

TECHNICAL COOPERATION PROFILE

I. BASIC PROJECT DATA

Beneficiary Country	Colombia		
Project Name:	Upgrading Informal Settlements in Barranquilla, Colombia		
Project Number:	CO-T1193		
Project Team:	Patricia Torres (ICF/FMM), Team Leader; Maria Constanza Garcia (ICF/FMM); Javier I. Jimenez Mosquera (LEG-SGO); and Ida M. Fernández (ICF/FMM).		
Beneficiary:	District of Barranquilla		
Executing Agency:	JSF Funds: The Municipality of Barranquilla through the <i>Secretaría de Planeación</i> . JCF Funds: The Inter-American Development Bank		
Financing Plan:	IDB (JSF):	US\$	600,000
	IDB (JCF):		200,000
	Local Counterpart:	US\$	200,000
	Total:	US\$	1,000,000
Execution Period:	18 months		
Disbursement Period:	24 months		
Tentative approval date:	March 2009		

II. BACKGROUND AND PROBLEM STATEMENT

A. Urban Population and Poverty in Latin America and Colombia

- 2.1 Although the urbanization process has led to relative progress in meeting the basic needs of the population, poverty has not been substantially reduced or eliminated. In Colombia 75% of the population is concentrated in the urban areas. The levels of poverty are higher than the average of LA: 51% of the urban inhabitants are poor and 24% are extremely poor. It is estimated that out of a total of 8.5 million urban homes in Colombia, 16% (1.4 millions) live in squatter settlements.¹
- 2.2 Recent urban and social policies recognize that it is necessary to **look for integrated solutions** to respond to the problems faced by the poorest segments of the population, such as the lack of: infrastructure and sanitary services, open and recreational space, childcare centers, healthcare centers, land regularization and titling, among others.
- 2.3 The Bank has been successful in promoting and developing projects of this nature. Examples include neighborhood upgrading programs in Brazil (Favela-Barrio-1126/OC-BR); Argentina (PROMEBA-940/OC-AR, Mejoramiento de Barrios en Rosario-1307/OC-AR); Bolivia (PROVIVIENDA-1006/SF-BO); and Ecuador (PROMIB-1416/OC-EC).² This TC promotes a comprehensive way to

¹ See CONPES 3305. Guidelines to Optimize Urban Development Policy. August 2004.

² You can access Favela-Bairro's website at (<http://www.fau.uftj.br/prourb/cidades/favela/frames.html>), and PROMEBA's website at (<http://www.promeba.org.ar>).

address poverty by combining the neighborhood upgrading approach with the development of social and community nodes.

B. Colombian Housing Programs and Bank's Country Strategy

- 2.4 Colombia has implemented some neighborhood upgrading programs at the sub national level, some of them with a geographic focus and financed by international organizations. Nevertheless, a nationwide integrated public policy approach to deal with informal settlements is still required. The Bank is supporting the definition of such approach through a loan and a TC: Consolidation of the Housing Policy Program (CO-L1018), under execution, and Poverty alleviation with Geographic Focus, (CO-T1037), which supports the design of 7 projects in 7 municipalities in CO.
- 2.5 This Technical Cooperation will further develop, apply and consolidate the policies and activities carried out under these projects which are under execution by the national government. The Barranquilla project will be the first comprehensive and integral project developed by a sub national government. It will be a demonstrative experience for other sub national governments in Colombia and in the region. Besides, it will provide the necessary components for the implementation phase of a planned loan for the Barranquilla Metropolitan District.
- 2.6 The proposed TC is consistent with the Bank's strategy with Colombia (GN-2474), approved in April 2008. The objective of the Bank's Country strategy with Colombia for the 2007-2010 period is to support national efforts to achieve greater, broad-based growth, reduce poverty, and enhance equity, understood as increasing opportunities for the poorest majority and the most vulnerable population groups. To achieve this objective, the Bank will channel its support to the country through three general strategy areas of major focus: competitiveness, social development, and governance and strengthening. The TC will contribute to achieve the mentioned objective by promoting investment in social development through the improvement of living conditions in low income neighborhoods affected by natural risk; as well as the improvement of the institutional capacity of the offices in charge of the topics involved in the TC.

III. PROGRAM OBJECTIVE AND DESCRIPTION

- 3.1 The aim of the program is to provide input to the Bank to develop a strategy to define a comprehensive and coordinated strategy to work with local governments in Colombia as potential clients for the Bank. The TC will focus in the sector where the national and the local governments have showed special interest: improving living conditions of the low-income population in a comprehensive and integrated way.
- 3.2 The development objectives are: to support local governments in the preparation and design of urban development programs aimed to improve living conditions in poor urban areas, and to improve fiscal and financial management in order to make the social and economic programs sustainable. The program will work with the Municipality of Barranquilla.

