

# PROJECT STATUS REPORT

JANUARY 2013 - JUNE 2013

## SECTION 1: PROJECT SUMMARY

**PROJECT NAME:** Regional Initiative for the Economic and Social Inclusion of Recyclers

Project Number: RG-M1179 - Operation Number: ATN/ME-12692-RG

**Result:** The purpose is to enhance national and regional coordination capacities between national and local governments, the business sector and recycler organizations to promote conditions for greater inclusion of recyclers in the value chain.

**Country Administrator**  
UNITED STATES

**Beneficiary Country**  
ARGENTINA, BOLIVIA

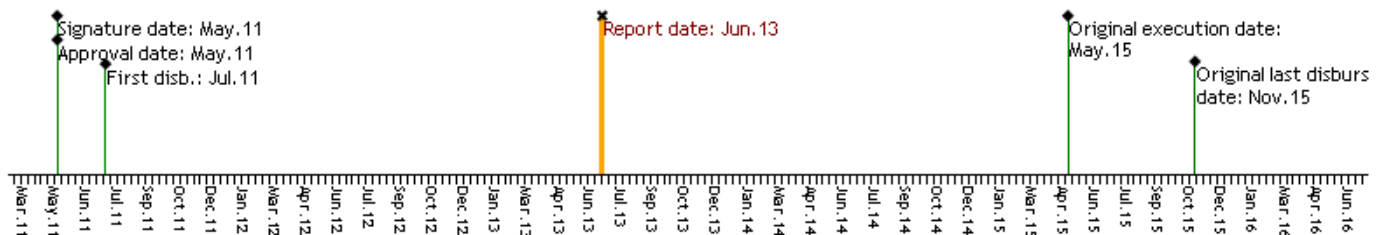
**Group**  
SME - Small and Medium Enterprise Development

**Subgroup**  
BDEV - Business Development

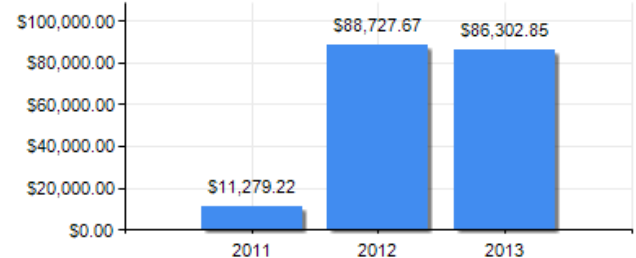
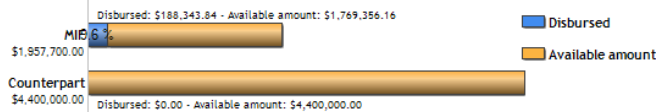
**Executing Agency:** INTER-AMERICAN DEVELOPMENT BANK

