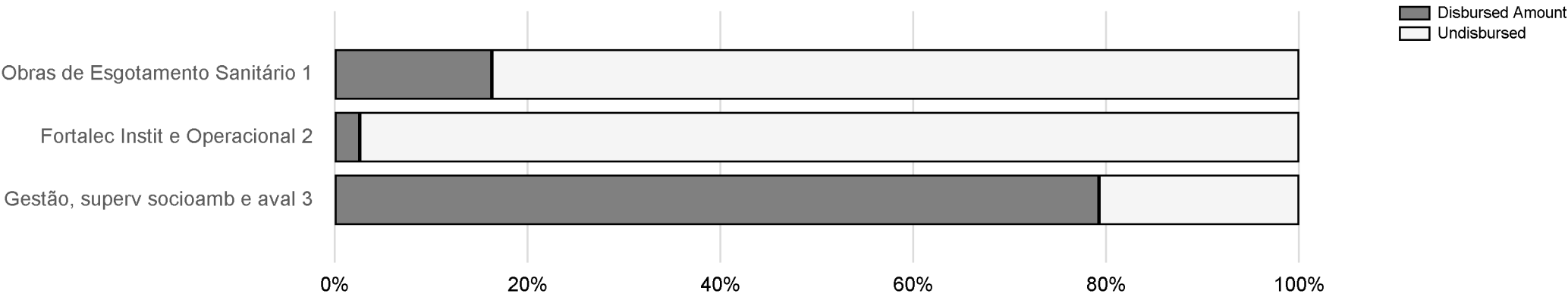


PMR Public Report

Operation Number	BR-L1492	Chief of Operations Validation Date	10/18/22
Year- PMR Cycle	First period Jan-Jun 2022	Division Chief Validation Date	
Last Update	09/30/22	Country Representative Validation Date	
PMR Validation Stage	Validated by Chief of Operations		
Basic Data			
Operation Profile			
Operation Name	TIETÊ RIVER CLEANUP PROGRAM, STAGE IV	Loan Number	4623/OC-BR
Executing Agency	COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO (SABESP)	Sector/Subsector	WATER AND SANITATION-SANITATION URBAN
Team Leader	MENDEZ TORRICO, E. GUSTAVO	Overall Stage	Disbursing (From eligibility until all the Operations are closed)
Operation Type	Loan Operation	Country	Brazil
Lending Instrument	Investment Loan	Convergence related Operation(s)	
Borrower	COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO (SABESP)		
Environmental and Social Safeguards			
Impacts Category	B	Was/Were the objective(s) of this operation reformulated?	NO
Safeguard Performance Rating	Satisfactory	Date of approval	
Safeguard Performance Rating - Rationale	O Programa teve início em 2020, tem demonstrado cumprir satisfatoriamente os requisitos das políticas de salvaguardas. A equipe da TG/SABESP está bem preparada para lidar com os temas sociais e ambientais do Programa, possui apoio técnico nas areas ambientais e sociais, além de demonstrar conhecimento e articulação institucional para manter o cumprimento das salvaguardas ao longo do ciclo de vida do projeto. O Programa segue implementando obras da área da amostra e está ciente de que será necessário desenvolver os documentos ambientais e sociais específicos para as obras fora da área da amostra, além da retomada de ações com comunidades indígenas, conforme seguimento definido na etapa de preparação, o que provavelmente requererá apoio específico da equipe de ESG.		

Financial Data									
	Total Cost and Source					Available Funds (US\$)			
Operations	Original IDB	Current IDB	Local Counterpart	Co-Financing / Country	Total Original Cost	Current IDB	Disb. Amount to Date	% Disbursed	Undisbursed Amount
BR-L1492	300,000,000	300,000,000	200,000,000	0	500,000,000	300,000,000	49,420,098.28	16.47%	250,579,901.72
Aggregated	300,000,000	300,000,000	200,000,000	0	500,000,000	300,000,000	49,420,098.28	16.47%	250,579,901.72

Expense Categories by Loan Contract (cumulative values)



Please note that inactive indicators and outputs are not displayed; totals in the actual cost table may not match the sum of the cost of the outputs displayed, due to the cost of inactive outputs.

