		0		0
			P(a)	0	1	8,056	162,277
			A	0	1	11,558	165,779

Other Cost

	Administración del Programa SUNAT	P				1,264,000
		P(a)			42,358	1,621,868.67
		A			31,706	1,611,216.67
	Administración del Programa MEF	P				1,590,490
		P(a)			243,576	1,666,999.39
		A			69,976	690,827.39
	Auditoria SUNAT	P				141,600
		P(a)			33,739	154,700.31
		A			26,623	124,471.31

Total Cost

	Total Cost	P				73,033,000
		P(a)			15,217,029	92,269,307.47
		A			1,787,038	57,239,156.47

CHANGES TO THE MATRIX

No information available for this section

RISKS AND PLANNED RESPONSES

Risk ID	Risk Status		Risk Taxonomy
1	Active		Internal Processes
	Response actions		
	1.1	Management Strategy	Status
		MITIGATE	INACTIVE
	1.2	Management Strategy	Status
		MITIGATE	ACTIVE
	1.3	Management Strategy	Status
		MITIGATE	COMPLETE

Risk ID	Risk Status		Risk Taxonomy
2	Inactive		Internal Processes
	Response actions		
	2.1	Management Strategy	Status
		MITIGATE	ACTIVE
	2.2	Management Strategy	Status
		MITIGATE	ACTIVE

Risk ID	Risk Status		Risk Taxonomy
3	Inactive		Institutional Environment
	Response actions		
	3.1	Management Strategy	Status
		MITIGATE	ACTIVE
	3.2	Management Strategy	Status
		MITIGATE	ACTIVE
	3.3	Management Strategy	Status
		MITIGATE	ACTIVE

RISKS AND PLANNED RESPONSES

Risk ID	Risk Status		Risk Taxonomy
4	Inactive		Internal Processes
	Response actions		
	4.1	Management Strategy	Status
		MITIGATE	COMPLETE
	4.2	Management Strategy	Status
		MITIGATE	COMPLETE
Risk ID	Risk Status		Risk Taxonomy
5	Inactive		Internal Processes
	Response actions		
	5.1	Management Strategy	Status
		MITIGATE	COMPLETE
	5.2	Management Strategy	Status
		MITIGATE	COMPLETE
Risk ID	Risk Status		Risk Taxonomy
6	Inactive		Internal Processes
	Response actions		
	6.1	Management Strategy	Status
		MITIGATE	ACTIVE
	6.2	Management Strategy	Status
		MITIGATE	ACTIVE
	6.3	Management Strategy	Status
		MITIGATE	ACTIVE
Risk ID	Risk Status		Risk Taxonomy
7	Inactive		Institutional Environment
	Response actions		

RISKS AND PLANNED RESPONSES

Risk ID	Risk Status		Risk Taxonomy
	7.1	Management Strategy	Status
		MITIGATE	COMPLETE
Risk ID	Risk Status		Risk Taxonomy
8	Inactive		Internal Processes
	Response actions		
	8.1	Management Strategy	Status
		MITIGATE	COMPLETE
	8.2	Management Strategy	Status
		MITIGATE	ACTIVE
Risk ID	Risk Status		Risk Taxonomy
9	Inactive		Internal Processes
	Response actions		
	9.1	Management Strategy	Status
		MITIGATE	ACTIVE
Risk ID	Risk Status		Risk Taxonomy
10	Active		Goods, and Services
	Response actions		
	10.1	Management Strategy	Status
		MITIGATE	ACTIVE
	10.2	Management Strategy	Status
		MITIGATE	ACTIVE
Risk ID	Risk Status		Risk Taxonomy
11	Active		Planning
	Response actions		
	11.0	Management Strategy	Status
		-	

RISKS AND PLANNED RESPONSES

Risk ID	Risk Status		Risk Taxonomy

Risk ID	Risk Status		Risk Taxonomy
12	Active		Organizational Structure
	Response actions		
	12.1	Management Strategy	Status
		MITIGATE	COMPLETE
	12.2	Management Strategy	Status
		MITIGATE	COMPLETE

IMPLEMENTATION STATUS AND LEARNING

Lesson Learned - Categories
Others - Technical-Sectorial Dimensions
Project Monitoring & Evaluation