

PROJECT STATUS REPORT

JULY 2012 - DECEMBER 2012

SECTION 1: PROJECT SUMMARY

PROJECT NAME: Regional Initiative for the Economic and Social Inclusion of Recyclers

Project Number: RG-M1179 - Operation Number: ATN/ME-12692-RG

Result: The purpose is to enhance national and regional coordination capacities between national and local governments, the business sector and recycler organizations to promote conditions for greater inclusion of recyclers in the value chain.

Country Administrator
UNITED STATES

Beneficiary Country
ARGENTINA, BOLIVIA

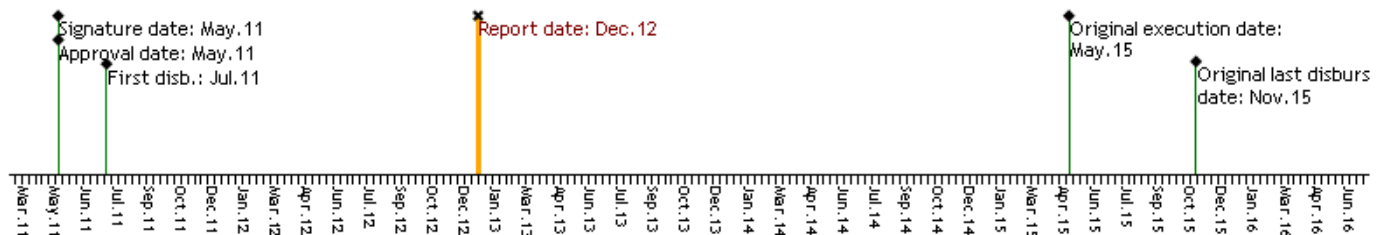
Group
SME - Small and Medium Enterprise Development

Subgroup
BDEV - Business Development

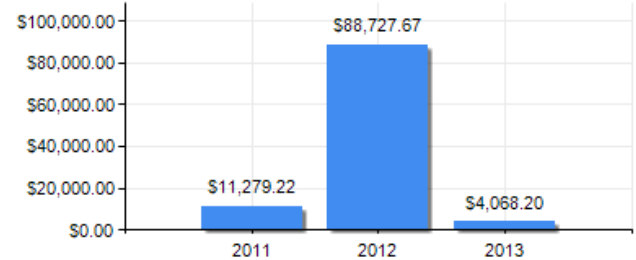
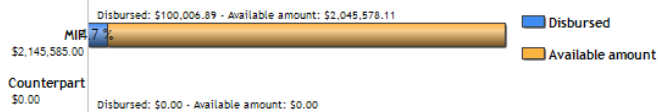
Executing Agency:

Design Team Leader: Peinado-Vara, Estrella
Supervision Team Leader: Peinado-Vara, Estrella