**Design Team Leader:** Peinado-Vara, Estrella  
**Supervision Team Leader:** Peinado-Vara, Estrella

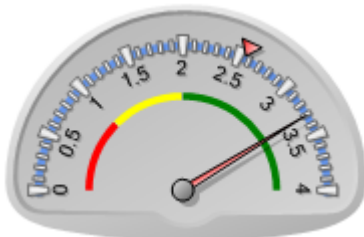
### TIMELINE



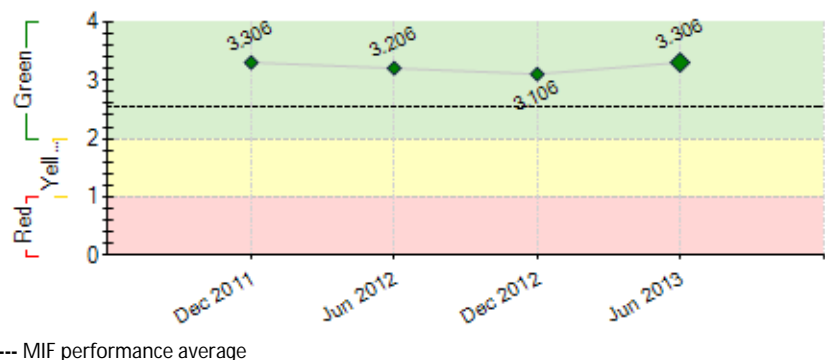
### FUNDS



### PERFORMANCE SCORE



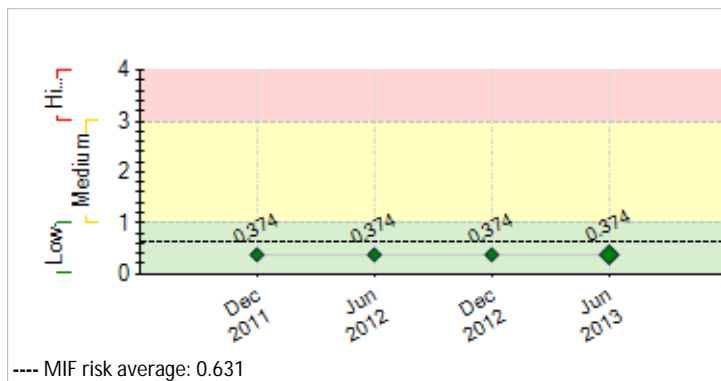
Current score: Satisfactory: 3.306  
MIF Average: 2.562



### EXTERNAL RISKS

#### INSTITUTIONAL CAPACITY

**Risk**  
**Financial Management:** Low  
**Procurement:** Low  
**Technical Capacity:** Low



## SECTION 2: PERFORMANCE

### Summary of project performance since inception

The implementation of the Initiative has continued in the 1st semester of 2013 with advances made in key areas. Although, significant advances at purpose level have yet to be reported, the Initiative's M&E system (CIII) is under development & its introduction in the 2nd semester of 2013 should facilitate the capture & reporting of results at this level.

At component level, under CI, the Initiative is on course to meet indicator targets with a total of four strategic projects expected to be approved by the end of 2013. Under CII, work to develop National Recycler & Municipal Training Programmes will begin in the 2nd half of 2013 with initial implementation planned for the 1st semester of 2014 contributing to key component indicators. Under CIV, the Strategic Communications Plan has been developed & approved and will be implemented from the 2nd semester of 2013.

The main risk to achieving the program results in the designed time frame continues to be decision-making speed. During this period, National Committees (NCs) have been established in Ecuador, Mexico & Peru to facilitate the implementation of the Initiative. NCs have also been established to support the strategic project in Chile and in Colombia as a result of last year's public policy workshop. These 5 NCs are using the *Inclusive Recycling Sector Study* outputs as inputs to the identification & design of relevant national projects. The internal analysis recommended in the previous report is also currently underway.

#### Comments from the Supervision Team Leader

Agree with the Executing Agency comments

### Summary of project performance in the last six months

Under **Component I**, Accenture completed work on the *Inclusive Recycling Sector Study* (CI A1.1) in April 2013. The study outputs are being prepared for dissemination during the 2nd semester of 2013 (CI A1.2). The development of strategic projects continues with two additional projects in Mexico and Panama approved for development by the Regional Advisory Board in February 2013. This brings the total number of IRR strategic projects to four, all of which will be approved by the end of the year.

Under **Component II**, the process to select the consultant responsible for developing the National Recycler & Municipal Training Programmes is underway. Work on this task is expected to begin in August (CII A2.1). A proposal to develop an *Exchange and Horizontal Training Mechanism for Recyclers* (CII, A2.5) was approved & will be developed with the Regional Recycler Network (Red-LACRE) during the remainder of 2013.

Under **Component III**, work began on the design of the Monitoring and Evaluation System of the Initiative. This task will be completed in August 2013. Work on the *Operating Guide for the Formalization of Informal Recyclers on Disposal Sites* continued and the first draft of the *Operating Guide for Gender Mainstreaming in Inclusive Recycling* was developed and will be submitted for peer review in early July 2013.

Under **Component IV**, the *Strategic Communications Plan* has been developed & approved & the implementation of the plan is expected to begin in August 2013.

#### Comments from the Supervision Team Leader

Agree with the Executing Agency comments

## SECTION 3: INDICATORS AND MILESTONES

Indicators		Baseline	Intermediate 1	Intermediate 2	Intermediate 3	Planned	Achieved	Status
<b>Result:</b> The purpose is to enhance national and regional coordination capacities between national and local governments, the business sector and recycler organizations to promote conditions for greater inclusion of recyclers in the value chain.	<b>P.11</b> At least 30 municipios, distributed among at least three countries, have signed selective collection contracts with recyclers' organizations (businesses).	0	10 Mar 2014			30 May 2015	0	
	<b>P.12</b> At least 30 municipios in at least three countries have approved the rules regulating separation at origin for domestic wastes.	0	10 Mar 2014			30 May 2015	0	
	<b>P.13</b> At least six firms have supply policies that include buying materials from recyclers' organizations.	0	2 Mar 2014			6 May 2015	1 Dec 2012	
	<b>P.14</b> At least two countries have developed professional training services for recyclers	0				2 Mar 2015	0	

