

PROJECT STATUS REPORT

JULY 2013 - DECEMBER 2013

SECTION 1: PROJECT SUMMARY

PROJECT NAME: Regional Initiative for the Economic and Social Inclusion of Recyclers

Project Number: RG-M1179 - Operation Number: ATN/ME-12692-RG

Result: The purpose is to enhance national and regional coordination capacities between national and local governments, the business sector and recycler organizations to promote conditions for greater inclusion of recyclers in the value chain.

Country Administrator
UNITED STATES

Beneficiary Country
ARGENTINA, BOLIVIA

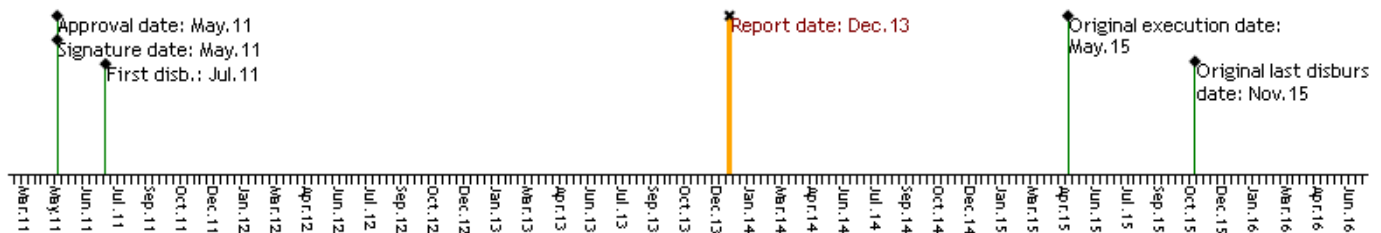
Group
SME - Small and Medium Enterprise Development

Subgroup
BDEV - Business Development

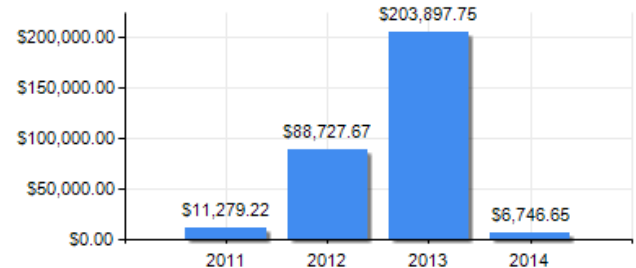
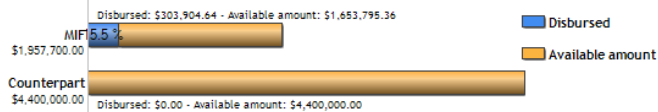
Executing Agency:

Design Team Leader: Peinado-Vara, Estrella
Supervision Team Leader: Peinado-Vara, Estrella