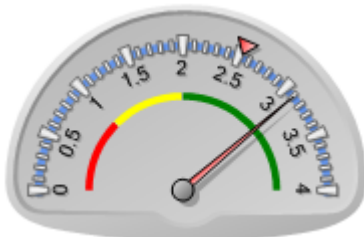
TIMELINE



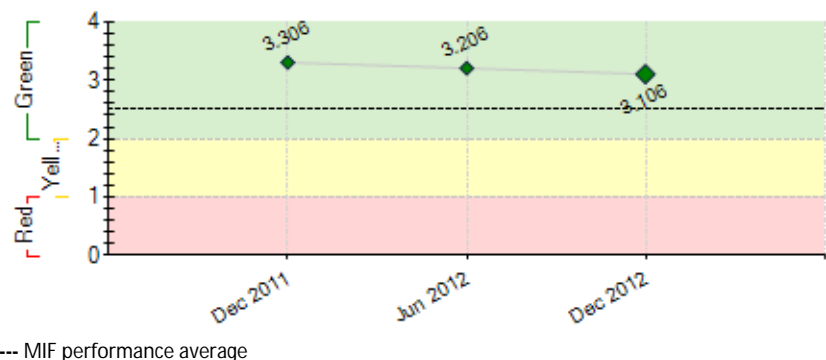
FUNDS



PERFORMANCE SCORE



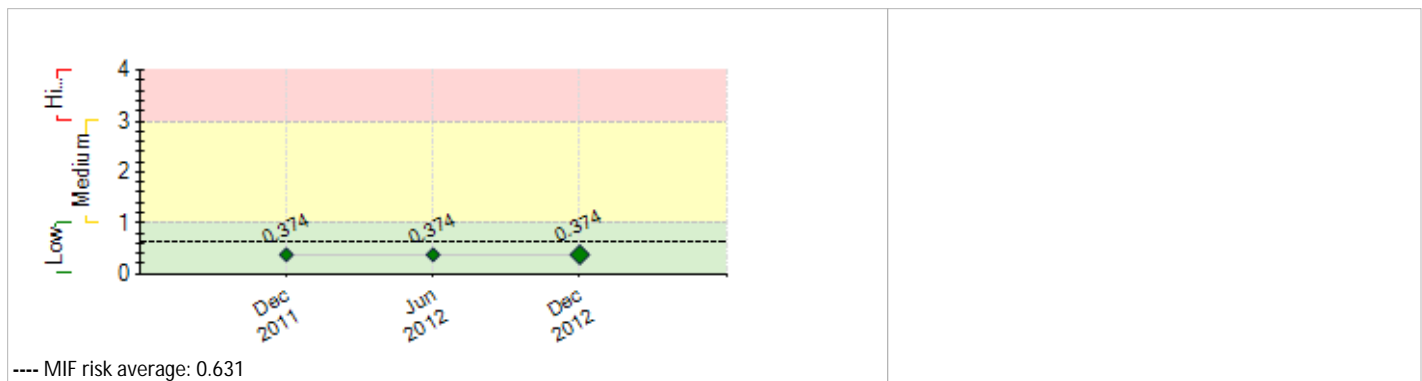
Current score: Satisfactory: 3.106
MIF Average: 2.529



EXTERNAL RISKS

INSTITUTIONAL CAPACITY

Risk
Financial Management: Low
Procurement: Low
Technical Capacity: Low



SECTION 2: PERFORMANCE

Summary of project performance since inception

Although officially launched in May 2011, the implementation of the Initiative began in earnest in first semester of 2012 and has gathered pace during its second semester.

The first strategic project under the Initiative has been approved and a second project will be approved in early 2013. Additional projects are also under discussion for development in the first semester of 2013. Under Component II & III, results from investments approved in 2011 and finalised in 2012 have contributed to key component indicators (recyclers trained, participants in national seminars, exchanges etc.)

Delays have been experienced in the development of the Monitoring and Evaluation System (CIII) and these will be addressed in the first semester of 2013. The Strategic Communication Plan (CIV) will also be developed in this period.

The main risk to achieving the program results in the designed time frame continues to be the speed of decision-making and disbursement of funds. It is hoped that this will be partially overcome by the outputs of the *Recycling Sector Study* which should support the construction of a more detailed intervention strategy for the Initiative in the first semester of 2013.

It is also suggested that the Implementation Team undertake an internal analysis of the implementation of the Initiative to date to determine additional steps to be taken to expediate decision-making processes and accelerate the implementation of the Initiative in 2013.

Comments from the Supervision Team Leader

Agree with the Executing Agency comments

Summary of project performance in the last six months

Under **Component I**, Accenture, advanced with the *Recycling Sector Study* (CI A1.1) undertaking national workshops in Mexico, Ecuador & Costa Rica. Initial study outputs (sector characterization & best practices reports) are under review. The study is expected to be finalised in the first trimester of 2013. In addition, strategic projects (CI A1.3) were developed and approved in Chile (11/2012) and Brazil (to be approved 01/2013).

Under **Component II**, work was completed on the majority of the projects approved in 2011. In addition, the IRR organised an international workshop to support the Colombian government in the development of public policy for recycling (23-24/08/2012) (CII, A2.6).

Under **Component III**, work began on the *Operating Guide for the Formalization of Informal Recyclers on Disposal Sites*. The guide concept was presented to the 3rd Annual Informal Waste Sector Conference in New York (21-22/09/2012). The draft guide was reviewed by key experts and validated in a workshop held in WDC on 04/12/2012. Also, during this period, WASTE was selected to develop the *Operating Guide for Gender Mainstreaming in Recycling Projects*. Work on the guide will begin in January 2013. The Initiative also supported and participated in a panel in the annual recycler conference, Expocatador 2012 in Brazil (28-30/11/2012).