P.15	At least four national multisector networks have been created among recycling stakeholders.	0				4	0	
						Mar 2015		
P.16	At least 70 intersectoral institutions (including national governments and international agencies) have signed agreements for cooperation through the regional initiative.	0				70	0	
						Mar 2014		

**Component 1:** Component I. Financing of projects that offer specific impact opportunities.

**Weight:** 30%

**Classification:** Satisfactory

C1.11	At least 12 firms are interested in supporting and coinvesting in national projects.	0				12	8	
						Mar 2013	Dec 2012	
C1.12	At least four new national projects have been approved.	0	2			4	3	
			Mar 2013			Mar 2014	Jun 2013	
C1.13	At least three national projects are in execution.	0				3		
						Mar 2014	Jun 2013	
C1.14	At least two projects offering new solutions for access to basic services and/or income generating opportunities for recyclers' associations have been created by firms participating in the regional initiative.	0				2		
						Mar 2015	Jun 2013	
C1.15	At least two projects offering new process technologies or recycling products have been developed by firms participating in the regional initiative.	0				2		
						Mar 2015	Jun 2013	
C1.16	At least two carbon credit methodology projects have been developed and tested for two recyclable materials (one for each material).	0				2		
						May 2015	Jun 2013	
C1.17	At least two projects involving financing mechanisms related to climate change (payment for environmental services) have been developed and implemented.	0				2		
						May 2015	Jun 2013	

**Component 2:** Component II. Training, technical assistance and information sharing for stakeholders in the recycling market.

**Weight:** 30%

**Classification:** Satisfactory

C2.11	At least four multinational firms have signed agreements to participate in the regional initiative	0				4	5	
						Mar 2013	Jun 2013	
C2.12	At least six governments in the region have received technical assistance for creating or reformulating their regulatory frameworks for recyclable waste management.	0				6	3	
						Mar 2015	Dec 2012	
C2.13	At least four projects have benefited from knowledge services.	0				4	4	Finished
						May 2015	Dec 2012	
C2.14	At least six countries in the region have received technical assistance for creating or reformulating their regulatory frameworks for recyclable waste management.	0				6		
						May 2015	Jun 2013	
C2.15	At least 10,000 recyclers have received technical training from leading national entities or professionals in recyclable waste management.	0	2500	5000		10000	260	
			Mar 2014	Mar 2015		May 2015	Dec 2012	
C2.16	At least 60 professionals (government officials, recyclers, NGOs, firms) have participated in the program for sharing experience.	0	20	40		60	68	Finished
			Mar 2014	Mar 2015		May 2015	Dec 2012	
C2.17	At least 200 municipal officials have received training.	0				200	270	Finished
						May 2015	Nov 2011	

**Component 3:** Component III: Documentation of knowledge and learning.

**Weight:** 20%

**Classification:** Satisfactory

C3.11	A monitoring system is up and running (includes a baseline).						No	Delayed
						Mar 2013	Jun 2013	
C3.12	An online mapping system is operating to identify municipal services that successfully integrate recyclers and private initiatives through public-private partnerships (PPPs) and other models.						No	Delayed
						Mar 2013	Jun 2013	
C3.13	At least 10 best-practice experiments have been documented	0	6			10		
			Mar 2014			May 2015	Jun 2013	
C3.14	At least 10 case studies have been produced.	0	6			10	2	
			Mar 2014			May 2015	Dec 2012	
C3.15	At least five evaluations (of business models, etc.) have been documented and disseminated in LAC and globally.	0	3			5		
			Mar 2014			May 2015	Jun 2013	
C3.16	At least three business models have been adopted by other firms or municipios and are operating.	0				3		
						May 2015	Jun 2013	
C3.17	At least five studies of specific recyclable materials have been produced.	0	3			5	1	
			Mar 2014			May 2015	Dec 2012	
C3.18	At least 200 participants have attended national seminars	0	80			200	206	Finished
			Mar 2014			May 2015	May 2013	
C3.19	At least 500 participants have attended the annual conferences.	0	60	150	350	500	100	Finished
			Mar 2013	Mar 2014	Mar 2015	May 2015	May 2012	