RESULTS MATRIX
General Development Objectives

RESULTS MATRIX

Specific Development Objectives

Specific Development Objectives Nbr. 0: Tratamiento de aguas residuales por la Companhia de Saneamento Básico de São Paulo (SABESP) en la RSMP ampliado.

Observation:

	Indicator	Unit of Measure	Baseline	Baseline Year		2025	EOP 2025
0.0	Carga orgánica de origen doméstico (DBO5) removida de las aguas del Río Tietê, aguas arriba de la presa Pirapora	t/día	452	2019	P	692	692
					A	-	-

Details

Means of Verification: Informes de evaluación intermedia y final.

Observations: Responsable (R): SABESP (MT e PI); Cálculo da redução de carga orgânica: Vazão tratada nas ETEs * redução de carga orgânica por litro

Evaluation Methodology: -

Pro-Gender	No	Pro-Ethnicity	No	CRF indicator	

	Indicator	Unit of Measure	Baseline	Baseline Year		2025	EOP 2025
0.1	Porcentaje de Hogares cuyas aguas residuales son tratadas (IEC) en la RMSP, en el área de actuación de SABESP	%	67	2020	P	90	90
					A	-	-

Details

Means of Verification: Informe de evaluación intermedia y final con base en información de la Gerencia Comercial de SABESP.

Observations: Responsable (R): SABESP (PI); IEC = Economias conectadas ao tratamento de esgoto/economias totais ativas (rede e ligada) de esgoto; Não foram considerados para a apuração deste indicador os municípios de Guarulhos e Santo André, uma vez que não faziam parte da base operada da Sabesp quando da negociação deste contrato.

Evaluation Methodology: -

Pro-Gender	No	Pro-Ethnicity	No	CRF indicator	

	Indicator	Unit of Measure	Baseline	Baseline Year		2025	EOP 2025
0.2	Aumento de la Capacidad de tratamiento de aguas residuales en las Planta de Tratamiento de Aguas Residuales (PTAR) en la RSMP	m3/seg	0	2022	P	3	3
					A	-	-

Details

Means of Verification: Relatório de Avaliação Final

Observations: Responsable (R): SABESP (TG)

Evaluation Methodology: -

Pro-Gender	No	Pro-Ethnicity	No	CRF indicator	

	Indicator	Unit of Measure	Baseline	Baseline Year		2025	EOP 2025
0.3	Hogares con tratamiento de aguas residuales en la RMSP	Households (#)	3992000	2020	P	6,212,666	6,212,666
					A	-	-

Details

Means of Verification: Relatório de Avaliação Final

Observations: Responsable (R): SABESP (PI)

Evaluation Methodology: -

Pro-Gender	No	Pro-Ethnicity	No	CRF indicator	Households with wastewater treatment (#) ()

Specific Development Objectives Nbr. 1: Cobertura y atendimento del servicio de alcantarillado em el área atendida por SABESP en la RMSP ampliadas

Observation:

Indicator		Unit of Measure	Baseline	Baseline Year	2025		EOP 2025
1.0	Porcentaje de hogares con conexión efectiva (IAE) al alcantarillado en la RMSP	%	83	2020	P	85	85
					A	-	-
Details							
Means of Verification: Informes de operación e informes semestrales de avance del programa.							
Observations: Responsable (R): SABESP; (PI) IAE = Domicílios atendidos com rede pública/Domicílios da área atendível.							
Evaluation Methodology: -							
Pro-Gender	No	Pro-Ethnicity	No	CRF indicator			
Indicator		Unit of Measure	Baseline	Baseline Year	2025		EOP 2025
1.1	Nuevos hogares residenciales activos totales con conexión a la red de alcantarillado en el area de actuación del proyecto (Etapa IV)	Households (#)	0	2019	P	301,323	301,323
					A	-	-
Details							
Means of Verification: Relatório de Avaliação Final							
Observations: Responsable (R): SABESP (PI); Activo se refiere a conexión efectiva.							
Evaluation Methodology: -							
Pro-Gender	No	Pro-Ethnicity	No	CRF indicator			
Indicator		Unit of Measure	Baseline	Baseline Year	2025		EOP 2025
1.2	Hogares residenciales con disponibilidad del servicio de alcantarillado en el área de actuación del Programa (Etapa IV)	# of households	0	2019	P	316,905	316,905
					A	-	-
Details							
Means of Verification: Relatório de Avaliação Final							
Observations: Responsable (R): SABESP (PI); Disponibilidad se refiere a activo + potencial.							
Evaluation Methodology: -							
Pro-Gender	No	Pro-Ethnicity	No	CRF indicator	2.4.b Households with improved access to sanitation (#) (C)		