Finally, under **Component IV**, the consultant to develop the *Strategic Communications Plan* was selected and will begin work on this assignment in January 2013.

Comments from the Supervision Team Leader

Agree with the Executing Agency comments

SECTION 3: INDICATORS AND MILESTONES

Indicators	Baseline	Intermediate 1	Intermediate 2	Intermediate 3	Planned	Achieved	Status
Result: The purpose is to enhance national and regional coordination capacities between national and local governments, the business sector and recycler organizations to promote conditions for greater inclusion of recyclers in the value chain.	0	10 Mar 2014			30 May 2015	0	
	0	10 Mar 2014			30 May 2015	0	
	0	2 Mar 2014			6 May 2015	1 Dec 2012	

P.14	At least two countries have developed professional training services for recyclers	0				2	0	
						Mar 2015		
P.15	At least four national multisector networks have been created among recycling stakeholders.	0				4	0	
						Mar 2015		
P.16	At least 70 intersectoral institutions (including national governments and international agencies) have signed agreements for cooperation through the regional initiative.	0				70	0	
						Mar 2014		

Component 1: Component I. Financing of projects that offer specific impact opportunities.

Weight: 30%

Classification: Satisfactory

C1.11	At least 12 firms are interested in supporting and coinvesting in national projects.	0				12	8	
						Mar 2013	Dec 2012	
C1.12	At least four new national projects have been approved.	0	2			4	1	
			Mar 2013			Mar 2014	Nov 2012	
C1.13	At least three national projects are in execution.	0				3		
						Mar 2014	Dec 2012	
C1.14	At least two projects offering new solutions for access to basic services and/or income generating opportunities for recyclers' associations have been created by firms participating in the regional initiative.	0				2		
						Mar 2015	Dec 2012	
C1.15	At least two projects offering new process technologies or recycling products have been developed by firms participating in the regional initiative.	0				2		
						Mar 2015	Dec 2012	
C1.16	At least two carbon credit methodology projects have been developed and tested for two recyclable materials (one for each material).	0				2		
						May 2015	Dec 2012	
C1.17	At least two projects involving financing mechanisms related to climate change (payment for environmental services) have been developed and implemented.	0				2		
						May 2015	Dec 2012	

Component 2: Component II. Training, technical assistance and information sharing for stakeholders in the recycling market.

Weight: 30%

Classification: Satisfactory

C2.11	At least four multinational firms have signed agreements to participate in the regional initiative	0				4		
						Mar 2013	Dec 2012	
C2.12	At least six governments in the region have received technical assistance for creating or reformulating their regulatory frameworks for recyclable waste management.	0				6	3	
						Mar 2015	Dec 2012	
C2.13	At least four projects have benefited from knowledge services.	0				4	4	Finished
						May 2015	Dec 2012	
C2.14	At least six countries in the region have received technical assistance for creating or reformulating their regulatory frameworks for recyclable waste management.	0				6		
						May 2015	Dec 2012	
C2.15	At least 10,000 recyclers have received technical training from leading national entities or professionals in recyclable waste management.	0	2500	5000		10000	260	
			Mar 2014	Mar 2015		May 2015	Dec 2012	
C2.16	At least 60 professionals (government officials, recyclers, NGOs, firms) have participated in the program for sharing experience.	0	20	40		60	68	Finished
			Mar 2014	Mar 2015		May 2015	Dec 2012	
C2.17	At least 200 municipal officials have received training.	0				200	270	Finished
						May 2015	Nov 2011	

Component 3: Component III: Documentation of knowledge and learning.

Weight: 20%

Classification: Satisfactory

C3.11	A monitoring system is up and running (includes a baseline).						No	
						Mar 2013	Dec 2012	
C3.12	An online mapping system is operating to identify municipal services that successfully integrate recyclers and private initiatives through public-private partnerships (PPPs) and other models.						No	
						Mar 2013	Dec 2012	
C3.13	At least 10 best-practice experiments have been documented	0	6			10		
			Mar 2014			May 2015	Dec 2012	
C3.14	At least 10 case studies have been produced.	0	6			10	2	
			Mar 2014			May 2015	Dec 2012	
C3.15	At least five evaluations (of business models, etc.) have been documented and disseminated in LAC and globally.	0	3			5		
			Mar 2014			May 2015	Dec 2012	
C3.16	At least three business models have been adopted by other firms or municipios and are operating.	0				3		
						May 2015	Dec 2012	
C3.17	At least five studies of specific recyclable materials have been produced.	0	3			5	1	
			Mar 2014			May 2015	Dec 2012	
C3.18	At least 200 participants have attended national seminars	0	80			200	106	
			Mar 2014			May 2015	Nov 2012	
C3.19	At least 500 participants have attended the annual conferences.	0	60	150	350	500	100	
			Mar 2013	Mar 2014	Mar 2015	May 2015	May 2012	

