

PMR Operational Report

| | | | |
|-----------------------------|----------------------------------|---|----------|
| Operation Number | PE-L1130 | Chief of Operations Validation Date | 10/04/17 |
| Year- PMR Cycle | First period Jan-Jun 2017 | Division Chief Validation Date | |
| Last Update | 10/04/17 | Country Representative Validation Date | |
| PMR Validation Stage | Validated by Chief of Operations | | |

Basic Data

Operation Profile

| | | | |
|---------------------------|---|---|--|
| Operation Name | Projects for Tax and Customs management Consolidation | Loan Number | 3214/OC-PE |
| Executing Agency | SUPERINTENDENCIA NACIONAL ADMINISTRACION TRIBUTARIA | Sector/Subsector | RM-FIS - REFORM / MODERNIZATION OF THE STATE-FISCAL POLICY FOR SUSTAINABILITY AND GROWTH |
| Team Leader | LARIOS, JOSÉ I. | Overall Stage | Disbursing (From eligibility until all the Operations are closed) |
| Operation Type | Loan Operation | Country | PERU |
| Lending Instrument | Investment Loan | Convergence related Operation(s) | |
| Borrower | REPUBLICA DEL PERU | | |

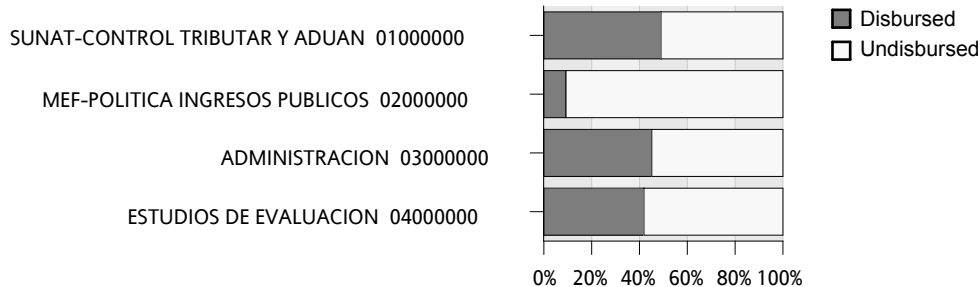
Environmental and Social Safeguards

| | | | |
|---|---|--|----|
| Impacts Category | C | Was/Were the objective(s) of this operation reformulated? | NO |
| Safeguard Performance Rating | | Date of approval | |
| Safeguard Performance Rating - Rationale | | | |

Financial Data

| Item | Total Cost and Source | | | | | Available Funds (US\$) | | | |
|-------------------|-----------------------|-------------------|-------------------|------------------------|---------------------|------------------------|----------------------|---------------|--------------------|
| | Original IDB | Current IDB | Local Counterpart | Co-Financing / Country | Total Original Cost | Current IDB | Disb. Amount to Date | % Disb | Undisbursed Amount |
| PE-L1130 | 15,000,000 | 15,000,000 | 58,030,000 | 0 | 73,030,000 | 15,000,000 | 10,153,022 | 67.69% | 4,846,978 |
| Aggregated | 15,000,000 | 15,000,000 | 58,030,000 | 0 | 73,030,000 | 15,000,000 | 10,153,022 | 67.69% | 4,846,978 |

Expense Categories by Loan Contract (cumulative values)



Please note that the Overall Stage represents the stage of the operation at the time of this report's publication, which might not necessarily match the stage of the operation during the PMR Cycle to which the report pertains. Please also note that inactive indicators and outputs are not displayed; totals in the actual cost table may not match the sum of the cost of the outputs displayed, due to the cost of inactive outputs.

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IMPACTS

Impact Nbr. 0: Incrementar los ingresos estructurales del gobierno general, medido como porcentaje del PIB

Observation:

| Indicator | | Unit of Measure | Baseline | Baseline Year | | 2020 | EOP 2020 |
|-----------|---|-----------------|----------|---------------|------|------|----------|
| 0.0 | Ingresos estructurales del gobierno general / PIB | % | 21.2 | 2013 | P | | 21.90 |
| | | | | | P(a) | | 21.90 |
| | | | | | A | | |