**Component 4:** Component IV: Strategic communication with key audiences

**Weight:** 20%

**Classification:** Satisfactory

C4.11	A strategic communication plan designed, including identification of key audiences, a calendar of activities, and knowledge products to be developed for each audience (i.e. best practices documented, case studies, etc. described in component III) and strategic communication.						Yes	
						Mar 2013	Jun 2013	
C4.12	An exit strategy designed to ensure continuity of the initiative.						No	
						Mar 2014	Jun 2013	
C4.13	At least 10 additional organizations in LAC are representing different stakeholders in the recycling market and donors have joined the regional initiative as a result of the initial communication activities.	0				10		
						Mar 2015	Jun 2013	
C4.14	Five LAC countries have conducted a campaign for positioning recyclers as agents with an economic function who contribute to society and to the	0				5		

environment.					May 2015	Jun 2013	
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Milestones	Planned	Due Date	Achieved	Date achieved	Status
M1 Conditions Prior	1	Oct 2011	1	Oct 2011	Achieved

**CRITICAL ISSUES THAT HAVE AFFECTED PERFORMANCE***[None reported in this period]***SECTION 4: RISKS****MOST IMPORTANT RISKS AFFECTING FUTURE PERFORMANCE**

	Level	Mitigation action	Responsible
1. The principal risk identified for the creation of a regional recycling initiative is that firms will see the initiative as an attempt on the part of governments, multilateral agencies and civil society organizations to impose excessive demands or regulations that will limit business participation in this area.	Low	One of the partners is a firm that is interested both in the impact of its products and in the possibility of using recycled materials. Representation of other relevant players is assured by the close involvement of recycler associations, which will be part of the body advising the implementation team. Given the varied nature and interests of the organizations involved in the initiative, the implementation team will have professionals with technical knowledge and partnership management skills.	Project Guest
2. Stakeholders and the general public are not willing to change their behavior.	Low	A strong communication campaign, preaching with examples of the benefits of changing behavior and raising awareness.	Project Guest
3. There is not demand on the part of key stakeholders for the knowledge generated.	Low	Raise awareness and share the knowledge of successful projects in an effective way and at no cost.	Project Guest
4. Large firms, municipios and other organizations are not able and willing to cofinance or implement pilot projects.	Low	Raise awareness, share lessons learned and dissemination of knowledge product as a result of the implementation of pilot projects.	Project Guest
5. The direct beneficiaries does not continue to participate in the project, using the models, instruments and techniques learned through the training and experience sharing exercises.	Low	Component II consider activities of training, technical assistance and information sharing for stakeholders in the recycling market, specifically tailored for direct beneficiaries.	Project Guest

**PROJECT RISK LEVEL:** Low **TOTAL NUMBER OF RISKS:** 5 **IN EFFECT RISKS:** 5 **NOT IN EFFECT RISKS:** 0 **MITIGATED RISKS:** 0

**SECTION 5: SUSTAINABILITY**

**Likelihood of project sustainability after project completion:** LP - Low Probability

**CRITICAL ISSUES THAT MAY AFFECT PROJECT SUSTAINABILITY**

Issue	Comments
[X] Lack of a <b>sustainability plan</b> or its inadequate implementation	Una de las actividades para iniciar es el desarrollo de una "estrategia de salida" para encontrar la mejor manera de asegurar continuidad de la iniciativa

**Actions related to sustainability which will be or have been implemented:**

No specific actions related to sustainability were undertaken in this period

**SECTION 6: PRACTICAL LESSONS**

	Relative to Implementation	Author
1. During this semester, the implementation team (IT) has initiated a process of structured reflection on the implementation of the Initiative to date. Although this process is not yet complete, it has served to identify key points to resolve including the need to develop communication channels and coordination mechanisms between (1) the partners at national and regional level; and (2) between the Initiative and other key stakeholders e.g. the Latin American Recyclers Network (Red-LACRE).		Olley, Jane
Efforts to address point (1) include the establishment and monitoring of National Committees in five countries in the region. Through this work, it is possible to conclude that where there is a shared interest of the partners at national level to contribute to the development of the sector, the committee is an excellent vehicle to validate the analysis undertaken at regional level and proactively channel local sector demand.		