Component 4: Component IV: Strategic communication with key audiences

Weight: 20%

Classification: Satisfactory

C4.11	A strategic communication plan designed, including identification of key audiences, a calendar of activities, and knowledge products to be developed for each audience (i.e. best practices documented, case studies, etc. described in component III) and strategic communication.						No	
						Mar 2013	Dec 2012	
C4.12	An exit strategy designed to ensure continuity of the initiative.						No	
						Mar 2014	Dec 2012	
C4.13	At least 10 additional organizations in LAC are representing different stakeholders in the recycling market and donors have joined the regional initiative as a result of the initial communication activities.	0				10		
						Mar 2015	Dec 2012	
C4.14	Five LAC countries have conducted a	0				5		

campaign for positioning recyclers as agents with an economic function who contribute to society and to the environment.					May 2015	Dec 2012	
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Milestones	Planned	Due Date	Achieved	Date achieved	Status
M1 Conditions Prior	1	Oct 2011	1	Oct 2011	Achieved

CRITICAL ISSUES THAT HAVE AFFECTED PERFORMANCE*[None reported in this period]***SECTION 4: RISKS****MOST IMPORTANT RISKS AFFECTING FUTURE PERFORMANCE**

	Level	Mitigation action	Responsible
1. The principal risk identified for the creation of a regional recycling initiative is that firms will see the initiative as an attempt on the part of governments, multilateral agencies and civil society organizations to impose excessive demands or regulations that will limit business participation in this area.	Low	One of the partners is a firm that is interested both in the impact of its products and in the possibility of using recycled materials. Representation of other relevant players is assured by the close involvement of recycler associations, which will be part of the body advising the implementation team. Given the varied nature and interests of the organizations involved in the initiative, the implementation team will have professionals with technical knowledge and partnership management skills.	Project Guest
2. Stakeholders and the general public are not willing to change their behavior.	Low	A strong communication campaign, preaching with examples of the benefits of changing behavior and raising awareness.	Project Guest
3. There is not demand on the part of key stakeholders for the knowledge generated.	Low	Raise awareness and share the knowledge of successful projects in an effective way and at no cost.	Project Guest
4. Large firms, municipios and other organizations are not able and willing to cofinance or implement pilot projects.	Low	Raise awareness, share lessons learned and dissemination of knowledge product as a result of the implementation of pilot projects.	Project Guest
5. The direct beneficiaries does not continue to participate in the project, using the models, instruments and techniques learned through the training and experience sharing exercises.	Low	Component II consider activities of training, technical assistance and information sharing for stakeholders in the recycling market, specifically tailored for direct beneficiaries.	Project Guest

PROJECT RISK LEVEL: Low **TOTAL NUMBER OF RISKS:** 5 **IN EFFECT RISKS:** 5 **NOT IN EFFECT RISKS:** 0 **MITIGATED RISKS:** 0**SECTION 5: SUSTAINABILITY****Likelihood of project sustainability after project completion:** P - Probable**CRITICAL ISSUES THAT MAY AFFECT PROJECT SUSTAINABILITY**

[X] No hay necesidad de mantener la Iniciativa como tal

El proyecto contempla elaborar una estrategia de salida para identificar las opciones y la necesidad, o no, de que otra organizacion continúe

Actions related to sustainability which will be or have been implemented:

No specific actions related to sustainability were undertaken in this reporting period.

SECTION 6: PRACTICAL LESSONS

	Relative to Implementation	Author
1. Additional time is required for decision-making and approval of products at Implementation Team level due to the participation of four partners. Current decision-making structures are often not sufficiently agile to allow the Initiative partners to identify and take advantage of collaboration opportunities that periodically arise in the region. A internal review of these procedures is recommended to determine if there are steps that can be taken to accelerate decision-making and facilitate the development of new collaborations in the framework of the Initiative.		Olley, Jane