- 3.3 The outcomes of the project will be: (i) a plan with tools, methodologies and designs for the city to develop or improve urban upgrading programs; (ii) an input to the Bank's strategy with subnational governments in Colombia; and (iii) key elements for an eventual loan operation for the Barranquilla District, which has the potential to improve the living conditions of at least 250,000 inhabitants of informal settlements in Barranquilla.
- A. Strategic plan and projects for upgrading informal settlements in Barranquilla.**
- 3.4 **Background.** Barranquilla, with 1.2 million inhabitants, is one of the cities with inequality in terms on income/consumption, with a GINI coefficient of 0.57 (above the national average of 0.46). Almost half of the population (700,000 inhabitants), lives in poverty and has substandard living conditions. The majority concentrates in the South-East and West of the city, in areas prone to flooding and landslides. It is estimated that close to 5,000 families are directly affected by this situation. The main objective of the local government is the improvement of these areas with an integrated and comprehensive methodology ("Development plan 2008-2011"). In order to accomplish this goal the local government has requested technical and financial support to the Bank.
- B. Technical Cooperation Components**
- 3.5 **Component I: Planning.** The TC will prepare a long term Comprehensive Plan of intervention for the two poorest residential sectors of the District of Barranquilla (South-East and South-West Areas). The General Plan will further develop the Urban Development Plan for these areas, by reviewing the land use, road infrastructure, public space, transport services, utilities, social services, and recreation services components, and the implementation of housing improvement policies and instruments.
- 3.6 The TC will help in the definition of a Strategic Plan for the present administration. The Strategic Plan will prioritize the actions and activities to be developed in the next three years; define the objectives and measures of success (key performance indicators) and expected costs. The Strategic Plan will be developed jointly with the local authorities, and will involve the beneficiaries of the project.
- 3.7 **Component II: Design.** The TC will help in the design of specific projects at a pre-feasibility level. This includes the specific interventions in residential areas and activity nodes where social, community and city activities are concentrated, including schools, health centers, parks, libraries, and recreation areas. The pre-feasibility design will include technical designs, institutional, financial and legal components of the interventions as well as their costs and expected impacts. Emphasis on community participation in the identification and design of the interventions will be placed.
- 3.8 **Component III: Natural Risk Management.** The TC will update the technical vulnerability and emergency response studies already prepared by the Municipality. It will help to define the actions and technical measurements to

manage risk and the population affected. This component is expected to be developed by a Japanese consultancy firm. In the Southwestern zone of the District, there are mainly two kinds of hazards: landslides/mass earth movement and floodwaters. Additionally, the TC will support the elaboration of a threat monitoring plan and design of an early warning system, including training of personnel.

- 3.9 **Component IV: Project Preparation.** The TC will provide the inputs for the preparation of the proposed loan program such as the study of the Municipality debt capacity, environmental studies, risk assessment and other studies required to comply with bank policies and procedures.

IV. COST AND FINANCING

Component		JSF financing	JCF financing	Local contribution*	Total
1	Component I	170,000	0	60,000	230,000
2	Component II	240,000	0	70,000	310,000
3	Component III	0	200,000	40,000	240,000
4	Component IV	40,000	0	10,000	50,000
5	Overhead	50,000	0	0	50,000
6	Other costs	100,000	0	20,000	120,000
Grand Total		600,000	200,000	200,000	1,000,000
		60%	20%	20.0%	100 %

(*) Local contribution could be in-kind.

V. EXECUTING AGENCY AND EXECUTION STRUCTURE

- 5.1 The Executing Agency will be the Municipality of Barranquilla through the *Secretaría de Planeación* with the exception of Component 3, which will be executed by the Bank, according to the requirements of the JCF. This Component consists of one contractual process to hire one Japanese consultancy firm.
- 5.2 A Coordination Unit (CU) with assigned professionals with specific time and responsibilities will be created for the execution of the TC with representatives from the different areas of the administration involved in the project. A manager, responsible for the coordination and follow up of the project and one administrative assistant will be contracted with TC resources.
- 5.3 Within the Bank, the TC will be coordinated by the Division of Fiscal and Municipal Management (FMM). The technical activities will be carried out by consultants under the direction of the project CU.