Details

Means of verification: Marco macroeconómico multianual (MMM) - MEF

Observations: La meta final se actualizará en función del MMM del año 2017

Pro-Gender No **Pro-Ethnicity** No

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OUTCOMES

Outcome Nbr. 0: Mejorar la efectividad para controlar el cumplimiento del universo de administrados a nivel nacional y lograr una adecuada gestión de política de ingresos públicos, con énfasis en la recaudación tributaria municipal

Observation:

| Indicator | | Unit of Measure | Baseline | Baseline Year | | 2020 | EOP 2020 |
|-----------|--|-----------------|----------|---------------|------|------|----------|
| 0.0 | Porcentaje de contribuyentes sobre los cuales se realiza el control de la deuda tributaria | % | 30.0 | 2012 | P | | 100.00 |
| | | | | | P(a) | | 100.00 |
| | | | | | A | | |

Details

Means of verification: Reportes de Intendencia Nacional de Cumplimiento Tributario (INCT)

Observations: El indicador se refiere a contribuyentes de actividad empresarial

Pro-Gender No **Pro-Ethnicity** No

| Indicator | | Unit of Measure | Baseline | Baseline Year | | 2020 | EOP 2020 |
|-----------|---|-----------------|----------|---------------|------|------|----------|
| 0.1 | Ratio de acciones de fiscalización no conformes (auditorías más verificaciones) | % | 69.5 | 2012 | P | | 74.00 |
| | | | | | P(a) | | 74.00 |
| | | | | | A | | |

Details

Means of verification: INCT

Observations: Se espera mejorar este indicador a través de gestión integral de riesgos

Pro-Gender No **Pro-Ethnicity** No

| Indicator | | Unit of Measure | Baseline | Baseline Year | | 2020 | EOP 2020 |
|-----------|--|-----------------|----------|---------------|------|------|----------|
| 0.2 | Crecimiento porcentual anual del número de intervenciones con incidencia como resultado del control aduanero | % | 3.9 | 2013 | P | | 8.00 |
| | | | | | P(a) | | 8.00 |
| | | | | | A | | |

Details

Means of verification: Estadísticas de la Intendencia Nacional de Prevención del Contrabando y Fiscalización Aduanera

Observations: Se espera mejorar este indicador a través del equipamiento aduanero

Pro-Gender No **Pro-Ethnicity** No

| Indicator | | Unit of Measure | Baseline | Baseline Year | | 2020 | EOP 2020 |
|-----------|--|-----------------|----------|---------------|---|------|----------|
| 0.3 | Reducción de las horas de caída al año del sistema | horas | 12.21 | 2012 | P | | 2.00 |

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OUTCOMES

| | | | | | | | |
|--|--|-----------------|----------|---------------|---------------|------|----------|
| 0.3 | virtual PDT | horas | 12.21 | 2012 | P(a) | | 2.00 |
| | | | | | A | | |
| Details | | | | | | | |
| Means of verification: Reportes de la Intendencia Nacional de Sistemas de Información | | | | | | | |
| Observations: Se espera mejorar este indicador a través de la mejora de la arquitectura informática de SUNAT | | | | | | | |
| Pro-Gender | | No | | | Pro-Ethnicity | | No |
| Indicator | | Unit of Measure | Baseline | Baseline Year | | 2020 | EOP 2020 |
| 0.4 | Crecimiento porcentual anual de la recaudación del impuesto predial en ciudades principales tipo A y B | % | 11.5 | 2012 | P | | 12.50 |
| | | | | | P(a) | | 12.50 |
| | | | | | A | | |
| Details | | | | | | | |
| Means of verification: Reportes de Contaduría General de la República - MEF | | | | | | | |
| Observations: Se espera mejorar este indicador a través de la implementación del nuevo SRTM | | | | | | | |
| Pro-Gender | | No | | | Pro-Ethnicity | | No |
| Indicator | | Unit of Measure | Baseline | Baseline Year | | 2020 | EOP 2020 |
| 0.5 | Porcentaje de expedientes sin respuesta luego de 30 días de recibidos | % | 74.0 | 2014 | P | | 10.00 |
| | | | | | P(a) | | 10.00 |
| | | | | | A | | |
| Details | | | | | | | |
| Means of verification: Reportes de gestión de la DGPIP | | | | | | | |
| Observations: Se espera alcanzar las metas de este indicador con las actividades de mejora de la gestión de la DGPIP | | | | | | | |
| Pro-Gender | | No | | | Pro-Ethnicity | | No |