Specific Development Objectives Nbr. 2: Sostenibilidad Institucional y Operacional da SABESP mejorada.

Observation:

Indicator		Unit of Measure	Baseline	Baseline Year	2025		EOP 2025
2.0	Porcentaje de redes de alcantarillado inspeccionadas anualmente	%	4	2020	P	20	20
					A	-	-
Details							
Means of Verification: Informe técnico operacional estructurado por SABESP							
Observations: Responsable (R): SABESP (MT)							
Evaluation Methodology: -							
Pro-Gender	No	Pro-Ethnicity	No	CRF indicator			
Indicator		Unit of Measure	Baseline	Baseline Year	2025		EOP 2025
2.1	Número de tecnologías innovadoras desarrolladas por SABESP en condiciones reales en una planta de tratamiento demostrativa	tecnologías	0	2020	P	1	1
					A	-	-
Details							
Means of Verification: Informe técnico preparado por la Superintendencia de Investigación, Desarrollo Tecnológico e Innovación de SABESP (TX)							

Observations: Responsable (R): SABESP (TX)

Evaluation Methodology: -

Pro-Gender	No	Pro-Ethnicity	No	CRF indicator					
Indicator		Unit of Measure		Baseline	Baseline Year	2025	EOP 2025		
2.2	Índice de Fiscalizaciones Conformes Anuales - IFC			%	76	2019	P	90	90
							A	-	-
Details									

Means of Verification: Informe técnico de la Superintendencia de Asuntos Regulatorios de SABESP (PR).

Observations: Responsable (R): SABESP (PR). Este indicador apresenta oscilações anuais significativas, resultante da quantidade e tipo de fiscalização realizada pela ARSESP no período avaliado, bem como do período de vencimento das metas quadrienais dos contratos de programa.

Evaluation Methodology: -

Pro-Gender	No	Pro-Ethnicity	No	CRF indicator			

RESULTS MATRIX

OUTPUTS: ANNUAL PHYSICAL AND FINANCIAL PROGRESS

Component Nbr. 1 Componente 1: Obras de Alcantarillado Sanitario

				PHYSICAL PROGRESS		FINANCIAL PROGRESS	
	Output	Unit of Measure		2022	EOP 2025	2022	EOP 2025
1.01	Estações de Tratamento de Esgoto (ETE) na RSMP ampliadas	estações	P	1	5	64,615,000	159,000,000
			P (a)	1	5	8,826,594.18	159,000,000
			A	1	2	8,939,885.03	14,002,850.74
1.02	Coletores de esgoto construídos	km	P	40	214	46,098,428.25	242,636,757.35
			P (a)	5	214.01	25,399,445.89	242,636,757.35
			A	4	39.92	26,363,006.06	39,534,962.18
1.03	Redes de esgoto construídos	km	P	8	146	1,788,532.96	31,593,400.05
			P (a)	7	146.01	-	31,593,400.05
			A	7	16.55	-	-