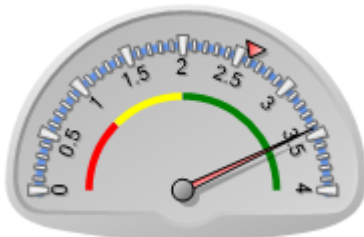
TIMELINE



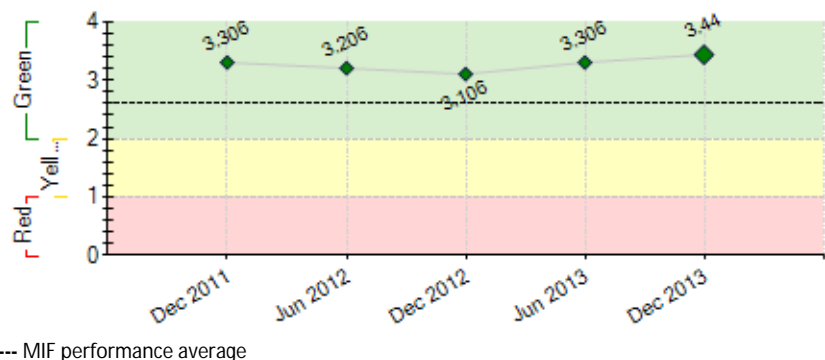
FUNDS



PERFORMANCE SCORE



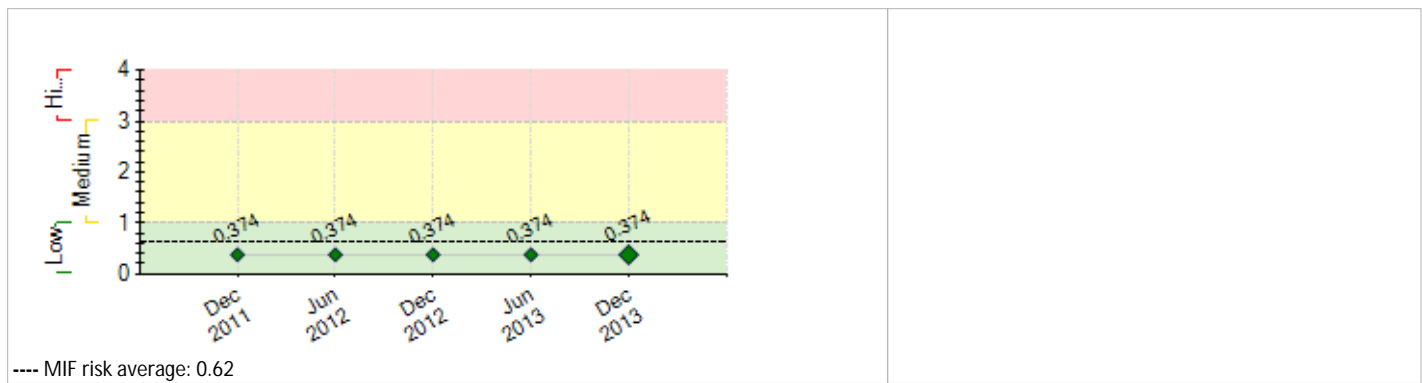
Current score: Satisfactory: 3.44
MIF Average: 2.599



EXTERNAL RISKS

INSTITUTIONAL CAPACITY

Risk
Financial Management: Low
Procurement: Low
Technical Capacity: Low



SECTION 2: PERFORMANCE

Summary of project performance since inception

Four strategic projects have been approved benefiting around 2700 recyclers in 20 municipalities in four LAC countries. The M&E and the Strategic Communication systems have been established and are in operation. Initial content of the M&E system is being validated and additional information captured at purpose level will be uploaded to the PSR during the first semester of 2014.

The IRR has met indicator targets for awareness raising, project approval and implementation (CI). Delays to consultant selection caused by the need for clarifications to the original ToR mean that work will not begin on the National Training Programmes for Recyclers and Municipal staff (CII) until January 2014. Two knowledge products were finalised (CIII), the M&E system developed (CIII) and the implementation of the Strategic Communications Plan began (CIV).

Decision-making accelerated with six new projects (Components II & III) developed through the work of National Committees (NCs) and approved by the Implementation Team (IT). Additional NCs will be established in the first semester of 2014. During the 3rd Regional Advisory Committee (RAC) meeting (22 October 2013) it was agreed that, in addition to the RAC and NCs, from January 2014, Red-LACRE will be represented in the IT.

During the next semester, the IT will agree and implement an Engagement Plan to bring at least 3 additional partners into the Initiative. Work will also begin on the project exit strategy and sustainability plan.

Comments from the Supervision Team Leader

Agree with the Executing Agency comments

Summary of project performance in the last six months

Under **Component I**, the total number of approved strategic projects rose to four. Two projects (Chile & Panama) are currently in implementation. The remaining two (Brazil & Mexico) will initiate operations in the first semester of 2014.

Under **Component II**, the consultant was selected to design the National Recycler and Municipal Training Programmes (CII, A2.1) and will begin work in January 2014. A pilot of the Recyclers Exchange & Horizontal Training Mechanism (CII, A2.5) took place in Guyana in July 2013. In addition, an exchange between stakeholders of the strategic project in Chile and the Cata Acao project in Brasil (CII, A2.5) took place in Sao Paulo, Brazil in December 2013.

Under **Component III**, the M&E system of the Initiative has been designed and constructed. The Coordinator is currently working to validate the system and its contents. Final user training will take place in January-February 2014. Two operating guides (Inclusion Plans and Gender Mainstreaming) were finalised and disseminated during the: 1) GARSD-IRR Joint Meeting (CIII, 3.8) in Washington DC (October, 2014); and 2) Expocatador 2013 (CIII, 3.8) in Sao Paulo, Brazil (December, 2014).

Finally, under **Component IV**, implementation of the Strategic Communications Plan began in August 2013. Basic communication materials have been developed as well as the Initiative website and presentation video. The communications team also promoted and disseminated a series of IRR activities and events.