VI. ENVIRONMENTAL AND SOCIAL STRATEGY

- 6.1 There are no major environmental or social issues associated to the project. Moreover the project aims to update technical vulnerability and emergency response studies that define actions and technical solutions to manage risk, to prepare a threat monitoring plan, and design an early warning system. The operation has been classified in category "C". Given that the project includes the planning of urban projects at the prefeasibility level it will apply the operational policy OP-703. The project will involve the beneficiaries during its planning, design and execution phases.

VII. ACTION PLAN

- 7.1 (i) TC profile prepared by local counterpart with Bank support in October;
(ii) Orientation mission in February 2009; (iii) Plan of Operations February 2009;
(iv) Analysis mission in March; and (v) approval in March 2009.

VIII. APPROVAL

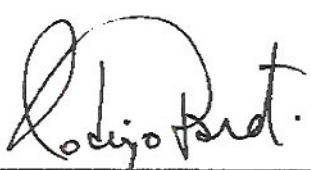
Approved:


Mario Marcel Culléll, Sector Manager, ICF/ICF

FEB 04 2009

Date

Approved:


Rodrigo Parot, Country Representative, CAN/CCO

2-Feb-09

Date

VoBo:


Vicente Fretes Cibilis, Chief Division, ICF/FMM

01/30/09
Date

SAFEGUARD SCREENING FORM

This Report provides a summary of the project classification process and is consistent with Safeguard Screening Form requirements. The printed Report should be attached as an annex to the Project Concept Document (or equivalent) (together with the Safeguard Policy Filter Report) and sent to ESR.

1. Save as a Word document. 2. Enter additional information in the spaces provided, where applicable. 3. Save new changes.

PROJECT DETAILS	IDB Sector	Urban Development and Housing
	Project Type	Technical Cooperation
	Additional Operation Details	
	Country	Colombia
	Project Status	New Operation
	Investment Checklist	Urban Development
	Team Leader	Patricia Torres
	Project Title	Upgrading Informal Settlements in Barranquilla, Colombia
	Project Number	CO-T1193
	Safeguard Specialist(s)	<i>To be completed by assessor</i>
	Assessment Date	2008-12-02
	Assessment Number	2008-12020703-2
	Additional Comments	

PROJECT CLASSIFICATION SUMMARY	Project Category: C	Override Rating:	Override Justification:
	Conditions/Recommendations	<ul style="list-style-type: none"> Normally no environmental impact assessment studies or consultations are required for Category "C" operations. Some Category "C" operations may require specific safeguard/monitoring requirements (Policy Directive B.3). These operations will establish safeguard/monitoring requirements for environmental and other risks (social, disaster, cultural, H&S etc.) The Project Team must send to ESR the PP or PCD (or similar) containing the E&S Strategy (the requirements for an ESS are described in the Environment Policy Guideline: Directive B.3) plus the Safeguard Policy Filter and Screening Form Reports. <p><i>Policy Directives can be accessed from the Resources tab on the Toolkit home page.</i></p>	

SUMMARY OF IMPACTS/RISKS AND POTENTIAL SOLUTIONS	Identified Impacts/Risks	Potential Solutions
	No issues identified	

ASSESSOR DETAILS	Name of person who completed screening: Maria Constanza Garcia Botero	Date: 12-02-2008
	Comments: The TC will update technical vulnerability and emergency response studies already prepared by the District of Barranquilla. This component is expected to be developed by a Japanese consultancy firm.	

SAFEGUARD POLICY FILTER REPORT

This Report provides guidance for project teams on safeguard policy triggers and should be attached as an annex to the Project Concept Document (or equivalent) together with the Safeguard Screening Form, and sent to ESR.

1. Save as a Word document. 2. Enter additional information in the spaces provided, where applicable. 3. Save new changes.

PROJECT DETAILS	IDB Sector	Urban Development and Housing
	Project Type	Technical Cooperation
	Additional Operation Details	
	Country	Colombia
	Project Status	New Operation
	Investment Checklist	Urban Development
	Team Leader	Patricia Torres
	Project Title	Upgrading Informal Settlements in Barranquilla, Colombia
	Project Number	CO-T1193
	Safeguard Specialist(s)	<i>To be completed by assessor</i>
	Assessment Date	2008-12-03
	Assessment Number	2008-12035815-2
	Additional Comments	

