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| **SAFEGUARD SCREENING FORM**   |  |  |  | | --- | --- | --- | | **PROJECT DETAILS** | **IDB Sector** | WATER AND SANITATION | | **Type of Operation** | Other Lending or Financing Instrument | | **Additional Operation Details** |  | | **Country** | BRAZIL | | **Project Status** |  | | **Investment Checklist** | Infrastructure Water and Sanitation | | **Team Leader** | Bretas, Fernando Soares (FERNANDOB@iadb.org) | | **Project Title** | Program of Environmental Sanitation of CAESB - Phase 1 | | **Project Number** | BR-L1215 | | **Safeguard Screening Assessor(s)** | Bretas, Fernando Soares (FERNANDOB@iadb.org) | | **Assessment Date** | 2013-06-04 | | **Additional Comments** |  |  |  |  |  |  | | --- | --- | --- | --- | | **PROJECT CLASSIFICATION SUMMARY** | **Project Category:** B | **Override Rating:** | **Override Justification:** | | **Comments:** | | **Conditions/ Recommendations** |  Category "B" operations require an environmental analysis (see Environment Policy Guideline: Directive B.5 for Environmental Analysis requirements).   The Project Team must send to ESR the PP (or equivalent) containing the Environmental and Social Strategy (the requirements for an ESS are described in the Environment Policy Guideline: Directive B.3) as well as the Safeguard Policy Filter and Safeguard Screening Form Reports.   These operations will normally require an environmental and/or social impact analysis, according to, and focusing on, the specific issues identified in the screening process, and an environmental and social management plan (ESMP). However, these operations should also establish safeguard, or monitoring requirements to address environmental and other risks (social, disaster, cultural, health and safety etc.) where necessary. | |  |  |  |  | | --- | --- | --- | | **SUMMARY OF IMPACTS/RISKS AND POTENTIAL SOLUTIONS** | **Identified Impacts/Risks** | **Potential Solutions** | | Minor or moderate conversion or degradation impacts to natural habitats (such as forests, wetlands or grasslands). | **Ensure Proper Management and Monitoring of the Impacts of Natural Habitat Loss:** A Biodiversity Management Plan (BMP) should be prepared that defines how impacts will be mitigated (roles and responsibilities, monitoring, budget, etc.) and could be incorporated in the ESMP. Depending on the financial product, the BMP should be referenced in appropriate legal documentation (covenants, conditions of disbursement, etc.). Confirmation should be obtained from competent experts that they are confident that the plan can mitigate impacts and also that the relevant authorities have approved the BMP. | | Generation of solid waste is moderate in volume, does not include hazardous materials and follows standards recognized by multilateral development banks. | **Solid Waste Management:** The borrower should monitor and report on waste reduction, management and disposal and may also need to develop a Waste Management Plan (which could be included in the ESMP). Effort should be placed on reducing and re-cycling solid wastes. Specifically (if applicable) in the case that national legislations have no provisions for the disposal and destruction of hazardous materials, the applicable procedures established within the Rotterdam Convention, the Stockholm Convention, the Basel Convention, the WHO List on Banned Pesticides, and the Pollution Prevention and Abatement Handbook (PPAH), should be taken into consideration. | | Likely to have minor to moderate emission or discharges that would negatively affect ambient environmental conditions. | **Management of Ambient Environmental Conditions:** The borrower should be required to prepare an action plan (and include it in the ESMP) that indicates how risks and impacts to ambient environmental conditions can be managed and mitigated consistent with relevant national requirements and international standards and guidelines such as the IFC Water and Sanitation Guidelines (as appropriate). The borrower should (a) consider a number of factors, including the finite assimilative capacity of the environment, existing and future land use, existing ambient conditions, the project's proximity to ecologically sensitive or protected areas, and the potential for cumulative impacts with uncertain and irreversible consequences; and (b) promote strategies that avoid or, where avoidance is not feasible, minimize or reduce the release of pollutants, including strategies that contribute to the improvement of ambient conditions when the project has the potential to constitute a significant source of emissions in an already degraded area. The plan should be subject to review by qualified independent  experts. Depending on the financial product, this information should be referenced in appropriate legal documentation (covenants, conditions of disbursement, etc.). | | Project construction activities are likely to lead to localized and temporary impacts (such as dust, noise, traffic etc) that will affect local communities and workers but these are minor to moderate in nature. | **Construction:** The borrower should demonstrate how the construction impacts will be mitigated. Appropriate management plans and procedures should be incorporated into the ESMP. Review of implementation as well as reporting on the plan should be part of the legal documentation (covenants, conditions of disbursement, etc). |  |  |  |  | | --- | --- | --- | | **ASSESSOR DETAILS** | **Name of person who completed screening:** | Bretas, Fernando Soares (FERNANDOB@iadb.org) | | **Title:** | Principal Water and Sanitation Specialist | | **Date:** | 2013-06-04 | |

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| **SAFEGUARD POLICY FILTER REPORT**   |  |  |  | | --- | --- | --- | | **PROJECT DETAILS** | **IDB Sector** | WATER AND SANITATION | | **Type of Operation** | Other Lending or Financing Instrument | | **Additional Operation Details** |  | | **Investment Checklist** | Infrastructure Water and Sanitation | | **Team Leader** | Bretas, Fernando Soares (FERNANDOB@iadb.org) | | **Project Title** | Program of Environmental Sanitation of CAESB - Phase 1 | | **Project Number** | BR-L1215 | | **Safeguard Screening Assessor(s)** | Bretas, Fernando Soares (FERNANDOB@iadb.org) | | **Assessment Date** | 2013-06-04 | | **Additional Comments** |  |  |  |  |  |  | | --- | --- | --- | --- | | **SAFEGUARD POLICY FILTER RESULTS** | **Type of Operation** | Loan Operation | | | **Safeguard Policy Items Identified (Yes)** | The Bank will make available to the public the relevant Project documents. | (B.01) Access to Information Policy– OP-102 | | The operation is in compliance with environmental, specific women’s rights, gender, and indigenous laws and regulations of the country where the operation is being implemented (including national obligations established under ratified Multilateral Environmental Agreements). | (B.02) | | The operation (including associated facilities) is screened and classified according to their potential environmental impacts. | (B.03) | | Consultations with affected parties will be performed equitably and inclusively with the views of all stakeholders taken into account, including in particular: (a) equal participation of women and men, (b) socio-culturally appropriate participation of indigenous peoples and (c) mechanisms for equitable participation by vulnerable groups. | (B.06) | | The Bank will monitor the executing agency/borrower’s compliance with all safeguard requirements stipulated in the loan agreement and project operating or credit regulations. | (B.07) | | The operation has the potential to pollute the environment (e.g. air, soil, water, greenhouse gases...). | (B.11) | | Suitable safeguard provisions for procurement of goods and services in Bank financed projects may be incorporated into project-specific loan agreements, operating regulations and bidding documents, as appropriate, to ensure environmentally responsible procurement. | (B.17) | | **Potential Safeguard Policy Items(?)** | No potential issues identified |  | | **Recommended Action:** | Operation has triggered 1 or more Policy Directives; please refer to appropriate Directive(s). Complete Project Classification Tool. Submit Safeguard Policy Filter Report, PP (or equivalent) and Safeguard Screening Form to ESR. | | | **Additional Comments:** |  | |  |  |  |  | | --- | --- | --- | | **ASSESSOR DETAILS** | **Name of person who completed screening:** | Bretas, Fernando Soares (FERNANDOB@iadb.org) | | **Title:** | Principal Water and Sanitation Specialist | | **Date:** | 2013-06-04 | |