Component Nbr. 2 Componente 2: Sostenibilidad Institucional y Operacional

				PHYSICAL PROGRESS		FINANCIAL PROGRESS	
	Output	Unit of Measure		2022	EOP 2025	2022	EOP 2025
2.01	Cursos de capacitación ejecutado	cursos	P	-	2	-	1,470,000
			P (a)	-	2	-	1,444,644.58
			A	-	-	-	-
2.02	Equipos para inspección y limpieza del sistema de alcantarillado sanitario adquiridos	Equipamiento	P	3	9	160,000	680,000
			P (a)	-	9	452,830.19	633,469.12
			A	-	3	499,361.07	680,000
2.03	Centro de Mantenimiento de Equipamientos del Sistema de Alcantarillado Linear construídos	Planta	P	1	2	75,000	150,000
			P (a)	-	2	52,075.47	221,886.79
			A	-	-	52,075.47	52,075.47
2.04	Estudio de concepción de la PTAR demostrativa elaborado	estudio	P	1	1	630,000	1,400,000
			P (a)	-	1	427,924.53	2,037,735.85
			A	-	-	427,924.52	427,924.52
2.05	Eventos de capacitación tecnológica realizados	Eventos	P	4	12	150,000	600,000
			P (a)	-	12	-	611,320.76
			A	-	-	-	-
2.06	Primera fase de la PTAR demostrativa implantada	Planta	P	-	1	-	8,700,000
			P (a)	-	1	-	8,050,943.82
			A	-	-	-	-
2.07	Plan Director Regulatorio elaborado	Plan	P	1	1	300,000	600,000
			P (a)	-	1	203,854.9	531,624.45
			A	-	-	202,173.65	202,173.65
2.08	Estudio para modernización y reorganización de la estructura de la Unidad de Asuntos Regulatorios de SABESP realizado	estudio	P	1	1	200,000	300,000
			P (a)	-	1	143,396.23	322,764.52
			A	-	-	120,631.71	293,773.58
2.09	Plan de Capacitación de los profesionales de SABESP envueltos en temas regulatorios ejecutado	Plan	P	1	1	30,000	100,000
			P (a)	-	1	87,366.38	145,610.64
			A	-	-	86,645.85	86,645.85

Other Cost				
	Apoio a Gestão, Monitoramento e Avaliação (inclui custos de estudos, fiscalização e auditorias de obras, previstos no componente 1)	P	11,740,000	52,769,842.6
		P (a)	9,251,951.95	52,769,842.61
		A	7,669,512.77	23,018,625.98
Total Cost				
	Total Cost	P	125,786,961.21	500,000,000
		P (a)	44,845,439.72	500,000,000.54
		A	44,361,216.13	78,299,031.97

No information available for this section

RISKS AND PLANNED RESPONSES

Risk ID	Risk Status		Risk Taxonomy
3	Active		Environmental and Social Safeguards
	Response Actions		
	3.0	Management Strategy	Status
		-	

Risk ID	Risk Status		Risk Taxonomy
6	Active		Planning
	Response Actions		
	6.0	Management Strategy	Status
		-	

Risk ID	Risk Status		Risk Taxonomy
9	Active		Social Environment
	Response Actions		
	9.0	Management Strategy	Status
		-	

Risk ID	Risk Status		Risk Taxonomy
12	Inactive		Political Environment
	Response Actions		
	12.1	Management Strategy	Status
		MITIGATE	INACTIVE

Risk ID	Risk Status		Risk Taxonomy
15	Active		Economic and Financial Environment
	Response Actions		
	15.0	Management Strategy	Status
		-	

Risk ID	Risk Status		Risk Taxonomy
18	Active		Planning
	Response Actions		
	18.0	Management Strategy	Status
		-	

Risk ID	Risk Status		Risk Taxonomy
21	Active		Technical Design
	Response Actions		
	21.0	Management Strategy	Status
		-	

Risk ID	Risk Status		Risk Taxonomy
24	Active		Goods, and Services
	Response Actions		
	24.0	Management Strategy	Status
		-	

Risk ID	Risk Status		Risk Taxonomy
27	Active		Planning
	Response Actions		
	27.1	Management Strategy	Status
		MITIGATE	ACTIVE

Risk ID	Risk Status		Risk Taxonomy
30	Active		Natural Environment
	Response Actions		
	30.0	Management Strategy	Status
		-	

Risk ID	Risk Status		Risk Taxonomy
33	Inactive		Natural Environment
	Response Actions		
	33.1	Management Strategy	Status
		MITIGATE	ACTIVE
	33.2	Management Strategy	Status
		MITIGATE	COMPLETE

IMPLEMENTATION STATUS AND LEARNING

Lesson Learned - Categories