SAFEGUARD POLICY FILTER RESULTS	Project Type	Technical Cooperation	
	Safeguard Policy Items Identified (Yes)	No issues identified	
	Potential Safeguard Policy Items (?)	No potential issues identified	
	Recommended Action	Operation has not triggered any Policy Directives. Complete Project Classification Tool. Submit Safeguard Policy Filter Report, PCD (or equivalent) and Safeguard Screening Form to ESR. <i>Policy Directives can be accessed from the Resources tab on the Toolkit home page.</i>	
	Additional Comments		

ASSESSOR DETAILS	Name of person who completed screening:	Maria Constanza García
	Title	Consultant
	Date	2008-12-03

TECHNICAL COOPERATION PROFILE

COLOMBIA

Upgrading Informal Settlements in Barranquilla, Colombia
(CO-T1193)

DETAILED BUDGET

Type of Expense	Number of Months	JSF	JCF	Local contribution	TOTAL
<i>Component I</i>	18	170,000		60,000	230,000
Activity a					
Activity b					
Activity c					
Honorarium.					
Travel:					
Per diem:					
<i>Component II</i>	20	240,000		70,000	310,000
Honorarium.					
Travel:					
Per diem:					
<i>Component III*</i>	10		200,000	40,000	240,000
Honorarium.					
Travel:					
Per diem:					
<i>Component IV</i>	3	40,000		10,000	50,000
Honorarium.					
Travel:					
Per diem:					
Overhead:		50,000			50,000
General support:	22	100,000		20,000	120,000
Auditing and Eval.					
Contingencies					
TOTAL		600,000	200,000	200,000	1,000,000

TECHNICAL COOPERATION PROFILE

COLOMBIA

Upgrading Informal Settlements in Barranquilla, Colombia (CO-T1193)

LOGICAL FRAMEWORK

Narrative Summary	Indicators	Means of Verification	Assumptions
Goals The development objectives are: to support the District of Barranquilla government in the preparation and design of urban development programs aimed to improve living conditions in poor urban areas, and to improve fiscal and financial management in order to make the social and economic program sustainable.			
Purpose To produce a comprehensive plan with tools, methodologies and designs for the District to develop or improve urban upgrading programs as well as input to the Bank's strategy with subnational governments in Colombia and the design of an eventual loan operation.	A new urban development plan in place that addresses and clarifies the content of land planning instruments in the District, and the tools for the institutions responsible of its administration at all levels, and the process implementing them.	Approval by the Mayor of new or changed decrees and publication of the later in the Official Paper. Project reports (in the interim)	Political support for the program remains unchanged during the remainder of this government. The program is endorsed by the new government and political support continues.
COMPONENT ONE: PLANNING			
Activities Formulation of a long term comprehensive Plan of intervention for the two poorest residential sectors of the District of Barranquilla by reviewing the land use, road infrastructure, public space, transport services, utilities, social services and recreation services components.	A long term comprehensive Plan of intervention for the two poorest residential sectors of the District of Barranquilla is formulated, presented, reviewed and accepted by representatives from diverse institutions and organizations related to the land development process.	Publication of the comprehensive plan. Project reports and minutes from meetings.	Support for the program by the Mayor .
Design through a participatory process, local authorities and beneficiaries of the project, of a Strategic Plan that prioritize the actions and activities to be developed in the next three years. Define the	A Strategic plan accepted by the local government and representatives from diverse institutions and organizations related to the land development process.	The institutional scheme is included in the Urban Development Plan (Plan de Ordenamiento Territorial –	Support for the program by the Major, his staff and the beneficiaries of the project.

Narrative Summary	Indicators	Means of Verification	Assumptions
objectives and measures of success and expected costs.		POT) Project reports Minutes from meetings	
COMPONENT TWO: DESIGN			
Design specific projects at a pre-feasibility level.	Projects designed at a pre-feasibility level. The pre-feasibility design includes technical designs, institutional, financial and legal components of the interventions as well as their cost and expected results.	Project reports.	Community participation in the identification and design of the intervention will be placed.
COMPONENT 3: NATURAL RISK MANAGEMENT			
Update studies of technical vulnerability and emergency response already prepared by the District to define actions and technical measurements to manage risk.	Studies of technical vulnerability and emergency updated.	Studies	
Support the elaboration of a threat monitoring plan and design of an early warning system, including training of personnel.	Threat monitoring plan and an early warning system are elaborated.	Plan and system approved by the risk management authorities.	
COMPONENT 4: PROJECT PREPARATION			
Preparation of the bases for a loan proposal.	Inputs for the preparation of the loan proposal to requests finance support from the Bank..	Letters of support from the institutions and organizations involved in the process.	Support for the program by the Major and his staff.