RESULTS MATRIX

OUTPUTS: ANNUAL PHYSICAL AND FINANCIAL PROGRESS

Component Nbr. 1 Componente 1: Mejoramiento de la efectividad del control tributario y aduanero del universo de administrados a nivel nacional

| | Output | Unit of Measure | | PHYSICAL PROGRESS | | FINANCIAL PROGRESS | |
|-----|---|--|------|-------------------|----------|--------------------|------------|
| | | | | 2017 | EOP 2020 | 2017 | EOP 2020 |
| 1.1 | Sistema de cuenta única diseñado e implantado | Sistema | P | 1 | 1 | 5,029,291 | 13,996,798 |
| | | | P(a) | 0 | 1 | 1,035,247 | 13,996,799 |
| | | | A | 0 | 0 | 725,164 | 737,664 |
| 1.2 | Sistema de gestión inductiva (CRM) diseñado e implantado | Sistema | P | | 1 | 1,489,235 | 6,720,733 |
| | | | P(a) | 0 | 1 | 79,375 | 6,720,734 |
| | | | A | 0 | 0 | 565,217 | 2,084,176 |
| 1.3 | Sistema de gestión de riesgo diseñado e implantado | Sistema | P | 1 | 1 | 172,968 | 6,243,586 |
| | | | P(a) | 0 | 1 | 1,426,837 | 6,243,586 |
| | | | A | 0 | 0 | 471,886 | 3,165,784 |
| 1.4 | Modelo de gestión coordinada de fronteras (GCF) diseñado | Modelo | P | | 1 | 507,792 | 2,063,500 |
| | | | P(a) | 0 | 1 | 0 | 1,800,300 |
| | | | A | 0 | 0 | 0 | 0 |
| 1.5 | Equipamiento nuevo no intrusivo adquirido y en funcionamiento | Equipamiento no intrusivo | P | | 62 | | 12,455,558 |
| | | | P(a) | 18 | 63 | 3,144,224 | 12,455,559 |
| | | | A | 2 | 47 | 2,226,445 | 5,304,051 |
| 1.6 | Embarcaciones nuevas para operaciones acuáticas adquirido y en funcionamiento | Embarcaciones para operaciones acuáticas | P | | 5 | 5,193,878 | 7,419,826 |
| | | | P(a) | 0 | 2 | 2,460,909 | 7,419,825 |
| | | | A | 0 | 0 | 77,000 | 118,584 |
| 1.7 | Plataformas de servidores para base de datos y soluciones continuas de alta disponibilidad adquiridos | Plataformas | P | | 2 | 6,000,000 | 10,800,000 |
| | | | P(a) | 0 | 2 | 404,891 | 10,800,000 |
| | | | A | 0 | 1 | 3,449,596 | 5,949,594 |
| 1.8 | Centro de monitoreo predictivo implementado | Centro | P | | 1 | 25,000 | 100,000 |
| | | | P(a) | 0 | 1 | 0 | 100,000 |
| | | | A | 0 | 0 | 0 | 0 |

RESULTS MATRIX

OUTPUTS: ANNUAL PHYSICAL AND FINANCIAL PROGRESS

Component Nbr. 2 Componente 2: Mejoramiento de la gestión de la política de ingresos públicos con énfasis en la recaudación tributaria municipal