Comments from the Supervision Team Leader

Agree with the Executing Agency comments

SECTION 3: INDICATORS AND MILESTONES

Indicators		Baseline	Intermediate 1	Intermediate 2	Intermediate 3	Planned	Achieved	Status
Result: The purpose is to enhance national and regional coordination capacities between national and local governments, the business sector and recycler organizations to promote conditions for greater inclusion of recyclers in the value chain.	R.1 At least 30 municipios, distributed among at least three countries, have signed selective collection contracts with recyclers' organizations (businesses).	0	10 Mar 2014			30 May 2015	0	
	R.2 At least 30 municipios in at least three countries have approved the rules regulating separation at origin for domestic wastes.	0	10 Mar 2014			30 May 2015	0	
	R.3 At least six firms have supply policies that include buying materials from recyclers' organizations.	0	2 Mar 2014			6 May 2015	1 Dec 2012	
	R.4 At least two countries have developed professional training services for recyclers	0				2 Mar 2015	0	
	R.5 At least four national multisector networks have been created among recycling stakeholders.	0				4 Mar 2015	0	
	R.6 At least 70 intersectoral institutions (including national governments and international agencies) have signed agreements for cooperation through the regional initiative.	0				70 Mar 2014	0	
Component 1: Component I. Financing of projects that offer specific impact opportunities. Weight: 30% Classification: Satisfactory	C1.11 At least 12 firms are interested in supporting and coinvesting in national projects.	0				12 Mar 2013	18 Oct 2013	
	C1.12 At least four new national projects have been approved.	0	2 Mar 2013			4 Mar 2014	3 Jun 2013	
	C1.13 At least three national projects are in execution.	0				3 Mar 2014	2 Dec 2013	
	C1.14 At least two projects offering new solutions for access to basic services and/or income generating opportunities for recyclers' associations have been created by firms participating in the regional initiative.	0				2 Mar 2015		
	C1.15 At least two projects offering new process technologies or recycling products have been developed by firms participating in the regional initiative.	0				2 Mar 2015		
	C1.16 At least two carbon credit methodology projects have been developed and tested for two recyclable materials (one for each material).	0				2 May 2015		
	C1.17 At least two projects involving financing mechanisms related to climate change (payment for environmental services) have been developed and implemented.	0				2 May 2015		
Component 2: Component II. Training, technical assistance and information sharing for stakeholders in the recycling market. Weight: 30% Classification: Satisfactory	C2.11 At least four multinational firms have signed agreements to participate in the regional initiative.	0				4 Mar 2013	5 Jun 2013	
	C2.12 At least six governments in the region have received technical assistance for creating or reformulating their regulatory frameworks for recyclable waste management.	0				6 Mar 2015	4 Jul 2013	
	C2.13 At least four projects have benefited from knowledge services.	0				4 May 2015	4 Dec 2012	Finished
	C2.14 At least six countries in the region have received technical assistance for creating or reformulating their regulatory frameworks for recyclable waste management.	0				6 May 2015		
	C2.15 At least 10,000 recyclers have received technical training from leading national entities or professionals in recyclable waste management.	0	2500 Mar 2014	5000 Mar 2015		10000 May 2015	351 Oct 2013	
	C2.16 At least 60 professionals (government officials, recyclers, NGOs, firms) have participated in the program for sharing experience.	0	20 Mar 2014	40 Mar 2015		60 May 2015	68 Dec 2012	Finished
	C2.17 At least 200 municipal officials have received training.	0				200 May 2015	270 Nov 2011	Finished
Component 3: Component III: Documentation of knowledge and learning. Weight: 20% Classification: Satisfactory	C3.11 A monitoring system is up and running (includes a baseline).					Mar 2013	Yes Dec 2013	
	C3.12 An online mapping system is operating to identify municipal services that successfully integrate recyclers and private initiatives through public-private partnerships (PPPs) and other models.					Mar 2013		Delayed
	C3.13 At least 10 best-practice experiments have been documented.	0	6 Mar 2014			10 May 2015	2 Oct 2013	
	C3.14 At least 10 case studies have been produced.	0	6 Mar 2014			10 May 2015	2 Dec 2012	
	C3.15 At least five evaluations (of business models, etc.) have been documented and disseminated in LAC and globally.	0	3 Mar 2014			5 May 2015		
	C3.16 At least three business models have	0				3		

	been adopted by other firms or municipios and are operating.					May 2015		
C3.17	At least five studies of specific recyclable materials have been produced.	0	3			5	1	
			Mar 2014			May 2015	Dec 2012	
C3.18	At least 200 participants have attended national seminars	0	80			200	206	Finished
			Mar 2014			May 2015	May 2013	
C3.19	At least 500 participants have attended the annual conferences.	0	60	150	350	500	100	Finished
			Mar 2013	Mar 2014	Mar 2015	May 2015	May 2012	