TECHNICAL COOPERATION PROFILE

COLOMBIA

**Upgrading Informal Settlements in Barranquilla, Colombia
(CO-T1193)**

PROCUREMENT PLAN

A) All contracts for the proposed TC will be carried out in accordance with the **“Policies for the Selection and Contracting of Consultants Financed by the Inter-American Development Bank” (GN-2350-7)**.

TECHNICAL COOPERATION PROFILE

COLOMBIA

Upgrading Informal Settlements in Barranquilla, Colombia
(CO-T1193)

PROCUREMENT PLAN

Procurement Plan for Consulting Services

Ref. No.	Description and type of the procurement contract	Estimated Contract Cost (US\$ 000)	Procurement method ¹	Review (ex-ante or ex-post)	Source of financing and percentage		Estimated Dates		Status (Pending, in process, awarded, cancelled)	Comments
					IDB %	Local / Other %	Publication of Specific procurement notice	Completion of contract		
	1. Consulting Services	992	SSS		80	20	n/a	June. 2010	Pending	

¹ **Consulting Firms:** QCBS: Quality- and cost –Based Selection; QBS: Quality Based Selection; FBS: Selection under a Fixed Budget; LCS: Least-Cost Selection; CQS: Selection based on the consultant' Qualifications; SSS: Single Source Selection. **Individual Consultants:** NICQ: National Individual Consultant selection based on Qualifications; IICC: International Individual Consultant selection based on Qualifications



ALCALDÍA DE BARRANQUILLA
Distrito Especial, Industrial y Portuario

0509

Barranquilla, 24 de octubre de 2008

Doctor

RODRIGO PAROT

Representante en Colombia

Banco Interamericano de Desarrollo, BID

Carrera 7 N 71-21, Torre B

Piso 19 Edificio Bancafe

Bogotá D.C.

Estimado doctor Parot:

Como es de su conocimiento, el Banco Interamericano de Desarrollo viene apoyando al Distrito en la formulación de un Programa de Mejoramiento Integral de Barrios. Como resultado de varias reuniones, en las que también ha participado el Departamento Nacional de Planeación y el Ministerio de Ambiente, Vivienda y Desarrollo Territorial, al igual que talleres y mesas de trabajo dirigidas por la Dra. Patricia Torres, se ha realizado un primer diagnóstico y definido ya una metodología de trabajo muy interesante.

Con el fin de avanzar en esta iniciativa, solicitamos a usted apoyo técnico para poder concretar una propuesta, siendo lo anterior de suma importancia para este Gobierno, por tratarse de uno de los programas prioritarios del Plan de Desarrollo 2008-2011, Oportunidades para todos.

De antemano agradecemos su atención y colaboración.

Cordialmente,

ALEJANDRO CHAR CHALJUB

Alcalde Distrital de Barranquilla

cc Dra. Patricia Torres, División de Gestión Fiscal y Municipal

MEMORANDUM

Executive Director for Croatia, Japan, Korea
Portugal, Slovenia and United Kingdom

File Classification: 85-08/YT/ys

DATE: December 19, 2008

TO: Ms. Veronica Zavala Lombardi
Executive Director for Peru and Colombia

TO: Mr. Luis Guillermo Echeverri
Alternate Executive Director for Peru and Colombia

FROM: Yasusuke Tsukagoshi
Executive Director for
Croatia, Japan, Korea, Portugal, Slovenia and United Kingdom

SUBJECT: Technical Cooperation Proposal for Peru and Colombia financed by Japanese Trust Funds

I am pleased to inform you that the financing for the following proposal in Peru and Colombia, which was applied by the Bank's management, was formally accepted by the Government of Japan for funding with the Japanese Trust Funds' resources. Should you have any question about the proposal, please contact the Japan Trust Fund Team at VPC/GCM.

<u>Name of Project</u>	<u>Country</u>	<u>Resource</u>	<u>Amount</u>
• Tourism with Social Inclusion in Cuzco and Machu Picchu	Peru	JPO	US\$ 150,000. -
• Colombian Caribbean Free of Hunger	Colombia	JSF	US\$ 705,000. -
• Upgrading Informal Settlements in Barranquilla, Colombia	Colombia	JSF/JCF	US\$ 800,000. -

Best regards,

cc: Mr. Iván Duque
Ms. Marguerite S. Berger
Mr. Goro Mutsuura
Mr. Taro Seriu

Senior Counselor, EXD/014
Chief, VPC/GCM
VPC/GCM
Senior Counselor, EXD/012