| | Output | Unit of Measure | | PHYSICAL PROGRESS | | FINANCIAL PROGRESS | |
|-----|--|---------------------------------|------|-------------------|----------|--------------------|--------------|
| | | | | 2017 | EOP 2020 | 2017 | EOP 2020 |
| 2.1 | Nuevo Sistema de Recaudación Tributaria Municipal diseñado e implementado en 50 GGLL | Subnational governments (#) | P | | 50 | 1,266,300 | 6,866,560 |
| | | | P(a) | 0 | 50 | 466,685.28 | 6,860,295.21 |
| | | | A | 0 | 0 | 80,638.02 | 274,247.95 |
| 2.2 | Aplicativo web implementado en ciudades principales tipo A y B | Subnational governments (#) | P | | 249 | 339,750 | 1,268,570 |
| | | | P(a) | 0 | 249 | 0 | 1,200,000 |
| | | | A | 0 | 0 | 0 | 0 |
| 2.3 | Propuesta organizacional de la DGPIP implementada | Propuesta organizacional | P | | 1 | | 134,000 |
| | | | P(a) | 0 | 1 | 25,000 | 132,441.41 |
| | | | A | 0 | 0 | 0 | 107,441.41 |
| 2.4 | Sistema de gestión documentaria de la DGPIP implementado | Sistema de gestión documentaria | P | 1 | 1 | | 453,650 |
| | | | P(a) | 0 | 1 | 40,454.56 | 444,090.97 |
| | | | A | 0 | 0 | 0 | 63,636.41 |
| 2.5 | Funcionarios de la DGPIP capacitados en temas de tributación sectorial | Funcionarios capacitados | P | 56 | 280 | 84,300 | 396,100 |
| | | | P(a) | 0 | 56 | 0 | 394,182.62 |
| | | | A | 0 | 0 | 0 | 4,182.62 |
| 2.6 | Equipos informáticos adquiridos | Equipos informáticos | P | | 35 | | 62,500 |
| | | | P(a) | 0 | 35 | 0 | 0 |
| | | | A | 0 | 0 | 0 | 0 |
| 2.7 | Aplicativo tributario adquirido | Aplicativo tributario | P | | 1 | | 251,874 |
| | | | P(a) | 0 | 1 | 0 | 251,874 |
| | | | A | 0 | 0 | 0 | 0 |
| 2.8 | Bases de datos adquiridas | Bases de datos | P | | 6 | | 156,915 |
| | | | P(a) | 0 | 6 | 0 | 156,915 |
| | | | A | 0 | 0 | 0 | 0 |

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OUTPUTS: ANNUAL PHYSICAL AND FINANCIAL PROGRESS

Component Nbr. 3 Seguimiento y administración de los Proyectos

| | Output | Unit of Measure | | PHYSICAL PROGRESS | | FINANCIAL PROGRESS | |
|-----|--|-----------------|------|-------------------|----------|--------------------|------------|
| | | | | 2017 | EOP 2020 | 2017 | EOP 2020 |
| 3.1 | Unidad Ejecutora SUNAT conformada | Informe | P | | 1 | | 85,720 |
| | | | P(a) | 0 | 1 | 0 | 198,088 |
| | | | A | 0 | 1 | 0 | 198,088 |
| 3.2 | Unidad Ejecutora MEF conformada | Informe | P | | 1 | | 78,020 |
| | | | P(a) | 0 | 1 | 54,757.58 | 148,339.48 |
| | | | A | 0 | 1 | 0 | 93,581.9 |
| 3.3 | Evaluación intermedia y final SUNAT realizadas | Informe | P | | 2 | | 483,000 |
| | | | P(a) | 1 | 2 | 41,428 | 82,857 |
| | | | A | 0 | 0 | 0 | 0 |
| 3.4 | Evaluación intermedia y final MEF realizadas | Informe | P | | 0 | | 0 |
| | | | P(a) | 0 | 2 | 0 | 180,000 |
| | | | A | 0 | 0 | 0 | 0 |
| 3.5 | Línea de Base SUNAT | Informe | P | | 0 | | 0 |
| | | | P(a) | 1 | 1 | 35,000 | 35,000 |
| | | | A | 0 | 0 | 0 | 0 |
| 3.6 | Línea de Base MEF | Informe | P | | 0 | | 0 |
| | | | P(a) | 0 | 1 | 0 | 122,259 |
| | | | A | 0 | 1 | 0 | 122,259 |

Other Cost

| | | | | | | |
|--|-----------------------------------|------|--|--|----------|--------------|
| | Administración del Programa MEF | P | | | 298,700 | 1,590,490 |
| | | P(a) | | | 300,000 | 1,385,743.78 |
| | | A | | | 58,030.3 | 143,774.08 |
| | Administración del Programa SUNAT | P | | | 335,410 | 1,264,000 |
| | | P(a) | | | 204,705 | 1,229,000 |
| | | A | | | 0 | 273,965 |
| | Auditoría SUNAT | P | | | 35,400 | 141,600 |
| | | P(a) | | | 25,681 | 141,599 |
| | | A | | | 0 | 0 |

Total Cost

| | | | | | | |
|--|------------|------|--|--|--------------|---------------|
| | Total Cost | P | | | 20,778,024 | 73,033,000 |
| | | P(a) | | | 9,745,194.42 | 72,499,488.47 |
| | | A | | | 7,653,976.32 | 18,641,029.37 |

CHANGES TO THE MATRIX

No information available for this section