Component 4: Component IV: Strategic communication with key audiences

Weight: 20%

Classification: Satisfactory

C4.11	A strategic communication plan designed, including identification of key audiences, a calendar of activities, and knowledge products to be developed for each audience (i.e. best practices documented, case studies, etc. described in component III) and strategic communication.					Mar 2013	Yes Jun 2013	
C4.12	An exit strategy designed to ensure continuity of the initiative.					Mar 2014		
C4.13	At least 10 additional organizations in LAC are representing different stakeholders in the recycling market and donors have joined the regional initiative as a result of the initial communication activities.	0				10 Mar 2015		
C4.14	Five LAC countries have conducted a campaign for positioning recyclers as agents with an economic function who contribute to society and to the environment.	0				5 May 2015		

Milestones	Planned	Due Date	Achieved	Date achieved	Status
M1 Conditions Prior	1	Oct 2011	1	Oct 2011	Achieved

CRITICAL ISSUES THAT HAVE AFFECTED PERFORMANCE

[None reported in this period]

SECTION 4: RISKS

MOST IMPORTANT RISKS AFFECTING FUTURE PERFORMANCE

	Level	Mitigation action	Responsible
1. The principal risk identified for the creation of a regional recycling initiative is that firms will see the initiative as an attempt on the part of governments, multilateral agencies and civil society organizations to impose excessive demands or regulations that will limit business participation in this area.	Low	One of the partners is a firm that is interested both in the impact of its products and in the possibility of using recycled materials. Representation of other relevant players is assured by the close involvement of recycler associations, which will be part of the body advising the implementation team. Given the varied nature and interests of the organizations involved in the initiative, the implementation team will have professionals with technical knowledge and partnership management skills.	Project Guest
2. Stakeholders and the general public are not willing to change their behavior.	Low	A strong communication campaign, preaching with examples of the benefits of changing behavior and raising awareness.	Project Guest
3. Large firms, municipios and other organizations are not able and willing to cofinance or implement pilot projects.	Low	Raise awareness, share lessons learned and dissemination of knowledge product as a result of the implementation of pilot projects.	Project Guest
4. There is not demand on the part of key stakeholders for the knowledge generated.	Low	Raise awareness and share the knowledge of successful projects in an effective way and at no cost.	Project Guest
5. The direct beneficiaries does not continue to participate in the project, using the models, instruments and techniques learned through the training and experience sharing exercises.	Low	Component II consider activities of training, technical assistance and information sharing for stakeholders in the recycling market, specifically tailored for direct beneficiaries.	Project Guest

PROJECT RISK LEVEL: Low **TOTAL NUMBER OF RISKS:** 5 **IN EFFECT RISKS:** 5 **NOT IN EFFECT RISKS:** 0 **MITIGATED RISKS:** 0

SECTION 5: SUSTAINABILITY

Likelihood of project sustainability after project completion: P - Probable

CRITICAL ISSUES THAT MAY AFFECT PROJECT SUSTAINABILITY

Issue

[X] Lack of a **sustainability plan** or its inadequate implementation

Comments

Durante el segundo semestre de 2014 se elaborara la "estrategia de salida" y sostenibilidad para asegurar continuidad.

Actions related to sustainability which will be or have been implemented:

No specific actions related to sustainability were undertaken in this period. Work is expected to begin on the project exit strategy and sustainability plan in the next semester.

SECTION 6: PRACTICAL LESSONS

	Relative to Implementation	Author
<p>1. The Structured Reflection mentioned in the previous PSR report was completed and conclusions presented to the 3rd Regional Advisory Committee Meeting on the 22 October 2013. Key lessons and proposed actions arising from this exercise can be summarised as follows:</p> <p>1) Impact Proposal analysis will be impact based with contribution to project indicators clearly identified from outset. Contribution to indicators main M&E reporting point.</p> <p>2) Collaboration Collaboration with Red-LACRE should increase. Red-LACRE will participate in all management structures of the IRR. Clear incentives and an engagement strategy should be developed to attract additional private sector partners to the platform.</p> <p>3) Operation Further National Committees will be established and partners will guarantee coordination between their representatives on the IT and the NC. Response periods will be established after which the "Silence is Consent" criteria will apply for decision making at all levels.</p>		Olley, Jane