

SAFEGUARD POLICY FILTER REPORT

PROJECT DETAILS	IDB Sector	WATER AND SANITATION-WATER SUPPLY RURAL AND PERI-URBAN
	Type of Operation	Other Lending or Financing Instrument
	Additional Operation Details	
	Investment Checklist	Generic Checklist
	Team Leader	de la Peña Ramos, Maria Eugenia (MDELAPENA@iadb.org)
	Project Title	Sustainability of Water Supply for Rural Communities
	Project Number	ME-L1147
	Safeguard Screening Assessor(s)	Ortiz Stradtman, Silvia (SILVIAOR@iadb.org)
	Assessment Date	2013-09-11
	Additional Comments	

SAFEGUARD POLICY FILTER RESULTS	Type of Operation	Loan Operation	
	Safeguard Policy Items Identified (Yes)	Does this project offer opportunities for indigenous peoples through its project components?	(B.01) Indigenous People Policy– OP-765
		The Bank will make available to the public the relevant Project documents.	(B.01) Access to Information Policy– OP-102
		The operation is in compliance with environmental, specific women's rights, gender, and indigenous laws and regulations of the country where the operation is being implemented (including national obligations established under ratified Multilateral Environmental Agreements).	(B.02)
		The operation (including associated facilities) is screened and classified according to their potential environmental impacts.	(B.03)
		An Environmental Assessment is required.	(B.05)
		Consultations with affected parties will be performed equitably and inclusively with the views of all stakeholders taken into account, including in particular: (a) equal participation of women and men, (b) socio-culturally appropriate participation of indigenous peoples and (c) mechanisms for equitable participation by vulnerable groups.	(B.06)
		The Bank will monitor the executing agency/borrower's compliance with all safeguard requirements stipulated in the loan agreement and project operating or credit regulations.	(B.07)
		The operation is a repeat or second phase loan.	(B.14)

		Suitable safeguard provisions for procurement of goods and services in Bank financed projects may be incorporated into project-specific loan agreements, operating regulations and bidding documents, as appropriate, to ensure environmentally responsible procurement.	(B.17)
	Potential Safeguard Policy Items(?)	Potential disruption to people's livelihoods living in the project's area of influence (not limited to involuntary displacement, also see Resettlement Policy.)	(B.01) Resettlement Policy– OP-710
		Activities to be financed in the project area are located within a geographical area or sector exposed to natural hazards* (Type 1 Disaster Risk Scenario).	(B.01) Disaster Risk Management Policy– OP-704
		Does this project offer opportunities to promote gender equality or women's empowerment through its project components?	(B.01) Gender Equality Policy– OP-761
		The operation is sensitive to slow onset changes in climatic variables, weather patterns and the consequences incl. sea level rise, glacier run off. (Type 1 Gradual Climate Change Risk Scenario).	(B.04)
		The project includes activities to close current “adaptation deficits” or to increase the capacity of human and natural systems to adapt to a changing climate.	(B.04)
		The operation has the potential to pollute the environment (e.g. air, soil, water, greenhouse gases...).	(B.11)
	Recommended Action:	Operation has triggered 1 or more Policy Directives; please refer to appropriate Directive(s). Complete Project Classification Tool. Submit Safeguard Policy Filter Report, PP (or equivalent) and Safeguard Screening Form to ESR	
	Additional Comments:		

ASSESSOR DETAILS	Name of person who completed screening:	Ortiz Stradtman, Silvia (SILVIAOR@iadb.org)
	Title:	
	Date:	2013-09-11

SAFEGUARD SCREENING FORM

PROJECT DETAILS	IDB Sector	WATER AND SANITATION-WATER SUPPLY RURAL AND PERI-URBAN
	Type of Operation	Other Lending or Financing Instrument
	Additional Operation Details	
	Country	MEXICO
	Project Status	
	Investment Checklist	Generic Checklist
	Team Leader	de la Peña Ramos, Maria Eugenia (MDELAPENA@iadb.org)
	Project Title	Sustainability of Water Supply for Rural Communities
	Project Number	ME-L1147
	Safeguard Screening Assessor(s)	Ortiz Stradtman, Silvia (SILVIAOR@iadb.org)
	Assessment Date	2013-09-11
	Additional Comments	

PROJECT CLASSIFICATION SUMMARY	Project Category: C	Override Rating: B	Override Justification: Elevate: other (enter details in comments)
			Comments: The project will finance water and sanitation works likely to cause short term and local impacts for which effective mitigation measures are readily available.
	Conditions/ Recommendations	- Category "B" operations require an environmental analysis (see Environment Policy Guideline: Directive B.5 for Environmental Analysis requirements). - The Project Team must send to ESR the PP (or equivalent) containing the Environmental and Social Strategy (the requirements for an ESS are described in the Environment Policy Guideline: Directive B.3) as well as the Safeguard Policy Filter and Safeguard Screening Form Reports. - These operations will normally require an environmental and/or social impact analysis, according to, and focusing on, the specific issues identified in the screening process, and an environmental and social management plan (ESMP). However, these operations should also establish safeguard, or monitoring requirements to address environmental and other risks (social, disaster, cultural, health and safety etc.) where necessary..	

SUMMARY OF IMPACTS/RISKS AND POTENTIAL SOLUTIONS	Identified Impacts/Risks	Potential Solutions
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