



MULTILATERAL INVESTMENT FUND (MIF)



PROJECT PERFORMANCE MONITORING REPORT (MPPMR)

I. BASIC DATA (Amounts in US\$ millions)					
Country: BRASIL Executing Agency (EA): ANS Window: MT Has the project been reformulated: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (If yes see Section V)		Project Title: Private Health Plans Regulation AT Number: TC9808182 ATN/ATC Number (s) ATN/MT-6982-BR		Date of Donor Approval: 31 MAY 00 Date of Contract Signature: ... Date of Contract Validity: Date of Eligibility for Disbursement: Original Date of Final Disbursement: Current Date of Final Disbursement:	
CO Specialist: Ana Lúcia Dezolt Headquarters Staff Member Assigned: André Medici Date of Latest Report Update: Date of Latest Report Review by Representative: 15/7/2001	Months in Execution from approval: from signature: Cumulative Extension of Original Disbursement Date (months): % Deviation from original Disbursement period:	Original TC Amount: 1.550 Current Amount: 1.550 Disbursements: 0 % Disbursed: 0 Counterpart:	TC Modality: <input checked="" type="checkbox"/> NR <input type="checkbox"/> R <input type="checkbox"/> CR		
II. PROJECT IMPLEMENTATION PROGRESS (IP)					
Components/Outputs:	Key Delivery Performance Indicators:	Classification of Component			
		HS	S	U	VU
1.ANS institution-strengthening	1. Registration system and master private health-plan registry developed, tested and implemented. 2. EOP accreditation manual and private health-plan accreditation agency model developed and implemented in a representative sample of EOPs. 3. Logical model of the ANS information system developed.				
2. Institutional strengthening of EOPs and consumer protection organizations	1. EOP chart of accounts and associated software developed, tested and implemented. 2. EOP-ANS data communication system and telephone help line to assist EOPs developed and implemented. 3. Core information-system requirements for EOP providers and data recording and transmission software implemented. 4. Model for recording complaints, court action and penalties, for use by consumer protection groups, and associated software for data capture developed. Pilot project implemented in the state of São Paulo. 5. Actuarial model for determining cost parameters for private health plans and associated software developed. Training program for the models implementation and software in EOPs designed.				
3. Technical trainig, promotion, and publicity	1. International seminar held on health-plan regulation. 2. Four regional seminars held to discuss ANS roles and content of private health-plan regulations. 3. Plan for consulting support and exchanges of brazilian and foreign technical officers designed and implemented, with semiannual completion targets. 4. Technical and other papers produced for dissemination. 5. Media publicity plan designed (first three months) and implemented (following 18 months).				
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<u>Assumptions Related to the Implementation of each Component</u> 1. Program operating manual is approved and enforced 2. 3. 4.				Probability	
				High	Low
Summary Component Assumptions Classification (check one)					
<u>Implementation Progress Summary Classification (IP):</u> (A satisfactory or higher classification indicates, among other things, that the project will be completed during the currently approved disbursement period) <input type="checkbox"/> Highly Satisfactory (HS) <input type="checkbox"/> Satisfactory (S) <input type="checkbox"/> Unsatisfactory (U) <input type="checkbox"/> Very Unsatisfactory (VU)					
III. ACHIEVEMENT OF DEVELOPMENT OBJECTIVES (DO)					
<u>Project Development Objective(s):</u> 1. Support implementation of the technical and operational underpinnings of new regulations to govern Brazil's private health plans. 2. Make the private health-plan market more competitive and efficient, promoting a transparent flow of information between consumers and plan operators. etc.			<u>Key Performance Indicators:</u> 1. By the end of the program, 50% of plan operators (or equivalent of 75% of the consumer population) are producing and forwarding information to the ANS by way of the instruments developed in the proposed technical-cooperation operation 1. By the end of the program, healthcare consumers are more aware of the private health-plan market and of their options. The information asymmetry between healthcare customers has been reduced. etc.		
<u>Assumptions Related to each Development Objective</u> 1. The ANS is set up and operational, with staff hired and physical structure. 2. Census of plan operators and their customers, conducted with REFORCUS funds. 3. A firm is hired to conduct the survey, using funds budgeted for project evaluation.				Probability	
				High	Low
Summary Development Objectives Assumptions Classification (check one)					
<u>Expected Achievement of Development Objective Classification (DO):</u> <input type="checkbox"/> Highly Probable (HP) <input type="checkbox"/> Probable (P) <input type="checkbox"/> Low Probability (LP) <input type="checkbox"/> Improbable (I)					
<u>Briefly explain major factors taken into account to justify the DO Classification:</u> 					

Annex 2

IV. OVERVIEW OF PROJECT PERFORMANCE ISSUES		
Check key reasons for Unsatisfactory/Very Unsatisfactory IP Classification or Low Probability/Improbable DO Classification		
<input type="checkbox"/> Legislative approvals <input checked="" type="checkbox"/> Borrower / executing agency commitment <input type="checkbox"/> Counterpart funding shortfall <input type="checkbox"/> Executing agency institutional capacity <input checked="" type="checkbox"/> Organizational changes in executing agency <input type="checkbox"/> Community/political opposition <input type="checkbox"/> Executing agency staff deficiency	<input type="checkbox"/> Consultant performance <input type="checkbox"/> Inter-agency coordination <input type="checkbox"/> Supplier/contractor performance <input type="checkbox"/> Project/component design <input checked="" type="checkbox"/> Contract condition compliance delays <input type="checkbox"/> Bank efficiency (response delays) <input type="checkbox"/> Procurement difficulties	<input type="checkbox"/> Environmental issues <input type="checkbox"/> Cost overrun <input type="checkbox"/> Qualified external audit <input type="checkbox"/> Policy changes <input checked="" type="checkbox"/> Organizational changes <input type="checkbox"/> Executing agency personnel changes <input type="checkbox"/> Other (see Issues, Section VI)
V. PROJECT STATUS		
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<u>Progress to date in implementing each component</u> (Include reference to IP assumptions, if applicable)		



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- 1.
- 2.
- 3.

Current Status of each Assumption related to DO

- 1.
- 2.

Timeliness of Compliance with contractual conditions (If applicable)

“Qualified opinions” of external auditors

Reformulation (If applicable): Date of last reformulation _____. Briefly describe:

Lessons learned (If applicable):

Potential Problems (If applicable):

VI. ISSUES AND ACTIONS

<u>Issue</u>	<u>Actions</u>	<u>Responsible Unit</u>	<u>Date Action to be taken</u>	<u>Completed</u>
1. Agreement not yet signed	a. Meeting with EU to review project design and chronology b. Review of proposed changes to activities by SO1 c. Identification of administering unit d. Sign agreement	a. AE/CBR b. SO1 c. AE d. AE/CBR	a. II Trimester b. III Trimester c. III Trimester d. III Trimester	a. X

